CALIFORNIA HORSE RACING BOARD 1010 HURLEY WAY, SUITE 300 SACRAMENTO, CA 95825 (916) 263-6000 FAX (916) 263-6042



# REGULAR MEETING

of the California Horse Racing Board will be held on, Thursday, May 26, 2005, commencing at 3:00 p.m., at the Los Alamitos Race Course, at the Cypress Golf Club, 4961 Katella Avenue, Los Alamitos, California. The meeting will open at 3:00 p.m., then the Board will adjourn into Executive Session with the regular meeting commencing at approximately 3:30 p.m.

# **AGENDA**

#### **Action Items**

- 1. Discussion and action by the Board on the Application to Conduct a Horse Racing Meeting of the Del Mar Thoroughbred Club (T) at Del Mar, commencing July 20 through September 7, 2005, inclusive.
- 2. Discussion and action by the Board on the Application to Conduct a Horse Racing Meeting of the Solano County Fair (F) at Vallejo, commencing July 13 through July 25, 2005, inclusive.
- 3. Discussion and action by the Board on the Application to Conduct a Horse Racing Meeting of the Sonoma County Fair (F) at Santa Rosa, commencing July 27 through August 8, 2005, inclusive.
- 4. Discussion and action by the Board on the adjustment of the current allocation of northern fair and thoroughbred race dates for 2005.
- 5. Discussion and action by the Board on the request of the Los Angeles County Fair to amend their 2005 allocation of fair dates.
- 6. Public hearing by the Board on the adoption of the regulatory amendment to CHRB Rule 1433 Application for License to Conduct a Horse Racing Meeting.
- 7. Public hearing by the Board on the adoption of the regulatory amendment to CHRB Rule 1887 Trainer to Insure Condition of Horse.
- 8. Public hearing by the Board on the adoption of the regulatory amendment to CHRB Rule 1976.9 Pick (N) Pool.
- 9. Discussion and action by the Board on the approval of service, stewards and official veterinarian contracts.
- 10. Report by the Racing Association Simulcast coordinators on the procedures used to verify the identity and legitimacy of out-of-state simulcast customers and if "rebating" is practiced.

- 11. Report on the status of handle and attendance for all 2005 California race meets.
- 12. Staff report on the following concluded race meetings:
  - A. Los Angeles Turf Club at Santa Anita from December 26, 2004 through April 18, 2005.

#### **Committee Reports**

13. Report of the Ad Hoc Committee

Commissioner Richard Shapiro, Co-Chairman Commissioner John Sperry, Co-Chairman

#### Other Business

- 14. General Business: Communications, reports, requests for future action of the Board.
- 15. **Old Business:** Issues that may be raised for discussion purposes only, which have already been brought before the Board.
- 16. **Executive Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by Section 11126 of the Government Code.
  - A. Personnel.
  - B. Board may convene an Executive Session to consider any of the attached pending litigation.
  - C. The Board may also convene an Executive Session to consider any of the attached pending administrative licensing and disciplinary hearings.

Additional information regarding this meeting may be obtained from Roy Minami, at the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. A copy of this notice can be located on the CHRB website at <a href="https://www.chrb.ca.gov">www.chrb.ca.gov</a>. \*Information for requesting disability related accommodation for persons with a disability who requires aids or services in order to participate in this public meeting, should contact Roy Minami.

#### CALIFORNIA HORSE RACING BOARD

John C. Harris, Chairman
William A. Bianco, Vice Chairman
Sheryl L. Granzella, Member
Marie G. Moretti, Member
Jerry Moss, Member
Richard B. Shapiro, Member
John C. Sperry, Member
Ingrid Fermin, Executive Director

#### STAFF ANALYSIS May 26, 2005

Issue:

APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE DEL MAR THOROUGHBRED CLUB AT DEL MAR JULY 20, 2005 THROUGH SEPTEMBER 7, 2005.

Del Mar Thoroughbred Club filed its application to conduct a thoroughbred horse racing meeting at Del Mar:

• July 20 through September 7, 2005, or 43 days, the same as 2004. The association proposes to race a total of 371 races, or 8.6 per day.

July - 2005							
Sun	Mon	Tue	Wed	Thu	Fri	Sat	
					1	2	
3	4 H	5	6	7	8	9	
10	11	12	13	14	15	16	
17	18	19	20.	21	22	23	
24/31	25.	26	27	28-	29	30	

August - 2005						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1 k	2	3	4	5	6
7	8	9	10.	11.	12	13
14	15	16	17	18	19.	20
21,	22	23	24 -	25	26	27
28	29	30	31 .			

September - 2005							
Sun	Mon	Thu	Fri	Sat			
		1		1000	2-2	3 5	
4	5 H	6	7	8	9	10	
11	12	13	14	15	16	17	
18	19	20	21	22	23	24	
25	26	27	28	29	30	1	

- Racing 6 days per week, Wednesday through Monday, with 8 races per day weekdays, 9 races opening day and certain weekend days and 10 races certain weekend days, Labor Day and closing day.
- First post will be 2:00 p.m. daily.
  - 1:00 p.m. Sunday, August 21.
  - 3:30 p.m. Friday, August 19, 26 and September 2.
  - 4:00 p.m. Friday, July 22, 29 and August 5, 12.
- Specific changes from the 2004 license application:
  - Ruben Hernandez replaces Charles McCaul as clerk of scales, Dawn Jackson replaces Ken Goldberg as paddock judge, Sue Brent and Charles McCaul replace Dawn Jackson and Tora Yamaguchi as patrol judges, Tora Yamaguchi replaces Ruben Hernandez as placing judge and Dane Nelson replaces John Fontaine as timer.
  - Increases reserved seating stretch run and clubhouse for opening day and Pacific Classic from \$12 to \$15, reserved seating stretch run and clubhouse on Saturday and Sunday from \$6 to \$8, off-track programs from \$2 to \$2.25, Season Turf Club Membership for 2 persons from \$950 to \$1,050, Daily Turf Club Admission for member guests on opening day from \$50 to \$75.
  - New \$50 Daily Turf Club Admission for non-members [\$100 opening day] and a program with every paid admission.
- Request Dianne Piper be appointed horse identifier pursuant to CHRB Rule 1525.
- Track safety requirements have been fulfilled.

- Wagering program will use CHRB rules.
  - Early wagering will start at 8:00 a.m.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Inspection of backstretch worker housing completed.

Specific information still needed to complete this application includes:

1. Thoroughbred horsemen's agreement – in negotiations.

#### **RECOMMENDATION:**

Staff recommends the Board approve the application conditioned upon receiving the additional information necessary to conduct the racing meeting.

STATE OF CALIFORNIA CALIFORNIA HORSE RACING BOARD APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING CHRB-17 (Rev. 01/05)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions (B&P) Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

#### APPLICANT ASSOCIATION

Reviewed: PN

	A.	Name, mailing address, telephone and fax numbers of association:  Del Mar Thoroughbred Club  PO Box 700, Del Mar, CA 92014  Phone: 858-755-1141  Fax: 858-794-1007
	B.	Breed of horse: X TB QH H
	C.	Racetrack name: Del Mar Thoroughbred Club
	D.	Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to B&P Code Section 19490. <b>Received</b> .
		APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant ule 1433.
2.	DA'	TES OF MEETING
	A.	Inclusive dates for the entire meeting: July 20 through September 07, 2005
	В.	Actual dates racing will be held: July 20 through September 07, 2005, everyday except Tuesdays 7/26, 8/2, 8/9, 8/16, 8/23, 8/30, 9/6
	C.	Total number of days or nights of racing: 43 days
	D.	Days or nights of the week races will be held:  Wed - Sun Tues - Sat X Other (specify) Wednesday - Monday
	E.	Number of days or nights of racing per week: 6
3.	RA	CING PROGRAM
	A.	Total number of races: 371
	В.	Number of races for each day or night:  8 - Weekdays  9 - Opening Day and certain weekend days  10 - Certain weekend days, Labor Day and Closing Day
	Applica Deposit	chrb certification  Hearing date: 5/26/05  Approved date:

License number:

C.	Total number of stakes races: 31
D.	Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses. Exhibit 1
E.	Will provisions be made for owners and trainers to use their own registered colors?  X Yes No If no, what racing colors are to be used:
F.	List all post times for the daily racing program: Exhibit 2
l to C	APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race california-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter

NOTIC e limited horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to B&P Code Section 19568(b).

#### RACING ASSOCIATION 4.

A.	Association is a:	X	Corporation (complete subsection C)
			LLC (complete subsection D)
	•		Other (specify, and complete subsection E)

B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. Full Disclosure Statement already on file with CHRB

#### C. CORPORATION

Registered name of the corporation: 1.

Del Mar Thoroughbred Club

State where incorporated: 2.

California

Registry or file number for the corporation: 3.

559096

Names of all officers and directors, titles, and the number of shares of the corporation held by each: 4.

Robert S. Strauss	Chairman of the Board	1 Share
Joseph W. Harper	President, General Manager, Director	1 "
Rollin W. Baugh	Director	1 "
James R. Buell	Director	1 "
Terrence Lanni	Director	1 "
Warner Lusardi	Director	1 "
Betty Mabee	Director	1."
Thomas C. Stickel	Director	1 "
Martin J. Wygod	Director	1 "
Craig R. Fravel	Executive VP & Corporate Secretary	0
Michael R. Ernst	Senior VP & Chief Financial Officer	0
Thomas S. Robbins	Vice President, Racing	0
Craig A. Dado	Vice President, Marketing	0
Debra Hennessy	Controller	0

## Exhibit 1 - 2005 Del Mar Stakes Schedule

Closing Date	Date	Event	Distance	2005 Value
Thu. Jul. 14, 2005	Wed. Jul. 20, 2005	OCEANSIDE STAKES Three-year-olds, N/W S/S of \$50,000 in 2005	One Mile (Turf)	\$100,000
Thu. Jul. 14, 2005	Frì. Jul. 22, 2005	CTBA STAKES Fillies, two years old, bred in CA	5 1/2 Furlangs	\$125,000 Guaranteed
Thu. Jul. 14, 2005	Sat. Jul. 23, 2005	JOHN C. MABEE HANDICAP (Gr. I) Fillies and mares, three years old and upward	1 1/8 Miles (Turf)	\$400,000 Guaranteed
Thu. Jul. 14, 2005	Sat. Jul. 23, 2005	FLEET TREAT STAKES Fillies, three years old, bred in CA	Seven Furlongs	\$100,000 Guaranteed
Thu. Jul. 14, 2005	Sun. Jul. 24, 2005	SAN DIEGO HANDICAP (Gr. II) Three-year-olds and upward	1 1/16 Miles	\$250,000 Guaranteed
Thu. Jul. 14, 2005	Sun. Jul. 24, 2005	EDDIE READ HANDICAP (Gr. I) Three-year-olds and upward	1 1/8 Miles (Turf)	\$400,000 Guaranteed
Thu. Jul. 21, 2005	Wed. Jul. 27, 2005	GRADUATION STAKES Two-year-olds, bred in CA	5 1/2 Furlongs	\$125,000 Guaranteed
Thu. Jul. 21, 2005		SAN CLEMENTE HANDICAP (Gr. 11) Fillies, three years old	One Mile (Turf)	\$150,000 Guaranteed
Thu. Jul. 21, 2005		BING CROSBY HANDICAP (Gr. I) Three-year-olds and upward	Six Furlongs	\$300,000 Guaranteed
Thu. Jul. 28, 2005	Wed. Aug. 03, 2005	ESCONDIDO HANDICAP Three-year-olds and upward, N/W S/S of \$50,000 at 1 M o/o in 2005	1 3/8 Miles (Turf)	\$75,000 (o/s)
Thu. Jul. 28, 2005	Sat. Aug. 06, 2005	SORRENTO STAKES (Gr. III) Fillies, two years old	6 1/2 Furlongs	\$150,000 Guaranteed
Thu, Jul. 28, 2005	Sun. Aug. 07, 2005	CLEMENT L. HIRSCH HANDICAP (Gr. II) Fillies and mares, three years old and upward	1 1/16 Miles	\$300,000 Guaranteed
Thu. Aug. 04, 2005	Wed. Aug. 10, 2005	OSUNITAS HANDICAP Fillies and mares, three years old and upward, N/W S/S of \$50,000 at 1 M o/o in 2005	1 1/16 Miles (Turf)	\$75,000 (o/s)
Thu. Aug. 04, 2005		REAL GOOD DEAL STAKES Three-year-olds, bred in CA	Seven Furlongs	\$100,000 Guaranteed
Thu. Aug. 04, 2005		LA JOLLA HANDICAP (Gr. II) Three-year-olds	1 1/16 Miles (Turf)	\$150,000 Guaranteed
Thu. Aug. 04, 2005		BEST PAL STAKES (Gr. II) Two-year-olds	6 1/2 Furlongs	\$150,000 Guaranteed
Thu. Aug. 11, 2005		RANCHO BERNARDO HANDICAP (Gr. III) Fillies and mares, three years old and upward	6 1/2 Furlongs	\$150,000 Guaranteed
Thu. Aug. 11, 2005	Sat. Aug. 20, 2005	DEL MAR OAKS (Gr. I) Fillies, three years old	1 1/8 Miles (Turf)	\$300,000 Guaranteed
Thu. Aug. 11, 2005	Sun. Aug. 21, 2005	PACIFIC CLASSIC (Gr. I) Three-year-olds and upward	1 1/4 Miles	\$1,000,000 Guaranteed
Thu. Aug. 11, 2005	Sun. Aug. 21, 2005	PAT O'BRIEN BC HANDICAP (Gr. II) Three-year-olds and upward	Seven Furlangs	\$300,000 Guaranteed
Fri. Jun. 03, 2005	Sat. Aug. 27, 2005	DEL MAR DEBUTANTE (Gr. I) Fillies, two years old	Seven Furlangs	\$250,000 Guaranteed
Thu. Aug. 18, 2005		DEL MAR HANDICAP (Gr. ff) Three-year-olds and upward	1 3/8 Miles (Turf)	\$250,000 Guaranteed
Thu. Aug. 25, 2005		GENEROUS PORTION STAKES Fillies, two years old, bred in CA	Six Furlongs	\$100,000 Guaranteed
Thu. Aug. 25, 2005		TORREY PINES STAKES Fillies, three years old	One Mile	\$100,000 Guaranteed
Thu. Aug. 25, 2005	Sat. Sep. 03, 2005	EL CAJON STAKES Three-year-olds	One Mile	\$100,000 Guaranteed
Thu. Aug. 25, 2005	Sat. Sep. 03, 2005	PALOMAR BC HANDICAP (Gr. II) Fillies and mares, three years old and upward	1 1/16 Miles (Turf)	\$200,000 Guaranteed
Thu. Aug. 25, 2005	Sun. Sep. 04, 2005	SOLANA BEACH HANDICAP Fillies and mares, three years old and upward, bred in CA	One Mile (Turf)	\$125,000 Guaranteed
Thu. Aug. 25, 2005	Sun. Sep. 04, 2005	DEL MAR BC HANDICAP (Gr. II) Three-year-olds and upward	One Mile (Turf)	\$350,000 Guaranteed
Thu. Aug. 25, 2005	Mon. Sep. 05, 2005	I'M SMOKIN STAKES Two-year-olds, bred in CA	Six Furlangs	\$100,000 Guaranteed
Thu. Aug. 25, 2005	Mon. Sep. 05, 2005	DEL MAR DERBY (Gr. ii) Three-year-olds	1 1/8 Miles (Turf)	\$400,000 Guaranteed
Fri. Jun. 03, 2005	Wed. Sep. 07, 2005	DEL MAR FUTURITY (Gr. II) Two-year-olds	Seven Furlangs	\$250,000 Guaranteed
			Less Breeders' Cup Less CBRF Less Overnights Less Estimated Fees	\$6,925,000 \$250,000 \$90,000 \$150,000 \$600,000 \$5,835,000

EXHIBIT 2

CHRB - Application for License to Conduct a Horse Racing Meeting

Race	Regular	Fridays 7/22, 7/29, 8/5, & 8/12	Fridays 8/19, 8/26 & 9/2	Sunday, 8/21
1 <sup>st</sup>	2:00 p.m.	4:00 p.m.	3:30 p.m.	1:00 p.m.
2 <sup>nd</sup>	2:32 p.m.	4:30 p.m.	4:00 p.m.	1:30 p.m.
3 <sup>rd</sup>	3:03 p.m.	5:00 p.m.	4:30 p.m.	2:00 p.m.
4 <sup>th</sup>	3:34 p.m.	5:30 p.m.	5:00 p.m.	2:40 p.m.
5 <sup>th</sup>	4:05 p.m.	6:00 p.m.	5:30 p.m.	3:05 p.m.
6 <sup>th</sup>	4:35 p.m.	6:30 p.m.	6:00 p.m.	3:35 p.m.
7 <sup>th</sup>	5:05 p.m.	7:00 p.m.	6:30 p.m.	4:05 p.m.
8 <sup>th</sup>	5:35 p.m.	7:30 p.m.	7:00 p.m.	4:40 p.m.
9 <sup>th*</sup>	6:05 p.m.			5:10 p.m.
10 <sup>th</sup> *	6:35 p.m.			5:40 p.m.

## POST-TIMES FOR PROPOSED DAILY RACING PROGRAM:

<sup>\*</sup> On certain days to be determined.

•		· · · · · · · · · · · · · · · · · · ·
	5.	Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: <b>None</b>
	6.	Number of outstanding shares in the corporation: 9
	7.	Are the shares listed for public trading?  If yes, on what exchange and how is the stock listed:  Yes X No
	8.	Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: Craig R. Fravel, Secretary
	9.	If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: None
	10.	Attach the most recent audited annual financial statement for the licensee, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission. On file with CHRB
***************************************	LLC 1. 2. 3. 4. 5. 6. 7. 8.	Registered name of the LLC: State where articles of organization are filed: Registry or file number for the LLC: Names of all officers and directors, titles, and the number of shares of the LLC held by each: Names (true names) of all members, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the LLC and the number of shares held by each: Are the shares listed for public trading? If yes, on what exchange and how is the stock listed:  No If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: Attach the most recent audited annual financial statement for the licensee, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the LLC and/or the Securities and Exchange Commission and/or the California Corporations Commission.
	OTH	Name(s) of partners/sole proprietor:
		If a northership, attach northership agreement

#### E.

D.

If a partnership, attach partnership agreement.

#### Management and Staff F.

Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 10B, who will be listed in the official program:

> Joseph W. Harper Craig R. Fravel Michael R. Ernst Thomas S. Robbins

President & General Manager **Executive VP & Corporate Secretary** Senior VP & Chief Financial Officer Vice President, Racing and Racing Secretary Craig A. Dado Vice President, Marketing Timothy A. Read **Director of Operations** Daniel G. Smith **Director of Media** 

**Debra Hennessy** Controller

Jacquelyn King **Director of Group Sales** Josh Rubinstein **Director of Marketing** Martha Gilson **Customer Service Manager** 

Director of Purchasing and Merchandising Kathleen McDonald

Paul Porter **Simulcast Director** Chris Bahr **Promotions Manager** William Navarro **Director of Parimutuels Nancy Bonforte Director, Private Turf Club Robert Sanchez** Plant Superintendent

Bill Sullivan **Director of Security** 

Trevor Denman **Public Address Announcer** Steve Wood Track Superintendent

Leif Dickinson **Turf Course Superintendent** 

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing address of such person(s) if other than the mailing address of the association:

Joseph W. Harper - President & General Manager Craig R. Fravel - Executive VP & Corporate Secretary

#### 5. **PURSE PROGRAM**

A. Estimated purse distribution:

> 1. All races other than stakes: \$13,865,000 2. Overnight stakes: \$900,000

Non-overnight stakes: 3.

\$5,835,000

Stakes Races: B.

> 1. Estimated purse distribution for all stakes races: \$6,735,000

Percentage of the estimated purse distribution for all stakes races that will be distributed for 2. California-bred stakes races: 10.5%

C. Estimated funds to be generated for all California-bred incentive awards: \$2,040,000

D. Estimated amount of payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s): \$779,500

Recognized Horsemen's Organization	Estimated Payment
Thoroughbred Owners of California Administration	\$213,400
CTT Administration	\$106,700
CTT Backstretch Personnel Pension Contribution	\$213,400
NTRA Dues	\$246,000

Total estimated amount from all sources to be distributed at the meeting in the form of purses or other E. benefits to horsemen: \$23,419,500

- F. Estimated purse funds to be generated from on-track handle and intrastate off-track handle: \$16,940,000
- G. Estimated purse funds to be generated from interstate handle: \$4,450,000
- H. Bank and account number for the Paymaster of Purses' purse account:

  Union Bank of California, San Diego Regional Office, Account #4000145780
- I. Name, address and telephone number of the pari-mutuel audit firm engaged for the meeting: Bowen, McBeth, Inc., 10722 Arrow Route, Suite 110, Rancho Cucamonga, CA 91730 (909) 944-6465

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses. who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Code Section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

#### 6. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 2,100
- B. Minimum number of stalls believed necessary for the meeting: 2,100
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 2,500
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: Fairplex 500 Santa Anita 1,500 San Luis Rey Downs 500
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

  SCOTWINC agreement is on file with CHRB

Complete subsections F through I if the association will request reimbursement for off-site stabling as provided by B&P Code Sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, skip to Section 7.

- F. Total number of usable stalls made available on-site for the 1986 meeting: 2,100
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: \$1,034,120 \$7.95 per day per stall (excluding vanning costs)

H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: Estimated vanning cost: \$189,170

Vanning per horse:

Santa Anita \$145 Hollywood Park \$145 Pomona \$145 San Luis Rey Downs \$80 Los Alamitos \$145

#### 7. PARI-MUTUEL WAGERING PROGRAM

A. Pursuant to B&P Code Section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, and TRI for trifecta.

	Type of Wager(s):	Applicable Rule(s):
Example Race:	\$5E, \$2DD	CHRB#1959; ARCI#V.E.
Race #1	\$2Q, \$1E, \$1PK3, \$2DD <sup>2</sup> , \$1 PPN, \$2PARLAY, \$1TRI, \$1SF	CHRB#1958, 1959, 1977, 1957, 1976.8, 1954.1, 1979, 1979.1
Race #2	\$2Q, \$1E, \$1PK3, \$2PARLAY, \$1TRI, \$1SF, \$2DD <sup>2</sup>	CHRB#1958, 1959, 1977, 1954.1, 1979, 1979.1, 1957
Race #3	\$2Q, \$1E, \$1PK3, \$2PARLAY, \$1TRI, \$1SF, \$2P(n)P (Pick 6), \$2DD <sup>2</sup>	CHRB#1958, 1959, 1977, 1954.1, 1979, 1979.1, 1976.9, 1957
Race #4	\$2Q, \$1E, \$1PK3, \$2PARLAY, \$1TRI, \$1SF, \$2DD <sup>2</sup>	CHRB#1958, 1959, 1977, 1954.1, 1979, 1979.1, 1957
Race #5	\$2Q, \$1E, \$1PK3, \$2PARLAY, \$1TRI, \$1SF, \$2DD <sup>2</sup> , \$1PK4 <sup>1</sup>	CHRB#1958, 1959, 1977, 1954.1, 1979, 1979.1, 1957, 1978
Race #6	\$2Q, \$1E, \$1PK3, \$1SF, \$2PARLAY, \$1TRI, \$2DD <sup>2</sup> , \$1PK4 <sup>1</sup>	CHRB#1958, 1959, 1977, 1979.1, 1954.1, 1979, 1957, 1978
Race #7	\$2Q, \$1E, \$2PARLAY, \$1TRI, \$1SF, \$2DD <sup>2</sup> , \$1PK4 <sup>1</sup>	CHRB#1958, 1959, 1954.1, 1979, 1979.1, 1957, 1978
Race #8	\$2Q, \$1E, \$1TRI, \$1SF, \$2DD <sup>2</sup> , \$1PK4 <sup>1</sup>	CHRB#1958, 1959, 1979, 1979.1, 1957, 1978
Race #9*	\$2Q, \$1E, \$1TRI, \$1SF <sup>1</sup> , \$2DD <sup>2</sup> , \$1PK4 <sup>1</sup>	CHRB#1958, 1959, 1979, 1979.1, 1957, 1978
Race #10*	\$2Q, \$1E, \$1TRI, \$1SF, \$1PK4 <sup>1</sup>	CHRB#1958, 1959, 1979, 1979.1, 1978

#### Exhibit 4

Alameda County Fair, Pleasanton Antelope Valley Fair, Lancaster Barona Casino, Lakeside Bay Meadows, San Mateo Big Fresno Fair, Fresno Cabazon Fantasy Springs Casino, Indio California State Fair & Expo, Sacramento Earl Warren Showgrounds, Santa Barbara Fairplex Park, Pomona Fresno Club One, Fresno Golden Gate Fields, Albany Hollywood Park, Inglewood Humbolt County Fair, Ferndale Kern County Fair, Bakersfield Los Alamitos Racecourse, Los Alamitos Monterey County Fair, Monterey National Orange Show, San Bernardino Redwood Acres Fair, Eureka San'Bernardino County Fair, Victorville San Joaquin County Fair, Stockton Santa Clara County Fair, San Jose Santa Anita Park, Arcadia Santa Maria Fair Park, Santa Maria Shalimar Sports Center, Indio Shasta District Fair, Anderson Solano County Fair, Vallejo Sonoma County Fair, Santa Rosa Stanislaus County Fair, Turlock Sycuan Gaming Center, El Cajon The Farmers Fair, Perris Tulare County Fair, Tulare Ventura County Fair, Ventura

Viejas Casino & Turf Club, Alpine

#### Exhibit 5

AmericaTab, Ohio

Arapahoe Park, Colorado

Arlington International Race Course, Illinois

Assiniboia Downs, Canada

Atlantic City Casino Assn., New Jersey Atlantic City Race Course, New Jersey

Atokad Park, South Dakota Balmoral Park, Illinois Barrie Raceway, Ontario Batavia Downs, New York

Beulah Park, Ohio

Birmingham Race Course, Alabama Blue Ribbon Downs, Oklahoma

Buffalo Trotting Association, New York

Calder Race Course, Florida

Caliente, Mexico

Canterbury Park, Minnesota Capital District OTB, New York

Capital Sports, Australia

Caymanas Race Track, Jamaica Charles Town Races, West Virginia

Churchill Downs, Kentucky Colonial Downs, Virginia Columbus Races, Nebraska Connecticut OTB, Connecticut

Dairyland Greyhound Park, Wisconsin

Delaware Park, Delaware Delta Downs, Louisiana Dover Downs, Delaware

Downs at Albuquerque, New Mexico

Downs at Santa Fe, (PTE Inc.), New Mexico

Edmonton Northlands, Canada

Ellis Park, Kentucky
Elmira Raceway, Ontario
Euro Off Track, Europe
Evangeline Downs, Louisiana
Fairgrounds Racecourse, Louisiana

Fairmount Park, Illinois

Finger Lakes Race Track, New York

Flamboro Downs, Ontario Fonner Park, Nebraska Foxboro Park, Massachusetts

Foxwoods Casino (LVDC), Connecticut

Fraser Park, British Columbia Freehold Raceway, New Jersey Geneva Lakes Kennel Club, Wisconsin

Gulf Greyhound, Texas Gulfstream Park, Florida

Harrington Raceway & Simulcast Facility,

Delaware

Hawthorne Race Course, Illinois

Hazel Park, Michigan Hiawatha Downs, Ontario

Hinsdale Greyhound Park, New Hampshire

Hipodromo de las Americas, Mexico Hipodromo Presidente Remon, Panama

Holiday Beach Hotel, Curacao

Hoosier Park, Indiana

International All Sports, Europe Jackson Harness Raceway, Michigan

Kawartha Downs, Ontario Keeneland, Kentucky Kentucky Downs, Kentucky Kentucky OTB, Kentucky

l' Hippodrome de Montreal, Canada

Las Vegas Dissemination Company, Nevada

Lebanon Trotting Club, Ohio

Les Bois Park, Idaho Lewiston OTB, Maine Lien Games, North Dakota

Lincoln Greyhound Park, Rhode Island

Lone Oak Racing, Oregon Lone Star Race Park, Texas Louisiana Downs, Louisiana

Manor Downs, Texas

Marquis Downs, Saskatchewan Maryland Jockey Club, Maryland

Maywood Park, Illinois

Meskwaki Casino (LVDC), Nevada

Mile High Greyhound, CO

Mobile Greyhound Park, Alabama Monmouth Park, New Jersey Montana State Fair, Montana Monticello Raceway, NY

Mountaineer Park, West Virginia Mt. Pleasant Meadows, Michigan Multnomah Greyhound Park, Oregon Muskegon Race Course, Michigan Nassau Downs OTB, New York Nebraska State Fair Park, Nebraska New Jersey Sports & Exposition Authority, New Jersey New Jersey New Mexico State Fair, New Mexico New York City Off-Track Betting,

New York

New York Racing Association, New York

Newport Jai-Alai, Rhode Island

Northfield Park, Ohio

Northville Downs, Michigan

Northwest Racing Associates Limited

Partnership, Washington

Oaklawn Park, Arkansas

Ocean Downs, Maryland

Pacific Racing Association, Canada

Penn National Race Course, Pennsylvania

Philadelphia Park, Pennsylvania

Pimlico Race Course, Maryland

Plainfield Greyhound Park, Connecticut

Pocono Downs, Pennsylvania

Portland Meadows, Oregon

Prairie Meadows, Iowa

Queensbury Downs, Saskatchewan

Raceway Park, Ohio

Racing and Gaming Services, St Kitts

Remington Park, Oklahoma

Retama Park, Texas

Rillito Park, Arizona

River Downs, Ohio

Roberts Television International, NV

Rockingham Park, New Hampshire

Ruidoso Downs, New Mexico

Saginaw Harness Raceway, MI

Sam Houston Park, Texas

Saratoga Raceway, New York

Scarborough Downs, Maine

Scioto Downs, Ohio

Seabrook Greyhound Park, NH

Southland Greyhound Park, Arkansas

Sports Creek Raceway, Michigan

Sportsman's Park, Illinois

St. Croix Greyhound Park, Wisconsin

Stampede Park, Alberta

Stevenson & Associates, Maryland

Suffolk Downs, Massachusetts

Sunland Park Racetrack, New Mexico

Tampa Bay Downs, Florida

The Meadows, Pennsylvania

The Racing Channel, Pennsylvania

The Racing Channel International, Europe

Thistledown Racing Club, Ohio

Thunder Ridge, Kentucky

Tonkawa Hotel & Casino, Oklahoma

Tri-State Greyhound, West Virginia

Trinity Meadows, Texas

TVG, Los Angeles

TVN, Australia

Turf Paradise, Arizona

Turfway Park, Kentucky

Valley Greyhound Park, Texas

Vernon Downs, New York

Western Fair, Ontario

Western Regional OTB, New York

Wheeling Downs, Canada

Windsor Raceway, Ontario

Wichita Greyhound Park, Kansas

Woodbine Entertainment Corp, Ontario

Wonderland Greyhound Park,

Massachusetts

Woodlands, Kansas

Wyoming OTB, Wyoming

Yavapai Downs, Arizona

Yonkers Raceway, New York

YouBet, Pennsylvania

AmericaTab, Ohio

Arapahoe Park, Colorado

Arlington International Race Course, Illinois

Atlantic City Casino Assn., New Jersey

Atlantic City Race Course, New Jersey

Atokad Downs, Nebraska

Balmoral Park, Illinois

Batavia Downs, New York

Beulah Park, Ohio

Birmingham Race Course, Alabama

Blue Ribbon Downs, Oklahoma

Buffalo Trotting Association, New York

Calder Race Course, Florida

Canterbury Park, Minnesota

Capital District OTB, New York

Capital Sports, Australia

Charles Town Races, West Virginia

Churchill Downs, Kentucky Colonial Downs, Virginia

Columbus Races, Nebraska

Connecticut OTB, Connecticut

Dairyland Greyhound Park, Wisconsin

Delaware Park, Delaware

Delta Downs, Louisiana

Dover Downs, Delaware

Downs at Albuquerque, New Mexico

Downs at Santa Fe, (PTE Inc.), New Mexico

Ellis Park, Kentucky

Euro Off Track, Europe

Evangeline Downs, Louisiana

Fairgrounds Racecourse, Louisiana

Fair Meadows, Oklahoma

Fairmount Park, Illinois

Finger Lakes Race Track, New York

Fonner Park, Nebraska

Foxboro Park, Massachusetts

Foxwoods Casino (LVDC), Connecticut

Freehold Raceway, New Jersey

Geneva Lakes Kennel Club, Wisconsin

Gulf Greyhounds, Texas

Harrington Raceway & Simulcast Facility,

Delaware

Hawthorne Race Course, Illinois

Hazel Park, Michigan

Hinsdale Greyhound Park, New Hampshire

Hoosier Park, Indiana

International All Sports, Europe

Jackson Harness Raceway, Michigan

Keeneland, Kentucky

Kentucky OTB, Kentucky

Kentucky Downs, Kentucky

Las Vegas Dissemination Company, Nevada

Lebanon Trotting Club, Ohio

Les Bois Park, Idaho

Lewiston OTB, Maine

Lien Games, North Dakota

Lincoln Greyhound Park, Rhode Island

Lone Star Race Park, Texas

Louisiana Downs, Louisiana

Manor Downs, Texas

Maryland Jockey Club, Maryland

Meskwaki Casino (LVDC), Nevada

Mobile Greyhound Park, Alabama

Monmouth Park, New Jersey

Monticello Raceway, NY

Mountaineer Park, West Virginia

Multnomah Greyhound Park, Oregon

Mt. Pleasant Meadows, Michigan

Nassau Downs OTB, New York

Nebraska State Fair Park, Nebraska

Nevada Pari-Mutuel Association, Nevada

New Jersey Sports & Exposition Authority,

New Jersey

New Mexico State Fair, New Mexico

New York City Off-Track Betting,

New York

New York Racing Association, New York

Newport Jai-Alai, Rhode Island

Northfield Park, Ohio

Northville Downs, Michigan

Oaklawn Park, Arkansas

Ocean Downs, Maryland

Penn National Race Course, Pennsylvania

Philadelphia Park, Pennsylvania

Pocono Downs, Pennsylvania

Portland Meadows, Oregon

Prairie Meadows, Iowa

Raceway Park, Ohio

Racing and Gaming Services, St. Kitts

Remington Park, Oklahoma

Retama Park, Texas

River Downs, Ohio

Rockingham Park, New Hampshire

Ruidoso Downs, New Mexico

Sam Houston Park, Texas

Saratoga Raceway, New York

Scarborough Downs, Maine

Southland Greyhound Park, Arkansas

Sports Creek Raceway, Michigan

Sportsman's Park, Illinois

Suffolk Downs, Massachusetts

Sunland Park Racetrack, New Mexico

Tampa Bay Downs, Florida

The Meadows, Pennsylvania

The Racing Channel, Pennsylvania

The Racing Channel International, Europe

Thistledown, Ohio

Timonium, Maryland

Tri-State Greyhound, West Virginia

Trinity Meadows, Texas

TVG, Delaware

TVN Australia

Turfway Park, Kentucky

Valley Greyhound Park, Texas

Vernon Downs, NY

Western Regional OTB, New York

Witchita Greyhound Park, Kansas

Wonderland Greyhound Park,

Massachusetts

Woodlands, Kansas

Wyoming OTB, Wyoming

Yavapai Downs, AZ

Yonkers Raceway, New York

Youbet, Pennsylvania

7/00/05	Arlington	Modesty Handicap	III	\$150,000	1 3/16 Miles (turf)
7/23/05	Arlington  Delaware Park	Vincent A. Moscarelli Memorial			6 Furlongs
7/23/05	Ellis Park	Don Bernhardt			6 1/2 Furlongs
7/23/05		American Derby	11	\$240,000	1 3/16 Miles (turf)
7/23/05	Arlington Belmont	The American Oaks	"	\$500,000	1 1/4 Miles
7/23/05	Emerald Downs	Mt. Rainier Breeders' Cup	•	\$100,000	1 1/8 Miles
7/24/05	Monmouth Park	The Salvator Mile	III	\$100,000	1 Mile
7/24/05	Belmont	The Evan Shipman Handicap		\$100,000	1 1/16
7/24/05 7/27/05	Saratoga	Schuylerville Stakes	11	\$150,000	6 Furlongs
		Sanford Stakes	11	\$150,000	6 Furlongs
7/28/05	Saratoga	Bernard Baruch Handicap	11	\$150,000	1 1/8 Miles (turf)
7/29/05	Saratoga	George Lewis Memorial Stakes	-''	\$50,000	1 1/8 Miles
7/29/05	Thistledown	Arlington Handicap	III	\$240,000	1 1/4 Miles (turf)
7/29/05	Arlington		11	\$350,000	1 3/16 Miles
7/30/05	Arlington	Washington Park Handicap	-"-		1 1/16 Mile (turf)
7/30/05	Ellis Park	Audubon Oaks		\$75,000	
7/30/05	Calder	Groomstick Handicap		\$75,000	6 1/2 Furlongs
7/30/05	Emerald Downs	Seattle Slew BC		\$65,000	1 1/16 Miles
7/30/05	River Downs	Queen City Oaks		\$75,000	1 1/8 Miles
7/30/05	Saratoga	Test Stakes		\$240,000	7 Furlongs
7/30/05	Saratoga	The Diana		\$500,000	1 1/8 Miles (turf)
8/1/05	Saratoga	Go For Wand		\$240,000	1 1/8 Miles
8/3/05	Saratoga	Lake George Stakes	111	\$100,000	1 1/16 Miles (turf)
8/5/05	Saratoga	Honorable Miss Handicap		\$150,000	6 Furlongs
8/8/05	Arlington	Sea O' Erin BC Mile		\$150,000	1 Mile (Turf)
8/8/05	Ellis Park	The Gardenia	[11	\$200,000	1 1/8 Miles
8/10/05	Saratoga	Whitney Handicap	1	\$750,000	1 1/8 Miles
8/10/05	Saratoga	Amsterdam Stakes	11	\$150,000	6 Furlongs
8/10/05	Saratoga	Jim Dandy Stakes	11	\$500,000	1 1/8 Miles
8/10/05	Monmouth Park	The Matchmaker	III	\$100,000	1 1/8 Miles (turf)
8/10/05	Monmouth Park	The Oceanport Hcp	111	\$100,000	1 1/16 Miles (turf)
8/10/05	Monmouth Park	The Haskell Invitational Handicap	1	\$1,000,000	1 1/8 Miles
8/11/05	Saratoga	National Museum Hall of Fame Hc	11	\$150,000	1 1/8 Miles (turf)
8/12/05	Saratoga	A.P. Smitwick Memorial (S'Chase)	11	\$75,000	2 1/16 Miles (turf)
8/14/05	Calder	Desert Vixen Stakes		\$75,000	6 Furlongs
8/14/05	Calder	Dr. Fager Stakes		\$75,000	6 Furlongs
8/14/05	Saratoga	Sword Dancer Invitational Hcp	1	\$500,000	1 1/2 Miles (turf)
8/14/05	Arlington	Secretariat Stakes	ı	\$400,000	1 1/4 Miles (turf)
	Arlington	Beverly D. Stakes	ı	\$750,000	1 3/16 Miles (turf)
8/14/05		Arlington Million	<del>                                     </del>	\$1,000,000	1 1/4 Miles (turf)
8/14/05	Arlington	Larry Riviello Presidents Cup	+ '	\$100,000	1 Mile
8/14/05	Philadelphia Park			\$200,000	6 1/2 Furlongs
8/14/05	Ellis Park	Ellis Breeders' Cup	11	\$200,000	
8/14/05	Saratoga	The Mr.Vanderbilt Handicap	- 11	\$200,000	lo Lationas

8/15/05	Monmouth Park	Monmouth BC Oaks	l II	\$200,000	1 1/16 Miles
8/15/05	Saratoga	The West Point	† ·		1 1/8 Miles (turf)
8/18/05	Saratoga	The Yaddo	1		1 1/8 Miles (turf)
8/20/05	Saratoga	The Spinaway	II		7 Furlongs
8/20/05	Calder	Aspidistra Handicap			1 Mile (turf)
8/20/05	Arlington	The BC Oaks			1 1/8 Miles
8/20/05	Monmouth Park	The Phillip H. Iselin Handicap		\$200,000	1 1/8 Miles
8/20/05	Saratoga	Hopeful Stakes	ļ	\$200,000	7 Furlongs
8/20/05	Ellis Park	Anna M. Fischer Debutante		\$100,000	7 Furlongs
8/20/05	Emerald Downs	Washington BC Oaks		\$100,000	1 1/8 Miles
8/20/05	Saratoga	Alabama Stakes	1	\$750,000	1 1/4 Miles
8/21/05	Emerald Downs	Emerald Breeders' Cup Distaff		\$100,000	1 Mile
8/21/05	Emerald Downs	Longacres Mile	111	\$240,000	1 Mile
8/21/05	Saratoga	Saratoga's BC Handicap	ll.	\$240,000	1 1/4 Miles
8/21/05	Ellis Park	James C. Ellis Juvenile		\$100,000	7 Furlongs
8/24/05	Saratoga	Lake Placid Handicap	11	\$150,000	1 1/8 Miles (turf)
8/25/05	Saratoga	The Albany		\$150,000	1 1/8 Miles
8/26/05	Saratoga	Personal Ensign Handicap	1	\$400,000	1 1/4 Miles
8/27/05	Saratoga	Travers Stakes	1	\$1,000,000	1 1/4 Miles
8/27/05	Emerald Downs	Barbara Shinpoch Stakes		\$75,000	1 Mile
8/27/05	Saratoga	Fourstardave Handicap	- 11	\$200,000	1 1/16 Miles (turf)
8/27/05	Saratoga	King's Bishop	1	\$240,000	7 Furlongs
8/27/05	Calder	The Needles Stakes		\$75,000	1 1/16 Miles (turf)
8/27/05	Arlington	Arlington BC Sprint		\$150,000	6 Furlongs
8/27/05	Monmouth Park	The Sapling Stakes	111	\$100,000	6 Furlongs
8/27/05	Ellis Park	The Governor's Handicap		\$75,000	1 Mile
8/28/05	Saratoga	Ballerina Handicap	1	\$240,000	7 Furlongs
8/29/05	Saratoga	Ballston Spa BC Handicap	- 111	\$200,000	1 1/16 Miles (turf)
9/1/05	Saratoga	New York Turf Writers	1	\$100,000	2 3/8 Miles (turf)
9/3/05	Calder	Affirmed Stakes	_	\$124,000	7 Furlongs
9/3/05	Calder	Susan's Girl Stakes		\$124,000	7 Furlongs
9/3/05	Ellis Park	The Dade Park Turf		\$100,000	1 1/16 Miles (turf)
9/3/05	River Downs	Pepsi Bassinet Stakes		\$100,000	6 Furlongs
9/3/05	Delaware Park	Delaware Park - NATC Sorority	_	\$200,000	6 Furlongs
9/3/05	Delaware Park	Delaware Park - NATC Futurity		\$200,000	6 Furlongs
9/3/05	Arlington	Arlington Matron Handicap	111	\$150,000	1 1/8 Miles
9/3/05	Saratoga	Forego Handicap	1	\$240,000	6 1/2 Furlongs
9/4/05	Saratoga	Glen Falls Handicap	111	\$100,000	1 3/8 Miles (turf)
9/5/05	Saratoga	Saranac Handicap	III	\$100,000	1 3/16 Miles (turf)
9/5/05	Emerald Downs	Emerald Downs BC Derby		\$100,000	1 1/8 Miles
9/5/05	River Downs	Miller G.D. Cradle Stakes		\$200,000	1 1/16 Miles

9/5/05	Ellis Park	The Tri-State Handicap		\$100,000	1 1/16 Miles (turf)
9/5/05	Philadelphia Park	Steve Van Buren Handicap	\$75,000	7 Furlongs	
9/5/05	Philadelphia Park	Pennsylvania Oaks		\$100,000	1 Mile & 70 Yards
9/5/05	Philadelphia Park	Pennsylvania Derby	- 11	\$750,000	1 1/8 Miles
9/5/05	Thistledown	The Governors Buckeye Cup		\$75,000	1 1/4 Miles

Within the US, the Del Mar Thoroughbred Club is working with Northern California to coordinate a full-card simulcast signal from the New York Racing Association and Arlington Park along with selected stakes races. We will notify the board as soon as possible if changes occur.

Out of the country, the Del Mar Thoroughbred Club is working with Northern California to coordinate a full-card simulcast signal from Woodbine, Hastings Park in Canada & Victoria Raceway, Sydney Jockey Club in Australia along with selected stakes races. We will notify the board as soon as possible if changes occur.

F. For QUARTER HORSE racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

#### QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track

Race Dates

Full Card or Selected Feature and/or Stakes Races

H. For **STANDARDBRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

#### HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track

Race Dates

Full Card or Selected Feature and/or Stakes Races

I. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

#### OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name (	of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported				
09/05/05	Ruidoso	All American Futurity	I	\$2,200,000	440 Yards			

J. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Section 19596.2(d), attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of B&P Code Sections 19596, 19596.1, 19596.2, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds,

such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5.)

#### 9. CHARITY RACING DAYS

A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: **Del Mar Thoroughbred Club**, **P.O. Box 700**, **Del Mar**, **CA 92014** 

B. Names and addresses of the trustees or directors of the distributing agent:

Rollin Baugh P.O. Box 2461 17845 Via de Fortuna Rancho Santa Fe, CA 92067 Dr. James R. Buell 4790 Caughlin Pkwy. #518 Reno, NV 89505

Robert S. Strauss 1333 New Hampshire Ave.NW Washington, DC 20036 Joseph W. Harper P.O. Box 700 Del Mar, CA 92014

Terrence Lanni MGM/Mirage 3600 Las Vegas Blvd., South Las Vegas, NV 89109 Warner C. Lusardi 1570 Linda Vista Drive San Marcos, CA 92078

Betty Mabee P.O. Box 2833 Rancho Santa Fe, CA 92067 Thomas C. Stickel 50 Blue Anchor Coronado, CA 92118

Martin J. Wygod
 P.O. Box 7188
 Rancho Santa Fe, CA 92067

- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of B&P Code Section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 20% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of B&P Code Section 19556(b).

## 10. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

## A. Racing officials nominated:

Placing Judges

Association Veterinarian(s) Ray Baran, DVM Ruben Hernandez Clerk of Scales Clerk of the Course Melanie Stubblefield Film Specialist Charles McCaul Horse Identifier Dianne Piper Victor Tovar Horseshoe Inspector Dawn Jackson Paddock Judge Patrol Judges Sue Brent

Charles McCaul Robert Moreno Tora Yamaguchi Merry Young

Starter Timer Gary Brinson Dane Nelson

B. Management officials in the racing department:

Vice President, Racing

Thomas S. Robbins

Racing Secretary

Thomas S. Robbins

**Assistant Racing Secretary** 

Rick Hammerle

Paymaster of Purses

**Bridget Crawford** 

Others (identify by name and title)

N/A

C. Name, address and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Barbara Weinstein, PMB 265

9582 Hamilton Ave, Huntington Beach, CA 92646, (714) 964-7102

D. Photographic device to be used for photographing the finish of all races, name of the person supplying 'the service, and expiration date of the service contract:

Plusmic Corporation, William O'Brien, Digital Photo Finish Only

Contract ends: After 2007 Race Season

E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. Karl Schmitt, Churchill Downs Simulcast Productions, Inc.

Expiration: 12/31/06 Equipment list on file with the Board.

For all dirt and turf races, video patrol will utilize five manned cameras - Three tower cameras showing the backstretch head-on (Training track tower), far turn and stretch run back view (Quarter Chute Camera tower) and stretch run head-on (Poultry Barn camera tower), Two pan cameras located adjacent to the placing judges room in the Press Box cover the entire race. Additionally, we have a pan camera on the 7th floor, which covers the entire race in 16 x 9 format for our video board presentation. We also utilize a remote camera for the head-on view of turf races.

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: **Solely owned by Association**.

#### 11. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. **Exhibit 9**
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 75

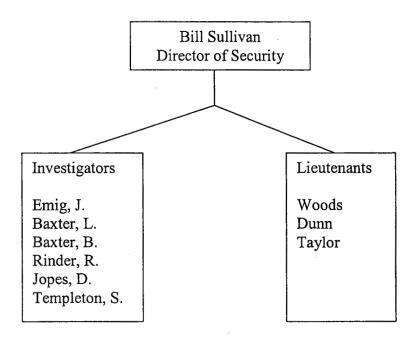
#### Exhibit 9

## **Security Personnel**

Person responsible for security controls on the premises:

Bill Sullivan, Director of Security.

Security Department:\*



#### Contact Information

Bill Sullivan

Work 858-755-1141 ext. 3652, Home 760-753-6003

Sam Templeton All others Work 858-755-1141 ext. 3698

Work 858-755-1141 ext. 3650

<sup>\*</sup>List of Security Personnel subject to change. Individuals will be hired by the union on a seniority basis.

- 1. Attach a written plan for enhanced security for graded/stakes races. See Attachments
- 2. Attach a written plan for enhanced surveillance barns.
  Del Mar Thoroughbred Club utilizes seven pan/tilt/zoom cameras located at various places in the barn area. The images from these cameras are recorded on disk drives and stored for up to three weeks before being rerecorded. Control of these cameras is located in the Investigators' Office of the CHRB during the meet.
- C. Describe the electronic security system: See above item B2.
  - 1. Location and number of video surveillance cameras for the detention barn and stable gate. For the meet we will be utilizing twelve stalls in barn "KK" as our detention barn. The exact layout has yet to be determined, but we will install fixed cameras in each of the ten detention stalls as well as fixed cameras viewing the shedrow from both directions. As with the barn area, surveillance control and viewing will be from the CHRB Investigators' office on the Frontside.
- D. For night racing associations: Describe emergency lighting system: Not applicable

#### 12. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

Huntington Ambulance, Box 145, Sunset Beach, CA 90742, (562) 904-1550.

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Fairplex: EMS, Los Alamitos, CA Dean Gross, Owner-Tel: (714) 821-6166

Santa Anita: Huntington Ambulance Service - See (A) above

San Luis Rey Downs: Fallbrook/Bonsall Fire Department/Paramedics - Tel: (760) 723-2005

- C. Attach a fire clearance from the fire authority having jurisdiction over the premises.
   Clearance will be completed after San Diego County Fair runs June 10, 2005 July 4, 2005.
- D. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):

Zenith Insurance Company, Policy No.: Z047828005, Effective 3/31/05 - 3/31/06

E. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation. **Exhibit 10** 

#### 13. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each: Exhibit 11



## **MEMORANDUM**

TO:

California Horse Racing Board

FROM:

Craig R. Fravel

DATE:

5/12/2005

SUBJECT:

Supplement to License Application

This memorandum is submitted as an addendum to Section 11(B) (1) of the Del Mar Thoroughbred Club's license application in order to provide additional detail regarding enhanced security for graded stakes at the 2005 race meet. In 2004, Del Mar was the first track in California to implement enhanced security for all graded stakes. Working in conjunction with TOC and CTT, Del Mar set the stage for further development of security procedures at Fairplex, Oak Tree, Santa Anita and Hollywood Park.

## Components of the 2005 plan include the following:

- Assignment of event security personnel to each graded stakes entry beginning no less than six (6) hours prior to post-time for the race;
- Training of event security personnel by the Del Mar racing office, Del Mar security supervisors and CHRB investigators;
- Provision and monitoring of log/surveillance sheets requiring all individuals entering the stall of an entrant to provide license information and purpose of entry;
- 4. Hand-held digital video cameras for all event surveillance personnel to record activity by persons entering stalls;
- 5. Total carbon dioxide (TC0<sub>2</sub>) level testing of entries in all races consistent with the testing at preceding race meets;
- Compliance with TCO<sub>2</sub> detention barn procedures, protocols and disciplinary procedures as set forth in agreements with TOC and CTT. A copy of current protocols and procedures is attached as Exhibit A.
- Enhanced authority to conduct additional supplementary testing as set forth in Section 22 of Del Mar's 2005 stall application, a copy of which is attached as Exhibit 3.

# **EXHIBIT A**

Track is entitled to perform physiological tests consisting of the taking of blood samples from owners' horses entered in races to be conducted at the 2005 Meet. It is the understanding of the parties that such samples will be tested for bicarbonate levels. Trainers of horses showing a total carbon dioxide (TCO2) of 37 millimoles per liter of plasma or more, and owners' horses within their control, will be subject to the following:

- A. 1st Offense For the first test that results in a total carbon dioxide (TCO2) of 37 millimoles per liter of plasma or more, the registered trainer of such horse may have his/her barn, and all owners' horses stabled therein, subject to "earned surveillance" for a period of 45 days from the date of the Such earned surveillance will be conducted at infraction. TRACK's sole discretion, and may include, but not be limited to, the placing of surveillance cameras with recording devices in any location within that barn that Track deems necessary and appropriate to ensure the integrity of racing. Additionally, owners' horses entered by said trainer anytime within a period of 30 days after notification of the first offense, may be subject to confinement in a detention barn beginning at noon on the day before said horse is scheduled to compete. Such detention barn will be established at management's sole discretion, with the costs of such detention/surveillance including, but not limited to, \$150.00 for security and \$25.00 for stall renovation per horse/entrant, being born by the trainer or owner of such horse.
- B. 2nd Offense For the second test that results in a total carbon dioxide (TCO2) of 37 millimoles per liter of plasma or more, the registered trainer of such horse shall be prohibited from entering any owners' horse within his/her care in a race for a period of 15 race days.
- C. 3rd Offense For the third test that results in a total carbon dioxide (TCO2) of 37 millimoles per liter of plasma or more, the registered trainer of such horse shall be expelled from TRACK's enclosure for a period of one year, and shall further be precluded from entering any owners' horses within his care for the balance of Track's 2005 Meet.

Track further agrees that it shall enforce these penalties when imposed as a result of similar contract provisions with other racing associations in California. By way of example only, Track agrees that should any horse running at its meet be subject to detention barn confinement as the result of a positive at another association, Track will continue to require detention barn confinement for the remainder of said 30 day period. Also by way of example, should a trainer have a TCO2 positive or positives at a race meet other than its own and a subsequent positive at Track's race meet or at another associations meet, Track will enforce paragraph B, and may, at its discretion, enforce paragraph C above as though the original positive(s) had taken place at its meet.

### Exhibit 3

# UNIFORM STALL APPLICATION CONDITIONS APPLICABLE TO OCCUPATION OF STALL SPACE AT CALIFORNIA RACING ASSOCIATIONS

The undersigned Trainer ("Applicant") hereby agrees to each of the following terms and conditions in consideration for and as a condition of the granting of permission by the Racing Association designated on the reverse side of this application ("Track") for the stabling of horses being trained by the undersigned at Track, or at any approved auxiliary training facility, during the race meeting identified on the face of this application (the "Race Meet"):

- 1. Track reserves the right without notice to the Applicant, (a) to refuse this application for stable space in whole or in part, or (b) to refuse the entry or the acceptance of any entry in any race or (c) to refuse the transfer of an entry for any cause, so long as said refusal is not in violation of the terms of the race meet agreements with either the Thoroughbred Owners of California or California Thoroughbred Trainers.
- 2. If any horse is denied stable space or the privilege of registration for racing for any reason, all nomination fees and payments for eligibility to stake races that have been paid with respect to the horse shall be refunded and such sum will be deducted from the total amount subscribed for the particular race or races. Allocations of stall space are made only with the agreement of Applicant that Track reserves to itself the exclusive right, in its sole discretion, to enter, modify, alter, or change the physical condition or use of its facilities: that the permission granted to Applicant to use Track's facilities is solely for the purpose incidental to racing, does not constitute a lease of the facilities and Track maintains the sole interest in and exclusive control of its premises and facilities: and the Track reserves to itself the exclusive right and sole discretion to reduce or totally eliminate the number of stalls or other accommodations assigned and/or change the location of stall or accommodations assigned to Applicant.
- 3. After submitting this application for stalls, the undersigned shall notify Track immediately of any change in stall requirements and, if stalls have been allocated, of any shipping schedules or change in shipping arrangements.
- 4. Applicant has inspected the stalls and other accommodations at Track, or if applicable at any approved auxiliary training facility, and agrees to accept any stalls or accommodations assigned pursuant to this application in an "as is" condition. Applicant understands that Track makes no representation or warranty as to the condition or use of stalls and other accommodations assigned.
- 5. Applicant agrees to remove his horses and/or those in his possession, charge, or control together with all personal property belonging to him or of which he has possession, charge or control from Track forthwith upon the expiration of seventy-two (72) hours after Track has given notice in writing to vacate the stabling accommodation, which notice may be given in the absolute discretion of Track without any reason or cause existing or being stated or given; any such notice or any other given hereunder shall be sufficiently given by posting up such notice on some portion of the stabling accommodation allotted to him and such notice shall be deemed to be given at the time when the same is posted up. The Applicant understands that his employees shall leave Track, forthwith upon the expiration of seventy-two (72) hours after notice has been given as aforesaid. Applicant agrees that if upon the expiration of the said seventy-two (72) hours notice hereinbefore mentioned, any horse or horses or personal property belonging to him or in his possession, charge or control, have not been removed from Track, Track shall be entitled to remove the same from Track without further notice to a suitable location at Applicant's expense and Track shall in no event be responsible for any such horse or horses removed as aforesaid. Applicant agrees that in the event of the horses being stabled

- elsewhere at his expense as foresaid that the person, firm or corporation stabling the horses or paying for the stabling of same shall have all the rights as provided by law.
- 6. The undersigned Applicant represents that Applicant is familiar with and has read the Rules of Racing and Regulations of the California Horse Racing Board, and all conditions applicable to the Race Meet, as well as the rules and regulations of Track, and agrees to be bound by and to comply with the same. All stable reservations and entries in stakes and all other races shall be subject to all conditions, rules and regulations of the California Horse Racing Board and Track and in this application contained. Applicant represents that the owners of all horses listed on the reverse of this application have authorized Applicant to sign this application and have agreed that their horses being trained by Applicant will be bound thereby. All references herein to Applicant or to Applicant's horses, equipment, agents or employees, shall include said owners and their horses, equipment, agents and employees.
- 7. The Stewards of the Race Meet or the California Horse Racing Board shall decide all disputes, claims and objections relating to the conduct of racing, or with respect to interpretation of any rules or conditions of racing or otherwise. Subject to all legal rights of appeal, Applicant agrees to accept and abide by all decisions of such officials pertaining to the presence or conduct of themselves or of their agents and employees on the premises of Track.
- 8. In all stakes, entries may be made only through the entry box by the usual time of closing of entries, on the day before the race, or at other times when the conditions for the race specifically so state.
- 9. Track reserves the right to cancel any stake or other race, which has not filled prior to the actual running thereof without liability to any person, except for the return of nomination and entrance fees, paid. Track reserves the right to divide the added money for any stake that is run in more than one division.
- 10. Horses claimed by, or transferred or sold to, any person or stable which is not registered for racing at Track must be removed from the grounds within twenty-four (24) hours after they are claimed, transferred or sold unless their continued presence is approved by the racing association then conducting racing at Track.
- 11. Track will in no event be considered to have responsibility for the care, custody or control of horses kept at Track or elsewhere. Applicant assumes full responsibility for the safety and well-being of all horses stabled by Applicant at Track, and agrees to take all reasonable measures for the protection of such horses, including providing adequate supervision for such animals while on the premises, hiring competent personnel to care for such animals at all times, cleaning and maintaining stalls assigned pursuant to this application and removing any hazardous condition from such stalls which is known to Applicant or Applicant's employees, or if Applicant believes that such condition should be remedied by Track, promptly calling to the attention of Track in writing, any such hazardous conditions. Applicant further agrees to properly supervise all Applicant's employees, agents, their express invitees, and other persons known to them to be in the area assigned to them pursuant to this application, and hereby acknowledges that they are responsible for the conduct of their employees, agents and express invitees, and that Track has no obligation to remedy any condition on the Track premises which may be caused by the negligence of or willful conduct of any such employees, agents or express invitees, unless it has prior written notice of the existence of such condition and has had a reasonable opportunity to repair such condition.
- 12. The Applicant understands that there may be numerous hazards and risks of injury to Applicant, and Applicant's agents, owners, or employees, upon the Track premises at Applicant's invitation and to their property, which hazards, and risks inherently incidental to accepting stalls at, practicing for, and participating in thoroughbred horse racing at Track
- 13. Applicant shall maintain an accurate Stable Employee Registration List and shall submit a copy thereof to Track. Applicant shall pay his/her stable personnel at wage rates

conforming to applicable laws. Applicant's stable personnel shall continue to be covered under the prevailing and applicable statutory employee benefit programs such as Worker's Compensation, Disability, Unemployment, Social Security and the like. Applicant shall comply with the Fair Employment Practices Act and shall not discriminate by reason of race, color, creed, national origin or sex in hiring employees. Applicant acknowledges that his/her stable personnel are employees of the Applicant and that no employment relationship exists between such stable personnel and Track.

- 14. In making this application to participate in thoroughbred racing, Applicant understands that an investigative report may be made whereby information is obtained by Track through personal interviews with third parties, such as family members, business associates, financial sources, friends, neighbors, or others with whom he is acquainted. This inquiry may include information as to his/her character, general reputation, personal characteristics and mode of living, which may be applicable. Applicant has the right to make a written request within a reasonable period of time for a complete and accurate disclosure of additional information concerning the nature and scope of the investigation.
- 15. Each party to this stall application shall be liable for its own acts and omissions as well as those of its agents, employees and express invitees to the same extent as would be provided at law without such provision.
- 16. Applicant agrees to indemnify and hold harmless Track and its affiliates against any and all claims, liabilities, losses, costs, damages and attorneys' fees incurred by reason of the negligence of Applicant or Applicant's agents, employees and invitees, or resulting from Applicant or Applicant's agents, employees and invitees, violation of this agreement, including, but not limited to, violation of the rules and regulations of the CHRB and Track and violation of city and county fire, health or law enforcement, ordinances, rules and regulations. Applicant's indemnity shall not extend to liabilities, claims, losses, damages or attorneys' fees arising out of the negligence or willful acts or omission of Track or its respective agents or employees.
- 17. If horses accepted for racing at Track are assigned to an approved auxiliary training facility, then the provisions of this application shall also apply to such other facility.
- 18. Applicant agrees to be responsible for any damages to rooms assigned to Applicant caused by Applicant or Applicant's employees, agents and invitees. In the event such damage is repaired by Track, the cost of such repairs shall be charged to the Applicant.
- 19. Emergency Action Plan: Applicant shall be responsible for complying with all OSHA regulations as they pertain to Applicant's employees. Track is not responsible for training or accounting for any employees other than its own during an emergency. Copies of the Track Emergency Action Plan are available in the Operations office upon request.
- 20. The granting of stalls and other accommodations pursuant to this application in whole or in part shall not constitute a lease of space but a revocable license only and may be revoked at any time by Track in its absolute discretion.
- 21. The undersigned Applicant acknowledges that each additional starter in a race is projected to produce an increase in mutuel handle, which, is in turn projected to increase purse revenues. Applicant understands that he/she is expected to average at least one (1) start per month for each stall allotted during the race meet covered by this application.
- 22. Track is entitled to perform physiological tests consisting of the taking of blood samples from owners' horses entered into races to be conducted at the Race Meet. Such samples will be tested for bicarbonate levels. Trainers of horses showing a total carbon dioxide per liter of plasma in excess of the level specified in the Race Meet Agreement(s) between the Track and the Thoroughbred Owners of California or California Thoroughbred Trainers shall be subject to the disciplinary measures specified in such Agreement
- 23. In order to assure the protection of the public, to maintain and encourage confidence in the integrity of horse racing and to safeguard the health and safety of human and equine participants, Applicant acknowledges that Applicant and Applicant's agents, employees and affiliates have no expectation of privacy with

respect to stalls and living quarters and appurtenant facilities provided by Track. Track specially reserves the right to conduct searches of stall areas, offices, tack rooms, and other living quarters assigned to Applicant at any time and without notice and to conduct supplemental testing of horses in training or competition, and Applicant hereby consents to such actions. Track may, in its discretion, establish rules, regulations, testing and security procedures that may limit or eliminate Applicant's ability to participate in racing or training activities at Track or any auxiliary training facility, subject only to the agreement(s) of the Thoroughbred Owners of California and the California Thoroughbred Trainers. Any such rules, regulations or procedures shall be in addition to and shall not supplant or be supplanted by rules and regulations of the California Horse Racing Board or the testing specified in Paragraph 22.

THIS IS NOT A LEASE OF ANY SPACE BUT IS MERELY A REVOCABLE LICENSE OR PERMIT ON THE TERMS OUTLINED

TRAINER'S SIGNATURE	
Print Name	
. DATE	
Worker's Compensation Insurance Carrier Date of Expiration	Policy Number
Address of Carrier	•

#### **DEL MAR SECURITY**

#### **SECURITY GUARDS**

One (1) guard at Receiving Barn from	1130-1900 hours
One (1) guard at Testing Barn from	1130-1900 hours

One (1) guard at Official Gate/Frontside Stable Area from 2400-0300 hours CLOSED

0300-1000 hours 1000-1700 hours 1700-2400 hours

One (1) guard at Backside Stable Security Office from
Two (2) guards at Backside Stable Security Office from
One (1) guard at Backside Stable Security Office from
One (1) guard at Backside Stable Security Office from
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Two (2) guards at Backside Stable Patrol 0600-1300 hours

1600-2300 hours 2300-0600 hours

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#### **CONCESSIONS:**

George Karetas, President Premier Food Services, Inc. 7966 Arjons Drive San Diego, CA 92126

#### TIP SHEETS:

Bruce M. Andrews, Jr.

Deuce Bruce

5910 Rancho Mission Rd.

#33

San Diego, CA 92108

**Toby Turrell** Winners

14112 Enfield Circle

Westminster, CA 92683

Bob Baedeker Baedeker, Inc. 130 West El Portal

San Clemente, CA 92672

Tiffany Bohland Bob's Card, Inc.

420 S. Rolling Hills Place

Anaheim Hills, CA 92807

Harry Acquarelli **Duke Racing Selections** 6632 West 87th Street Los Angeles, CA 90045

## SADDLERY SERVICES:

Jarry Hobson

Hobson Saddlery 28 North 1st Avenue

Arcadía, CA 91066

Frank Wipfli

Western Saddlery, Inc. 7038 Commerce Circle Pleasanton, CA 94588

#### **RACING COLORS:**

Carol Henderson

Ann Roper Racing Colors

P.O. Box 1432

Glendora, CA 91740

June Gee

West Coast Racing Colors 1825 S. Peck Road, Suite E

Monrovia, CA 91016

Stephanie Searle Classic Silks

P.O. Box 4572 Burlingame, CA 94011

#### MISCELLANEOUS CONCESSIONS/SERVICES:

H.E. Copeland

**Armored Transport of California** 

3610 Barnett Street

San Diego, CA 92110

Robert Benoit

Benoit & Associates, Inc.

Track Photographers

2011 Cedar Ave.

Manhattan Beach, CA 90266

Stiles Animal Removal Inc.

Michael Costello

**United/Puett Electrical Starting** 

**Gates** 

One Soundview Loop South Salem, NY 10590

John Agostino, M.D.

**Del Mar Medical Clinic** Track Physicians 1335 Camino Del Mar

Del Mar, CA 92014 .

Steve Stiles

P.O. Box 428

Alta Loma, CA 91701

Steve Warner

**Thoroughbred Feed** 

6200 Vista Del Mar # 115 Playa Del Ray, CA 90293

Gary S. Bell Citrus Feed

PO Box 249 La Verne, CA 91750 Angel P. Unamuno Angel's Feed

4518 Rowland Ave El Monte, CA 91731 **Bob Gordon** Gordon Feed Co.

5450 E. Katella # 111

Los Alamitos, CA 90720

Michael Brunner Westwalk Corp.

Hotwalkers 583 36<sup>th</sup> St.

Manhattan Beach, CA 90265

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#### 14. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Estimated advertising budget for meeting:
- B. Describe any promotional plans and estimated budget for each promotional activity for meeting:
- C. Number of hosts and hostesses employed for meeting:

We employ approximately 50 customer service representatives on weekdays (Monday, Wednesday and Thursday) and 60 on weekends (Friday, Saturday and Sunday).

D. Describe facilities set aside for new fans:

At Del Mar, virtually the entire facility is considered a new fan area, with customer service locations on every floor and in every betting area and with a complete staff of customer service representatives moving about the facility. In addition, a large portion of new fans are introduced to racing through our Group Sales department which provides customized introductions to racing or the day's card tailored to individual groups. Del Mar also offers a variety of free seminars and handicapping education for more serious newcomers.

- E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:
  - ' 1. Horsemen

Complete renovation of Turf Course and replacement of kikuyu racing surface with GN Bermuda grass

Replacement of portable stalls used in Barn W (Restricted area)

Repainting of block barns, living quarters, and restrooms (Restricted area)

Drywall ceilings in tack rooms and living quarters (Restricted area)

Ongoing replacement of balconies and stairways in the backstretch living areas (Restricted area) Ongoing replacement of flooring, door vents, and window screens in living quarters (Restricted area)

New morning workout light pole in maintenance yard (Restricted area)

2. Fans

Ongoing replacement of television monitors
Ongoing replacement of Grandstand and patio furniture

3. Facilities in the restricted areas

See E1

#### 15. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (Stretch Run) \$5.00 (includes official program)
Admission (Clubhouse) \$8.00 (includes official program)

Reserved seating (Stretch Run) \$5.00 (\$15 Opening Day & Pacific Classic, \$10 Sat & Sun, \$8 Fri)\*
Reserved seating (Clubhouse) \$5.00 (\$15 Opening Day & Pacific Classic, \$10 Sat & Sun, \$8 Fri)\*

Parking (general) \$5.00
Parking (valet) \$20.00
Programs (on-track) \$2.00
Programs (off-track) \$2.25\*\*

# \*Increase of \$3 for Opening Day and Pacific Classic Day, Increase of \$2 for Sat and Sun \*\*Increase of 25 cents

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Season Turf Club Membership (2 persons):	\$1050.00*
Season Turf Club Membership (1 person):	\$725.00
Daily Turf Club Admission (member guests):	\$35.00 (\$75 on Opening Day)**
Daily Turf Club Admission (non-member):	\$50.00 (\$100 on Opening Day)
Stretch Run & Clubhouse Season Box Seats:	\$235.00 to \$260.00 per seat
Season Reserved Seat:	\$220.00

<sup>\*</sup>Increase of \$100

D. Describe any "package" plans such as combined parking, admission and program: Every paid admission includes a program.

## 16. JOCKEYS/DRIVERS' QUARTERS

Α.	Check the applicable	amenities	available in	the	jockeys/drivers'	quarters:
----	----------------------	-----------	--------------	-----	------------------	-----------

,	(	Corners (l	ocker	s and cubicles)		How many	73	3
,	_	Showers	х	Steam room, sa	una or stean	n cabinets	х	Lounge area
٦,	=	Masseur	х	Food/beverage	service		х	Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers: Includes a locker area (2), shower area, sauna area, lounge area, food and beverage service and a certified scale.

#### 17. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing completed by Chief Investigator Robert Nieto on 2/3/2005.
- B. Number of rooms used for housing on the backstretch of the racetrack: 654
- C. Number of restrooms available on the backstretch of the racetrack: 81
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: 14.8 workers to 1 restroom facility

#### 18. TRACK SAFETY

- A. Total distance of the racecourse measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:

  5,280

  feet.
- B. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

<sup>\*\*</sup>Increase of \$25 on Opening Day

Fontana Inner rail: Aluminum

Outer rail: Galvanized Steel Tubing

Inner rail supports: Aluminum with 9" offset.

Coverings: Fontana Safety panels.

Approximate height of the top inner rail: 40".

- C. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: Robert Sanchez, Plant Superintendent
- D. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On file with CHRB.
- E. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

#### 19. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): No exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. In negotiations
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made). On file with CHRB.
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):

  No exceptions
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

# 20. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that	it I have examined this application, that all of the foregoing
statements in this application are true and corn	rect, and that I am authorized by the association to attest to this
application on its behalf.	
Craig Fravel	Cross Rtavel
Print Name	Signature
	•
Executive Vice President	April 20 2005
Print Title	Date

# STAFF ANALYSIS May 26, 2005

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE SOLANO COUNTY FAIR AT VALLEJO JULY 13-25, 2005.

Solano County Fair filed its application to conduct a horse racing meeting at Vallejo:

• July 13-25, 2005, or 11 days, the same as 2004. The fair proposes to race a total of 125 races, the same as 2004.

July – 2005						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4 H	5	6	7	8	9
10	11	12	13	14	.15	16 (-
17-10-1	18	19	20	21	5 22 The Transition	23
24	25	26	27	28	29	30
31	parties and the second					

- Racing 5 days the first week, Wednesday through Sunday and 6 days the second week, Wednesday through Monday. 11 races per day Monday, Wednesday, Thursday and Friday and 12 Saturday and Sunday.
  - Number of horses available determines the number of daily races programmed by breed.
  - No racing Monday, July 18 or Tuesday, July 19.
- First post 12:45 p.m.
- Specific changes from the 2004 license application:
  - Vicki Layne replaces Anna Hamilton as paymaster of purses.
  - Added two security personnel that will be used as rovers in the backstretch area for security.
- Request Patrick Kealy be appointed horse identifier pursuant to CHRB Rule 1525.
- Track safety requirements have been fulfilled.
- Wagering program will use CHRB rules.
  - Early wagering will not be offered.
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Inspection of backstretch worker housing completed.

Specific information still needed to complete this application includes:

# FROM CARF:

1. Contract for stabling and vanning – in negotiations.

# RECOMMENDATION:

Staff recommends the Board approve the application conditioned upon receiving the additional information necessary to conduct the racing meeting.

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR
HRB-18 (Rev. 01/05)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions (B&P) Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

#### 1. APPLICANT FAIR ASSOCIATION

	A.	Solano (	nailing addr County Fai rgrounds D CA 94589	r Associatio		•	r: 707) 551-2000 707) 642-7947		
	B.	Fair asso	ociation is a		rict Fair	X County		Citrus Fruit	
		<b>APPLICANT</b> CHRB Rule			•	osition and State 190 days before 1	the scheduled star	Other quali	
2.	DAI	TES OF I	RACE MEI	ETING		:	•		
	A.	Inclusive	e dates of ra	ce meeting:	Wedneso	day July 13 <sup>th</sup> t	hrough Mond	ay, July 25	, 2005
	B.	Dates ra	cing will <b>N</b> O	T be held:	Monday	, July 18 <sup>th</sup> and	l Tuesday, Jul	y 19 <sup>th</sup> , 2005	5
	C.	Total nu	mber of rac	ing days:	11				
3.	RAC	CING PR	OGRAM						
	A.	Total nu	mber of rac	es: 125 d	lepending	on availability	of horses/mu	les	
	В.	Number	of races by	breed:					
		92	Thoroughbi Arabians	reds 8		r Horses	6 Appaloo	osas	
	C.	Number	of races dai	lly:					
	Tho	proughbred	Sunday 9	Monday 8	Tuesday 0	Wednesday 8	Thursday 8	Friday 8	Saturday 9
		ner Breeds	3	3	0	3	3	3	3
	Tot	al	12	11	0	11	11	11	12

Application received: 414/05
Reviewed: PN

CHRB CERTIFICATION

Hearing date: 5/26/05

Approved date:
License number:

C. Greg Brent

Richard Horner

John McGary

Larry Swartzlander

	D.	Total number of stakes r	aces by breed	d: 5			
		Thoroughbreds	1 Q	uarter Horses	App	aloosas	
		1 Arabians	P	aints	Mul		
	_						
	E.	Attach a listing of all stake purse for each. Attac		indicate the date	to be run and t	he added money o	r guaranteed
	F.	Will provisions be made	for owners a	and trainers to us	se their own re	sistered colors?	
		Yes No		what racing colo			
	G.	List all post times for the	e daily racing	program:		•	
		Race 1	12:45 p.m.	, i C			
		Race 2	1:13 p.m.				
		Race 3	1:45 p.m.				
		Race 4	2:16 p.m.				
		Race 5	2:45 p.m.				
		Race 6	3:15 p.m.				
		Race 7	3:45 p.m.				
		Race 8 Race 9	4:15 p.m. 4:45 p.m.			· .	
		Race 10	5:15 p.m.				
		Race 11 **	5:45 p.m.				
		Race 12 **	6:15 p.m.				
			n applicable				
	limited	APPLICANT: Every licensee cor to California-bred horses, to be R ASSOCIATION					ng of at least one
	A.	Names of the fair director	ors:				
		William Luiz, President		Cyndy Gill,	Vice President	Don He	initz, Treasurer
		Denese Allen		Rebecca Bar		Ray Bo	ell
		Mick Freese		David Hami	lton	Joe Lo	pez
		Richard Martinez		Patricia Moi	reira	Norma	Placido
		Marilyn Royse		Jim Sams		Raymo	ond Simonds
	В.	Names of the directors se	erving on the	Racing Committ	ee or otherwise	responsible for the	e conduct of
		the racing program:	C			1	
		Ray Simonds Chairma	n Mi	ck Freese, Vice	Chairman	Joe Lopez	
		Jim Sams		rylin Royse		Dave Hamilto	<b>.</b>
		Cyndy Gill	IVIA	ymi Royse		Dave Hamilto	,
	•	Cyndy Gm					
	C.	Name and title of the fai	r manager or	executive office	er and the name	es and titles of all	department
		managers and fair staff,	other than t	hose listed in 9F	3, who will be	listed in the offici	al program:
		Joe Barkett		General Ma	anager		_ ~
,		Patrick Skelton			neral Manage	r ·	

Deputy General Manager

**Director of Racing Operations On-Site** 

Racing Secretary

Race Announcer

Pari-Mutuel Manager

# 2005 SOLANO COUNTY FAIR STAKES RACES PROPOSED

(Subject to approval of Breed Associations)

#### **THOROUGHBRED:**

Vacaville Handicap - 25th Running

\$50,000 Guaranteed

Sat., July 16th

**QUARTER HORSE:** 

Solano Quarter Horse Derby – 14<sup>th</sup> Running

\$10,000 Guaranteed

Sun., July17th

3 Year Olds - 400 Yards

**ARABIANS:** 

Vallejo Arabian Handicap – 7<sup>th</sup> Running 3 Year Olds and Upward - 5 1/2 Furlongs

\$10,000 Guaranteed

Sat., July 23th

\*TENTATIVE PROPOSED THOROUGHBRED OVERNIGHT STAKES

\$40,000 Added

Sat., July 23rd

Fairfield Stakes  $-28^{th}$  Running \$40,0 Fillies 3 Years Old -6 Furlongs (Plus up to \$12,000 for Cal-Breds)

Solano County Juvenile Filly Stakes – 43<sup>nd</sup> Running

\$50,000 Added

Sun., July 24th

Fillies 2 Years Old – 5 ½ Furlongs

(Includes \$10,000 from Cal-Bred Race Fund)

Bred in California

Trackmasters, Inc. (Steve Wood)

Matt Nichols

John McGary

Track Superintendent
Stewards' Aide
Morning Line Maker

#### 5. PURSE PROGRAM

A. Estimated purse distribution:

1. All races other than stakes: \$1,283,800

2. Overnight stakes: \$90,000 (Includes \$10,000 from CTBA)

3. Non-overnight stakes: \$70,000

B. Estimated funds to be generated for all California-bred incentive awards: \$117,368

C. Estimated amount of payment to each recognized horsemen's organization contracting with the fair and the name(s) of the organization(s):

Recognized Horsemen's Organization	Estimated Payment
CTT	\$ 4,480
TOC	\$ 8,960
·NTRA	\$12,064
PCQHRA	\$ 1,017
CWAR	\$ 706
ARAC	\$ 7,620
AMRA	\$ 4,913
CHBPAPEN	\$13,440
CTHF	<u>\$13,440</u>
	\$66,640

- D. Total estimated amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen: \$1,627,808
- E. Estimated purse funds to be generated from on-track handle and intrastate off-track handle: \$802,055
- F. Estimated purse funds to be generated from interstate handle: \$215,112
- G. Bank and account number for the Paymaster of Purses' purse account:

  Paymaster functions handled under agreement with CARF.

  WestAmerica Bank Account #601064512
- H. Name, address and telephone number of the pari-mutuel audit firm engaged for the meeting: Disher Accountancy Corp., 1816 Maryal Drive, Sacramento, CA 95864, 916-482-4224

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the fair and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated

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to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Code Section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

#### 6. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 864 (Option to use portable stalls, dependent upon number of available horses)
- B. Minimum number of stalls believed necessary for the meeting: 2,264
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 3,984
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:

Alameda County Fair – 684 (open and operated as training center by Alameda County Fair) Sonoma County Fair - 1000 Golden Gate Fields – 1400 Bay Meadows – 900

E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. In negotiations.

Complete subsections F through I if the fair will request reimbursement for off-site stabling as provided by B&P Code Sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, skip to Section 7.

- F. Total number of usable stalls made available on-site for the 1986 meeting: 964
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
  Northern California Stabling and Vanning Fund
- H. Estimated cost to provide **vanning** from off-site stalls for this meeting. Show fees to be paid for vanning **per-horse**: -0-

#### 7. PARI-MUTUEL WAGERING PROGRAM

A. Pursuant to B&P Code Section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

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Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, and TRI for trifecta.

#### **TYPE OF WAGERS**

#### APPLICABLE RULES

Example Race

\$1 E; \$2 Twin Trifecta

CHRB #1959; RCI #VP

	Scientific Games Racing/Autotote (Equipment description on file with the	(David Payton) ne Board)	September 30, 2005
E.	Type(s) of pari-mutuel or totalizator organization, the name of the person(s) contract:	supplying equipment,	and expiration date of the service
D.	Will "advance" or "early bird" wagering If yes, when will such wagering begin:	be offered? Ye	es X No
C.	List any options requested with regard to	exotic wagering: No	ne
<b>B</b> ,	Maximum carryover pool to be allowe designated for distribution of the carryov		
-	4 on last four races P pick six on last six thoroughbred races		
	\$1Tri, \$2Q, \$2DD, \$1SF	CHRB#1959, #1979, #1958	•
Race#10 \$1E	, \$1Pk3, \$1Pk4*, \$1Tri, \$2Q, \$2DD, \$1SF , \$1Tri, \$2Q, \$2DD, \$1SF		8, #1979, #1958, #1957, #1979.1
	, \$1Pk3, \$1Pk4*, \$1Tri, \$2Q, \$2DD, \$1SF, \$2PNP* , \$1Pk3, \$1Pk4*, \$1Tri, \$2Q, \$2DD, \$1SF, \$2PNP*		8, #1979, #1958, #1957, #1979.1, #1976.9 8, #1979, #1958, #1957, #1979.1, #1976.9
Race #7: \$1E	\$1Pk3, \$1Pk4*, \$1Tri, \$2Q, \$2DD, \$1SF, \$2PNP*	CHRB#1959, #1977, #1978	8, #1979, #1958, #1957, #1979.1, #1976.9
	, \$1Pk3, \$1Tri, \$2Q, \$2DD, \$1SF, \$2PNP* , \$1Pk3, \$1Tri, \$2Q, \$2DD, \$1SF, \$2PNP*		9, #1958, #1957, #1979.1, #1976.9 9, #1958, #1957, #1979.1, #1976.9
	, \$1Pk3, \$1Tri, \$2Q, \$2DD, \$1SF, \$2PNP*		9, #1958, #1957, #1979.1, #1976.9
Race #3: \$1E	\$1Pk3, \$1Tri, \$2Q, \$2DD, \$1SF	CHRB#1959, #1977, #1979	
	, \$1Pk3, \$1Tri, \$2Q, \$2DD, \$1SF , \$1Pk3, \$1Tri, \$2Q, \$2DD, \$1SF	CHRB#1959, #1977, #1979 CHRB#1959, #1977, #1979	
	21712 2471 222 2277 2477		

#### 8. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:

  California Authority of Racing Fairs

  Northern California off-Track Wagering, Inc. (NOTWINC)
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools. **On file with the Board.**
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

  All California facilities authorized to accept the signal
- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal: **Attached**

# 2005 Northern California Racing Fairs - Simulcast Department

# 8. SIMULCAST WAGERING PROGRAM

- D. Out-of-state wagering systems the fair proposed to offer its live audiovisual signal.
- E. Out of-state wagering systems that will combine their pari-mutuel pools with those of the fair.

# Prepared:4/15/05

Separate:

Caliente (MIR)	CA
Caymanas Park	JAM
Fraser Downs	CAN
Hastings Entertainment Inc.	BC
Las Vegas Dissemination Co.	NV
Stevenson & Associates, Inc.	MD
Teletheatre BC	CA
Woodbine Entertainment Group	CAN

Commingled:

Commingled:	
America Tab	ОН
AmWest Entertainment	KY
Arapahoe Park	СО
Arlington International Racecourse	IL.
Atlantic City Casino Assn.	NJ
Atlantic City Race Course	NJ
Atlantis Paradise Island Casino	FL
Atokad Downs	NE
Balmoral Park / Maywood	IL.
Beulah Park	OH
Birmingham Race Course	AL
Blue Ribbon Downs	ОК
Bluffs Run Greyhound Park & Casino	IA
Boyd Gaming, dba Delta Downs	LA
Buffalo Trotting Association	NY
Calder Race Course	FL
Canterbury Park	MN
Capital District OTB	NY
Capitol Sports Pty Ltd.	AUS
Catskill OTB	NY
Charles Town Races	WV
Choctaw Racing Services, LLC	ОК
Churchill Downs	KY
Coeur d' Alene Casino	ID
Coeur d' Alene Casino Phone	ID
Coeur d' Alene Greyhound Park	ID
Colonial Downs	VA
Columbus Races	NE-
Comanche Nation Games	OK
Connecticut OTB	CT
Corpus Christi Greyhound Race Track	TX
Crystal Palace Casino	BAH
Dairyland Greyhound Park	WI
Delaware Park	DE
Dover Downs	DE
Downs at Albuquerque	NM
Ellis Park	KY
Emerald Downs	WA
Equus St Thomas Racing	VI
Euro Off Track	FL
European Simulco AKA MEC Sport & Entertainment	CA
Evangeline Downs	LA

Excelsior Casino	AR
Fair Grounds Race Course	LA
Fair Meadows at Tulsa	ОК
Fairmount Park	IL
Finger Lakes Race Track	NY
Fire Lakes	ОК
Fonner Park	NE
Freehold Raceway	NJ
Geneva Lakes Kennel Club	WI
Gillespie County Fair Race Meet	
Great Lakes Downs	TX
	MI
Gulf Greyhound Park	TX
Gulf Greyhound Park @ Post Falls	ID
Gulfstream Park	FL
Harrington Raceway AKA Midway Slots	DE
Hawthorne National LLC	IL
Hazel Park	MI
Hinsdale Greyhound Park	NH
Hoosier Park	IN
Horsemen's Park	NE
Indiana Downs	IN
International All Sports	OH
International Wagering Sytems, Inc.	CA
Jackson Harness Raceway	
	MI
Keeneland Association	KY
Kentucky OTB	KY
LVDC Atlantis Paradise Island Casino, Bahamas	NV
LVDC Cities of Gold Sports Bar (Pojoaque), NM	NV
LVDC Comanche Nation Games, OK	NV
LVDC Elite Turf Club, Curacao	NV
LVDC Fire Lakes Entertainment	NV
LVDC Foxwoods Resorts Casino, CT	NV
LVDC Holiday Beach, Cuaraco	NV
LVDC Meskwaki Bingo & Casino, IA	NV
LVDC Red River Casino, OK	NV
LVDC Spirit Mountain Casino, OR	NV
LVDC The Stables, OK	NV
LVDC Tonkawa Bingo, OK	NV
Lebanon Raceway	OH
Les Bois Park Simulcast Network	ID
Lewiston Raceways, Inc.	
	ME
Lien Games, Inc.	ND
Lincoln Greyhound Park	RI
Lone Star Race Park Ltd.	TX
Louisiana Downs (Harrah's)	LA
Manor Downs	TX
Maryland Jockey Club	MD
Midway Slots & Simulcast/Harrington Raceway	DE
Montana Simulcast Partners	MT
Monticello Raceway	NY
Mount Pleasant Meadows	MI
Mountaineer Park	WV
Nassau/Suffolk OTB	NY
Nevada Pari-Mutuel Association	NV
New Jersey Sports & Exposition Authority	N3
	NY
New York City Off-Track Betting	
New York City Off-Track Betting New York Racing Association	) NIV
New York Racing Association	NY
New York Racing Association Newport Jai-Alai	RH
New York Racing Association Newport Jai-Alai Northfield Park	RH OH
New York Racing Association Newport Jai-Alai Northfield Park Northville Downs	RH OH MI
New York Racing Association Newport Jai-Alai Northfield Park Northville Downs Oaklawn Park	RH OH MI AR
New York Racing Association Newport Jai-Alai Northfield Park Northville Downs Oaklawn Park Ocean Downs	RH OH MI AR MD
New York Racing Association Newport Jai-Alai Northfield Park Northville Downs Oaklawn Park Ocean Downs Penn National Race Course	RH OH MI AR MD PA
New York Racing Association Newport Jai-Alai Northfield Park Northville Downs Oaklawn Park Ocean Downs	RH OH MI AR MD
New York Racing Association Newport Jai-Alai Northfield Park Northville Downs Oaklawn Park Ocean Downs Penn National Race Course	RH OH MI AR MD PA

Portland Meadows	OR
Prairie Meadows	IA
Raceway Park	ОН
Racing & Gaming Services, Inc.	WI
Remington Park	OK
Retama Park	TX
River Downs	OH
Rockingham Park	NH
Rosecroft Raceway	MD
Royal River Racing (Bettor Racing OTB)	ID
Ruidoso Downs	NM
Saginaw Harness Raceway	MI
Sam Houston Race Park, LTD	
	TX
Saratoga Raceway	NY
Scarborough Downs	ME
Scioto Downs	OH
Seabrook Greyhound	NH
Southland Greyhound Park	AR
Sports Creek Raceway	MI
State Fair Park	NE
Stevenson & Associates, Inc. (Common)	MD
Stevenson & Associates, Inc., Books	MD
Suffolk Downs	MA
Suffolk Downs OTB	MA
Sunland Park	NM
SunRay Park	NE
Tampa Bay Downs	FL
The Greyhound Park at Post Falls	ID
The Meadows	PA
The Racing Channel, Inc. (Domestic)	OR
The Racing Network International, Inc.	OR
The Texas Hub at Gulf Greyhound	TX
Thistledown Racing	ОН
Tri-State Racetrack & Gaming Center	WV
Turf Paradise	AZ
Turfway Park	KY
TVG Network	CA
U.S. Off Track, LLC	FL
Valley Greyhound Park (Sam Houston site)	TX
Vernon Downs	NY
Western Regional OTB	NY
Wheeling Downs	WV
Wichita Greyhound Park	KS
Wonderland Greyhound Park	MA
Woodlands	KS
Wyoming Downs Rodeo Events, LLC dba PONYUPBET	WY
Wyoming OTB	WY
XpressBet, Inc	CA
Yavapai	AZ
Yonkers Raceway	NY
Youbet	CA
Zia Park Racetrack	NM

- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

  Attached
  - 1. Include a list of out-of-state sites with the percentage the fair is charging the site for the simulcasting activity.
- F. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

  Attached

NOTICE TO APPLICANT: B&P Code Section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 23 imported thoroughbred races statewide. The limitation of 23 imported thoroughbred races per day statewide does not apply to those races specified in B&P Code Section 19596.2(a)(1), (2), (3) and (4).

#### THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track

Race Dates

Full Card or Selected Feature and/or Stakes Races

G. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

N/A

#### OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track

Breed of Horse

Race Dates

Number of Races to be Imported

H. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Section 19596.2(d), attach a copy showing agreement by the appropriate racing association(s). N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of B&P Code Sections 19596, 19596.1, 19596.2, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5.)

# 9. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated: Association Veterinarian(s) Clerk of Scales

Robert Connolly, DVM (Race/Fitness/Track Fred Alexander

# 2005 Northern California Racing Fairs

# 8. SIMULCAST WAGERING PROGRAM

F. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

# THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates Full Card	l or Selected Feature and/or Stakes Races
Arlington Park	6/15 - 9/18/05	Full or Partial Cards
Assiniboia Downs	6/15 - 10/16/05	Full or Partial Cards
Australia	6/15 - 10/16/05	Full or Partial Cards
Calder	6/15 - 10/16/05	Full or Partial Cards
Canterbury Park	6/15 - 9/5/05	Full or Partial Cards
Churchill Downs	6/15 - 7/10/05	Full or Partial Cards
Delaware Park	6/15 - 10/16/05	Full or Partial Cards
Ellis Park	7/13 - 9/5/05	Full or Partial Cards
Fort Erie	6/15 -10/16/05	Full or Partial Cards
Hastings Park	6/15 - 10/16/05	Full or Partial Cards
Hawthorne	9/23 -10/16/05	Full or Partial Cards
Hoosier Park	6/15 -10/16/05	Full or Partial Card
Laurel Park	8/10 - 8/26/05	Full or Partial Cards
Lone Star	6/15 - 7/17/05	Full or Partial Cards
Louisiana Downs	6/15 -10/16/05	Full or Partial Cards
Meadowlands	9/30 - 10/16/05	Full or Partial Cards
Monmouth Park	6/15 -10/16/05	Full or Partial Cards
Northlands Park	6/15 - 10/16/05	Full or Partial Cards
NYRA	6/15 -10/16/05	Full or Partial Cards
Penn National	6/15 -10/16/05	Full or Partial Cards
Philadelphia Park	6/15 -10/16/05	Full or Partial Cards
Pimlico	9/8 -10/16/05	Full or Partial Cards
Prairie Meadows	6/15 -10/16/05	Full or Partial Cards
Remington Park	8/5 -10/16/05	Full or Partial Cards
Suffolk Downs	6/15 -10/16/05	Full or Partial Cards
Thistledown	6/15 -10/16/05	Full or Partial Cards
Timonium	8/27 -10/16/05	Full or Partial Cards
Turfway Park	9/7 -10/16/05	Full or Partial Cards
Woodbine	6/15 -10/16/05	Full or Partial Cards

Assistant Clerk of Scales

**Danny Winick** 

Clerk of the Course

Anne Daftary (Thoroughbreds)
Dolores Collins (Emerging Breeds)

Assistant Clerk of the Course

Film Specialist
Horse Identifier
Horseshoe Inspector

Tina Walker James Dreyer Patrick Kealy Jack Hammonds

Paddock Judge Gary Lawless
Patrol Judges James Dreyer

Paul Nicolo

Placing Judges

Kenneth Sjordal

Ella Robinson

Starter Timer Robert Mooneyhan Melody Truitt - CARF

B. Management officials in the racing department:

Director of Racing

Joe Barkett

Racing Secretary

C. Greg Brent

Assistant Racing Secretary

Linda Anderson

Paymaster of Purses

Vicki Layne

Others (identify by name and title)

C. Name, address and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

Niccoli Reporting Associates

619 Pilgrim Drive, Foster City, CA 94404, (650) 573-9339

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

PLUSMIC Corporation, USA (William O'Brien) December, 7, 2007

E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

Pegasus Communications, Inc. (Jim Porep) April 30, 2008

Equipment description on file with the Board

3 cameras in towers, 1 hand held camera, 1 pan camera in announcer's booth

F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

Pegasus Communications, Inc. (Jim Porep) April 30, 2008

Equipment description on file with the Board

#### 10. SECURITY CONTROLS

A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Mark Coffman – Security Coordinator – (707) 551-20013

See attached.

#### SOLANO COUNTY FAIR ASSOCIATION

CHRB Application Addendum Sec. 10. A.

Mr. Mark Coffman serves as Solano County Fair Security Coordinator. Mr. Coffman's duties include supervision of year round security for the fairground facility as well as all security aspects of the annual fair. Mr. Coffman supervises a year round staff of three Customer Service Representatives who serve as security personnel at interim events and have responsibility for facility protection. Mr. Coffman also coordinates the activities of the Solano County Fair contracted security service, Service Pro Inc., who provides both armed and unarmed uniformed security personnel for the Watch and Wager Facility (Watch and Wager guards are licensed by the CHRB), during interim events on the grounds and fairtime uniformed security. In addition, Mr. Coffman serves a liaison with the Solano County Sheriff's Department who provide police services on grounds during the annual fair. Mr. Coffman has been employed by the Solano County Fair for the past five years and is well acquainted with the backstretch security issues. He has an excellent working relationship with CHRB investigators assigned to the fair meet.

CARF is currently meeting with CHRB staff to determine methods to address security issues throughout the fair racing circuit. The Solano County Fair intends to participate in any security determinations that CARF and CHRB develop.

B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

Security Guards – average six per day Stable watchmen – 2 Stable Gate personnel – 3
Grandstand – 2

**Backstretch Rovers 2** 

- 1. Attach a written plan for enhanced security for graded/stakes races. N/A
- 2. Attach a written plan for enhanced surveillance of barns. Attached
- C. Describe the electronic security system.

Electronic Security System consists of an alarm panel located in the money room of the parimutuel area in the grandstand. California Security monitors this system 24 hours.

#### 11. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

Medic Ambulance, 506 Couch Street, Vallejo, California 94590, (707) 644-8989

B. 'Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

Alameda County Fair

**American Medical Response** 

640 143<sup>rd</sup> Street

San Leandro, CA 94577

(510) 895-7600

**Bay Meadows** 

**Bay Shore Ambulance** 

P.O. Box 4622

Foster City, CA 94404

(650) 525-9700

Sonoma County Fair Sonoma Life Service 1415 N. Dutton Ave., Suite C

Santa Rosa, CA 95401

(707) 579-9542

Golden Gate Fields
Turf Rescue LLC
19615 Barclay Road

Castro Valley, CA 94546

(510) 581-8470

- C. Attach a fire clearance from the fire authority having jurisdiction over the premises. Fire inspection scheduled for 5/16/2005.
- D. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation. **Attached**

#### 12. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:

Food and Beverage Service

Freestyle Food Service

**PO Box 130** 

Pleasanton, CA. 94566

Jockey's Laundry

Bailey Mobile Laundry 3263 Vineyard Avenue #25

Pleasanton, CA 94566



a California joint powers agency

1776 Tribute Road, Suite 150 Sacramento, California 95815 Office: 916.927.7223 - Fax: 916.263.3341 www.racingfairs.org

# Member Fairs

ALAMEDA COUNTY FAIR Pleasanton, CA

ANTELOPE VALLEY FAIR Lancaster, CA

CALIFORNIA STATE FAIR Sacramento, CA

> DEL MAR FAIR Del Mar, CA

EARL WARREN SHOWGROUNDS Santa Barbara, CA

FARMERS FAIR & EXPOSITION Perris, CA

THE BIG FRESNO FAIR Fresno, CA

HUMBOLDT COUNTY FAIR Ferndale, CA

> KERN COUNTY FAIR Bakersfield, CA

MONTEREY COUNTY FAIR Monterey, CA

NATIONAL DATE FESTIVAL Indio, CA

NATIONAL ORANGE SHOW San Bernardino, CA

REDWOOD ACRES FAIR Eureka, CA

SAN BERNARDINO COUNTY FAIR Victorville, CA

SAN JOAQUIN COUNTY FAIR Stockton, CA

SAN MATEO COUNTY FAIR San Mateo, CA

SANTA BARBARA COUNTY FAIR
Santa Maria, CA

SHASTA DISTRICT FAIR Anderson, CA

SOLANO COUNTY FAIR Vallejo, CA

SONOMA COUNTY FAIR Santa Rosa, CA

STANISLAUS COUNTY FAIR Turlock, CA

TULARE COUNTY FAIR Tulare, CA

VENTURA COUNTY FAIR Ventura, CA May 12, 2005

Ms. Ingrid Fermin, Executive Director California Horse Racing Board 1010 Hurley Way Sacramento, CA 95815

Dear Ingrid,

Please consider this letter an attachment to the 2005 License Applications for San Joaquin Fair, Alameda County Fair, Solano County Fair, Sonoma County Fair, Humboldt County Fair and the Fresno District Fair.

Total Carbon Dioxide (TCO2) Testing

On behalf of its member Fairs listed above, California Authority of Racing Fairs (CARF) will manage a program of TCO2 testing for all Thoroughbred starters and for starters of other breeds as the Board may specify. CARF intends to enter into an agreement with the Maddy Equine Analytical Chemistry Laboratory at the University of California at Davis to perform the actual blood testing. We are presently in discussion with Dr. Scott Stanley as to the particulars of that agreement.

Our testing program will be consistent with TCO2 testing currently conducted by other racing associations in the state. Please find attached to this letter an excerpt of our Agreement with Thoroughbred Owners of California (TOC) pertaining to this program.

#### **Detention Stalls**

Each Fair above will designate detention stalls sufficient to stable horses subject to confinement or to "earned surveillance" as specified in the Agreement with TOC. A video surveillance system will be installed to record activities in the area of the detention stalls. At management's discretion, security personnel may be posted to monitor horses in detention. Costs of detention, including, but not limited to, \$150.00 for security and \$25.00 for stall renovation per horse/entrant will be borne by the trainer or owner of such a horse.

Thank you for your consideration.

( his toll

Respectfully submitted.

Christopher Kbrby Executive Director

# Excerpt from CARF-TOC Agreement Pertaining to TCO2 Testing and Consequences For Infractions

- 8.15. Track is entitled to perform physiological tests consisting of the taking of blood samples from owners' horses entered in races to be conducted at the 2005 Meet. It is the understanding of the parties that such samples will be tested for bicarbonate levels. Trainers of horses showing a total carbon dioxide (TCO2) of 37 millimoles per liter of plasma or more, and owners' horses within their control, will be subject to the following:
- 1<sup>st</sup> Offense For the first test that results in a total carbon dioxide (TCO2) of 37 millimoles per liter of plasma or more, the registered trainer of such horse may have his/her barn, and all owners' horses stabled therein, subject to "earned surveillance" for a period of 45 days from the date of the infraction. Such earned surveillance will be conducted at TRACK's sole discretion, and may include, but not be limited to, the placing of surveillance cameras with recording devices in any location within that barn that Track deems necessary and appropriate to ensure the integrity of racing. Additionally, owners' horses entered by said trainer anytime within a period of 30 days after notification of the first offense, may be subject to confinement in a detention barn beginning at noon on the day before said horse is scheduled to compete. Such detention barn will be established at management's sole discretion, with the costs of such detention/surveillance including, but not limited to, \$150.00 for security and \$25.00 for stall renovation per horse/entrant, being born by the trainer or owner of such horse.
- B. 2nd Offense For the second test that results in a total carbon dioxide (TCO2) of 37 millimoles per liter of plasma or more, the registered trainer of such horse shall be prohibited from entering any owners' horse within his/her care in a race for a period of 15 race days.
- C. 3rd Offense For the third test that results in a total carbon dioxide (TCO2) of 37 millimoles per liter of plasma or more, the registered trainer of such horse shall be expelled from TRACK's enclosure for a period of one year, and shall further be precluded from entering any owners' horses within his care for the balance of Track's 2005 Meet.

The parties further acknowledge and agree that the balance, or a portion thereof, of any penalties imposed by another licensed California Thoroughbred racing association, pursuant to contract provisions similar to those contained herein in Subsections A through C, shall be recognized and enforced by TRACK at its 2005 meet. For the purpose of this Section, said penalties are deemed to be cumulative, and shall be enforced as if any prior elevated TCO<sub>2</sub> test or tests had occurred at TRACK's 2005 meet. Accordingly, any subsequent offense penalty imposed by TRACK pursuant to Subsections B and/or C may, in its discretion, include and be based upon a violation of similar contractual provisions at any other meet conducted by a licensed California Thoroughbred racing association.



P.O. Box 15518, Sacramento, CA 95852

tel 916.921.2213 fax 916.263.6159

www.cfsa.org

March 16, 2005

To: California Horse Racing Board (CHRB)

Attn: Pat Noble

1010 Hurley Way, Suite 300 Sacramento, CA 95825

Re: Solano County Fair Association

900 Fairgrounds Drive Vallejo, CA 94589

Please be advised that the Solano County Fair Association is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

#### I. COMPREHENSIVE GENERAL LIABILITY PROGRAM

Limit \$10 million CSL per occurrence Coverage continuous until cancelled

#### II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY

A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority

Coverage continuous until cancelled

B. Excess Coverage (a) Workers' Compensation: \$50,000,000 in excess of \$500,000

(b) Employers' Liability: \$10,000,000 in excess of \$500,000

Coverage provided by California Public Entity Insurance Authority

Term: 07/01/2004 to 07/01/2005

CFSA represents to CHRB that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Solano County Fair Association as set forth in CHRB's "Insurance Requirements".

You will be given at least thirty (30) days notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely.

Lianne Lewellen Risk Analyst <u>Tip Sheets</u> <u>Armored Car Services</u>

Jan Reed NOTWINC

Nikki's Secrets 11875 Dublin Blvd. P.O. Box 70207 Dublin, CA 94568

Pt. Richmond, CA 94807-0207

Sound SystemProgram PrintingVernon FrenchDaily Racing Form879 Brookdale Avenue100 Broadway

Vallejo, CA 94590 New York, NY 10005

Winner's Circle Photographs Starting Gate

Patricia Visco United/Puett Start Gate Co.

5024 Katella Avenue, #214 Michael Costello Los Alamitos, CA 90720-2822 1 Soundview Loop

South Salem, NY 10590

#### 13. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Estimated advertising budget for meeting:

B. Describe any promotional plans and estimated budget for each promotional activity for meeting:

C. Number of hosts and hostesses employed for meeting: **Eight** 

D. Describe facilities set aside for new fans:

Horse racing Seminars / Handicapping workshops hosted by track announcer and guest personalities prior to first Saturdays and Sundays in the grandstand clubhouse Participation with CARF in Horse Racing Educational Booth located on the grandstand apron.

- E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:
  - 1. Horsemen: Improved footing in each stall (New Restroom trailer added last year)
  - 2. Fans:
  - 3. Facilities in the restricted areas: Improvements to drainage in backstretch area

#### 14. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from previous year:

Admission (general) \$8.00 Admission (clubhouse) N/A

Reserved seating (general) No Charge
Reserved seating (clubhouse) No Charge

Parking (general) \$6.00
Parking (preferred) N/A
Parking (valet) N/A
Programs (on-track) \$1.50
(off-track) \$2.00

B. Describe any "Season Boxes" or other special accommodation fees:
 Daily sales of box seats at \$3.50 for upper boxes and \$4.00 for lower boxes, \$3.50 for Clubhouse

**Season Box Seats:** 

Upper Box (6 seats) = \$400, Lower (Premier) Box (8 seats) = \$500, Lower (Outer) Box (8 seats) = \$400, Clubhouse (4 seats) = \$200 (All Box Packages include season gate admission and season parking passes)

C. Describe any "package" plans such as combined parking, admission and program: None

# 15. JOCKEYS' QUARTERS

A.	Check the applicable amenit	ies available in the jockeys' quart	ers:	
	X Corners (lockers and co	ubicles) How man	ıy 5	0
	X Showers X Steam	room, sauna or steam cabinets	X	Lounge area
	Masseur X Food/	beverage service	X	Certified platform scale

B. Describe the quarters to be used for female jockeys: Modular locker room facility provided with: showers, restrooms, lounge area, steam cabinet and masseuse. Certified scale shared by both 'jockeys and jockettes.

#### 16. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing was completed by CHRB Investigator Greg Fabbri on 3/22/05.
- Number of rooms used for housing on the backstretch of the racetrack:
   20 sleeping rooms with the potential of 40 occupants; 16 dormitory rooms with the potential of
   32 occupants and one dormitory trailer that will house 10 occupants
- C. Number of restrooms available on the backstretch of the racetrack: 6 Men and 6 Women
- D. Estimated ratio of restrooms to the number of backstretch personnel: 1 to 25

#### 17. TRACK SAFETY

- A. Total distance of the racecourse measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:

  4604

  feet.
- B. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports: Outer Railing New Fontana Rail average height 42"
   Inner Railing "The Fontana Safety Rail" installed in 1991 aluminum
   Safety Cover is of patented rubber and thermoplastic. Average height of inside rail is 42"
- C. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:

Larry Swartzlander, Director of Racing Operations On-Site Steve Wood, Trackmasters, Track Superintendent

- D. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file with the Board.**
- E. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

#### 18. DECLARATIONS

- All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
   No exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. On file with CHRB
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No Exceptions
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state): **No Exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

## 19. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Frint Name

Signature

Signature

Signature

4-13-05

Print Title

Date

# STAFF ANALYSIS May 26, 2005

Issue:

APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE SONOMA COUNTY FAIR AT SANTA ROSA JULY 24, 2005 THROUGH AUGUST 8, 2005.

Sonoma County Fair filed its application to conduct a horse racing meeting at Santa Rosa:

• July 27 through August 8, 2005, or 12 days, the same as 2004. The fair proposes to race a total of 134 races, the same as 2004.

July - 2005								
Sun	Mon	Tue	Wed	Thu	Fri	Sat		
					1	2		
3	4 H	5	6	7	8	9		
10	11	12	13	14	15	16		
17	18	19	20	21	22	23		
24	25	26	27	28	29	30		
31								

August - 2005								
Sun	Mon	Tue	Wed	Thu	Fri	Sat		
	1	2	3	4	5	6		
7	8	9	10	11	12	13		
14	15	16	17	18	19	20		
21	22	23	24	25	26	27		
28	29	30	31		1			

- Racing Wednesday through Monday, with 10 races per day Monday, Wednesday and Thursday, 12 Saturday and Sunday and 13 on Friday.
  - Number of horses available determines the number of daily races programmed by breed.
  - No racing Tuesday, August 2.
- First post 12:45 p.m. daily.
- Specific changes from the 2004 license application:
  - Vicki Layne replaces Anna Hamilton as paymaster of purses.
  - Added two security personnel that will be used as rovers in the backstretch area for security.
- Request Patrick Kealy be appointed horse identifier pursuant to CHRB Rule 1525.
- Track safety requirements have been fulfilled.
- Wagering program will use CHRB rules.
  - Early wagering will not be offered.
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Inspection of backstretch worker housing completed.

Specific information still needed to complete this application includes:

# FROM CARF:

1. Contract for stabling and vanning - in negotiations.

# **RECOMMENDATION:**

Staff recommends the Board approve the application conditioned upon receiving the additional information necessary to conduct the racing meeting.

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR
CHRB-18 (Rev. 01/05)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions (B&P) Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

#### 1. APPLICANT FAIR ASSOCIATION

	A.	Name, mailing address, telephone and fax numbers of fair: Sonoma County Fair P.O. Box 1536 Santa Rosa, CA 95402 707-545-4200 707-573-9342 FAX								
	В.	Fair association is a: District Fair X County Fair Citrus Fruit Fair								
		California Exposition and State Fair Other qualified fair								
		APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting CHRB Rule 1433.								
2.	DAT	ATES OF RACE MEETING								
	A.	Inclusive dates of race meeting: July 27 – August 8, 2005								
	В.	Dates racing will NOT be held: August 2								
	C.	Total number of racing days: 12								
3.	RAC	CING PROGRAM								
	A.	Total number of races: 134								
	В.	Number of races by breed:								
		96 Thoroughbreds 8 Quarter Horses 6 Appaloosas  12 Arabians 0 Paints 12 Mules								
	C.	Number of races daily:								

Application received: 5/13/05/lewed Hearing date: 5/26/05
Reviewed: fN

CHRB CERTIFICATION

Hearing date: 5/26/05

Approved date:
License number:

Thoroughbred	Sunday 9	Monday 7	Tuesday	Wednesday 7	Thursday 7	Friday 9	Saturday 9
Other Breeds	3	3		3	3	4	3
Total	12	10		10	10	13	12

D.	Total	number	of	stakes	races	by	breed	:
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4	Thoroughbreds	2 Quarter Horses	1	Appaloosas
1	Arabians	0 Paints	0	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. **Attached** 

F.	Will	provisio	ons be	made fo	or owners	and trainers	to use th	neir own	registered	colors?
	X	Yes		No	If no,	what racing	colors a	ire to be i	used:	

G. List all post times for the daily racing program:

List all po	st times for
Race 1	12:45 pm
Race 2	1:15 pm
Race 3	1:45 pm
Race 4	2:15 pm
Race 5	2:45 pm
Race 6	3:15 pm
Race 7	3:45 pm
Race 8	4:15 pm
Race 9	4:45 pm
Race 10	5:15 pm
Race 11	5:45 pm
Race 12	6:15 pm
Race 13	6:45 pm

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

#### 4. FAIR ASSOCIATION

- A. Names of the fair directors: Ralph Bettinelli, Steve Butler, Shari Degraffenreid, Herman Hernandez, Dave Lewers, Ross Liscum, Tom Nunes, Annette O'Kelley, Leslie Perry, Mike Runyan, John Serres, Johanna Vanoni, Gerald Villarrael, Tony Withington, Bev Palm
- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: Ross Liscum, Tony Withington, Ralph Bettinelli, Gerald Villarreal, Bev Palm, Shari Degraffenreid, Steve Butler

#### 3. RACING PROGRAM

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

#### 2005 PROPOSED STAKES SCHEDULE

Sat. August 6<sup>th</sup>

JOSEPH T. GRACE HANDICAP (TURF)

(34<sup>th</sup> Running) \$100,000 Guaranteed

For three-year olds and upward - One and 1/16 Miles

#### 2005 PROPOSED OVERNIGHT STAKES SCHEDULE

Sat. July 30<sup>th</sup>

LUTHER BURBANK HANDICAP (TURF)

(35<sup>th</sup> Running) \$50,000 Guaranteed (Plus up to \$16,500 for Cal-Breds)

Sun. July 31st

For fillies and mares three-years old and upward - One and 1/16 Miles

ERNEST FINLEY HANDICAP (27<sup>th</sup> Running) \$50,000 Guaranteed (Plus up to \$16,500 for Cal-Breds)

For three-year olds and upward - Six Furlongs

Sun. August 7<sup>th</sup>

CAVONNIER JUVENILE (Formerly run as REDWOOD EMPIRE STAKES)

(38<sup>th</sup> Running) \$50,000 Guaranteed (Plus up to \$16,500 for Cal-Breds)

For two-year olds - Five and One-half Furlongs

#### 2005 PROPOSED STAKES SCHEDULE EMERGING BREEDS

Sun. July 31<sup>st</sup>

SANTA ROSA MARATHON

(17th Running) \$10,000 Guaranteed

QUARTERHORSE. For three year olds and upward - 879 yards

Sun. August 7<sup>th</sup>

WINE COUNTRY DERBY

(16<sup>th</sup> Running) \$10,000 Guaranteed

OUARTERHORSE. Handicap for three year olds - 440 yards

Sat. August 6<sup>th</sup>

SANTA ROSA ARABIAN HANDICAP

(10<sup>th</sup> Running) \$10,000 Guaranteed

ARABIAN. For three year olds and upward – Six furlongs

Sat. August 6<sup>th</sup>

THE CAL WESTERN APPALOOSA DERBY

(35th Running) \$10,000 Added

APPALOOSA. For three-year olds - Five furlongs

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 9B, who will be listed in the official program: Corey Oakley, Fair Manager

#### 5. PURSE PROGRAM

A. Estimated purse distribution:

		TB	QH	Appy	<u>Arabs</u>	Mules
1.	All races other than stakes:	\$1,370,000	\$13,000	\$22,000	\$96,000	\$54,000
2.	Overnight stakes:	\$ 150,000	-0-	-0-	-0-	-0-
3.	Non-overnight stakes:	\$ 100,000	\$20,000	\$10,000	\$10,000	-0-

- B. Estimated funds to be generated for all California-bred incentive awards: \$130,000
- C. Estimated amount of payment to each recognized horsemen's organization contracting with the fair and the name(s) of the organization(s):

Recognized Horsemen's Organization	Estimated Payment
CTT	\$ 5,885
TOC	\$11,769
CTHF	\$17,654
CHBPAPPEN	\$17,654
NTRA	\$20,631
Cal Western	\$ 4,373
PCQHRA	\$ 1,702
ARAC	\$11,854
AMRA	\$ 7,822
Total	\$ 99,344

- D. Total estimated amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen: \$2,074,344
- E. Estimated purse funds to be generated from on-track handle and intrastate off-track handle: \$1,000,000
- F. Estimated purse funds to be generated from interstate handle: \$200,000
- G. Bank and account number for the Paymaster of Purses' purse account: West America Bank (CARF) Account No: 0601064512
- H. Name, address and telephone number of the pari-mutuel audit firm engaged for the meeting: Disher Accountancy Corp., 1816 Maryal Drive, Sacramento, CA 95864, 916-482-4224

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for

CHRB-18 (Rev. 01/05)

such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Code Section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

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#### 6. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 1,100
- B. Minimum number of stalls believed necessary for the meeting: 2,000
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: 2,200
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:

· Golden Gate Fields - 1,500

Bay Meadows - 700

E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

In negotiations

Complete subsections F through I if the fair will request reimbursement for off-site stabling as provided by B&P Code Sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, skip to Section 7.

- F. Total number of usable stalls made available on-site for the 1986 meeting: 1,000
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:

  Northern California Vanning and Stabling Fund
- H. Estimated cost to provide **vanning** from off-site stalls for this meeting. Show fees to be paid for vanning **per-horse:** -0-

#### 7. PARI-MUTUEL WAGERING PROGRAM

A. Pursuant to B&P Code Section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, and TRI for trifecta.

D.

Attached

CHKD-10 (K	(ev. 01/03)	3		
Example Ra	ace \$1 E; \$2 Twin Trifecta	CHRB #1959; RCI #VP		
Race #1: Race #2: Race #3: Race #4: Race #5: Race #6: Race #7: Race #8: Race #9: Race #10: Race #11: Race #12: Race #13:	\$1E, \$1TRI, \$2DD, \$1PK3, \$2Q, \$1SF \$1E, \$1TRI, \$2DD, \$1PK3, \$2Q, \$1SF, \$2PK6 \$1E, \$1TRI, \$2DD, \$1PK3, \$2Q, \$1SF, \$2PK6 \$1E, \$1TRI, \$2DD, \$1PK3, \$2Q, \$1SF, \$2PK6 \$1E, \$1TRI, \$2DD, \$1PK3, \$2Q, \$1SF, \$2PK6, \$1PK4 \$1E, \$1TRI, \$2DD, \$1PK3, \$2Q, \$1SF, \$2PK6, \$1PK4 \$1E, \$1TRI, \$2DD, \$2Q, \$1SF, \$2PK6, \$1PK4 \$1E, \$1TRI, \$2DD, \$2Q, \$1SF, \$2PK6, \$1PK4	CHRB#1959, 1979, 1957, 1977, 1958, 1979.1 CHRB#1959, 1979, 1957, 1977, 1958, 1979.1 CHRB#1959, 1979, 1957, 1977, 1958, 1979.1 CHRB#1959, 1979, 1957, 1977, 1958, 1979.1 CHRB#1959, 1979, 1957, 1977, 1958, 1979.1 CHRB#1959, 1979, 1957, 1977, 1958, 1979.1 CHRB#1959, 1979, 1957, 1977, 1958, 1979.1 CHRB#1959, 1979, 1957, 1977, 1958, 1979.1, 1976.9 CHRB#1959, 1979, 1957, 1977, 1958, 1979.1, 1976.9 CHRB#1959, 1979, 1957, 1977, 1958, 1979.1, 1976.9, 1978 CHRB#1959, 1979, 1957, 1977, 1958, 1979.1, 1976.9, 1978 CHRB#1959, 1979, 1957, 1958, 1979.1, 1976.9, 1978		
Intent is to continue same wagering format as three previous fairs.				
В.	B. Maximum carryover pool to be allowed to accumulate before its distribution <b>OR</b> the date(s) designated for distribution of the carryover pool: <b>August 8</b> <sup>th</sup> last day of meet			
C	List any options requested with regard to exotic von-track  S1 Place Pick  S1 Place Pick	vagering:		
D.	O. Will "advance" or "early bird" wagering be offered? Yes X No If yes, when will such wagering begin:			
<ul> <li>E. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:</li> <li>Scientific Games Racing/Autotote (David Payton) 9/30/2005</li> <li>Equipment description on file with the Board</li> </ul>				
8. SIMULCAST WAGERING PROGRAM				
A.	A. Simulcast organization engaged by the fair to conduct simulcast wagering:  California Authority of Racing Fairs, Northern California Off-Track Wagering, Inc.			
B.	Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  On file with the Board.			
C.	C. California simulcast facilities the fair proposes to offer its live audiovisual signal:  All California facilities authorized to accept the signal.			

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair: **Attached** 

Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

# 2005 Northern California Racing Fairs - Simulcast Department

# 8. SIMULCAST WAGERING PROGRAM

- D. Out-of-state wagering systems the fair proposed to offer its live audiovisual signal.
- E. Out of-state wagering systems that will combine their pari-mutuel pools with those of the fair.

# Prepared:4/15/05

Separate:

Caliente (MIR)	CA
Caymanas Park	JAM
Fraser Downs	CAN
Hastings Entertainment Inc.	BC
Las Vegas Dissemination Co.	NV
Stevenson & Associates, Inc.	MD
Teletheatre BC	CA
Woodbine Entertainment Group	CAN

Commingled:

Commingled:	
America Tab	ОН
AmWest Entertainment	KY
Arapahoe Park	СО
Arlington International Racecourse	IL
Átlantic City Casino Assn.	NJ
Atlantic City Race Course	NJ
Atlantis Paradise Island Casino	FL
Atokad Downs	NE
Balmoral Park / Maywood	IL
Beulah Park	ОН
Birmingham Race Course	AL
Blue Ribbon Downs	ОК
Bluffs Run Greyhound Park & Casino	IA
Boyd Gaming, dba Delta Downs	LA
Buffalo Trotting Association	NY
Calder Race Course	FL
Canterbury Park	MN
Capital District OTB	NY
Capitol Sports Pty Ltd.	AUS
Catskill OTB	NY
Charles Town Races	WV
Choctaw Racing Services, LLC	ОК
Churchill Downs	KY
Coeur d' Alene Casino	ID
Coeur d' Alene Casino Phone	ID
Coeur d' Alene Greyhound Park	ID
Colonial Downs	VA
Columbus Races	NE
Comanche Nation Games	OK
Connecticut OTB	CT
Corpus Christi Greyhound Race Track	TX
Crystal Palace Casino	BAH
Dairyland Greyhound Park	WI
Delaware Park	DE
Dover Downs	DE
Downs at Albuquerque	NM
Ellis Park	KY
Emerald Downs	WA
Equus St Thomas Racing	VI
Euro Off Track	FL
European Simulco AKA MEC Sport & Entertainment	CA
Evangeline Downs	LA

Excelsior Casino AR Fair Meadows at Tulsa OK Fairmount Park IL Finger Lakes Race Track NY Fire Lakes COK Fonner Park NE Freehold Raceway NY Geneva Lakes Kennel Club WII Gillesple County Fair Race Meet TX Great Lakes Downs MII Gulf Greyhound Park Post Falls DE Gulf Greyhound Park Post Falls DE Gulf Greyhound Park NA Harrington Raceway AKA Midway Slots DE Hawthorne National LLC IIL Hazel Park MI Hinsdale Greyhound Park MI Hoosier Park NH Horsemen's Park NH Horsemen's Park NH Horsemen's Park NH International All Sports OH International All Sports OH International All Sports OH International All Sports NE International All Sports NE International All Sports NE International All Sports NE International All Sports NE International All Sports NE International Magering Sytems, Inc. CA Jackson Harness Raceway MI Keeneland Association KY Kentucky OTB LVDC Atlantis Paradise Island Casino, Bahamas NV LVDC Cities of Gold Sports Bar (Pojoaque), NM NV LVDC Cities of Gold Sports Bar (Pojoaque), NM NV LVDC Cities of Gold Sports Bar (Pojoaque), NM NV LVDC Cities of Gold Sports Bar (Pojoaque), NM NV LVDC Foxwoods Resorts Casino, CT NV LVDC Holiday Beach, Cuaraco NV LVDC Holiday Beach, Cuaraco NV LVDC Red River Casino, OK NV LVDC The Stables, OK NV LVDC The Stables, OK NV LVDC The Stables, OK NV LVDC The Stables, OK NV LVDC The Stables, OK NV LVDC Tonkawa Bingo, OK NV LVDC Tonkawa Bingo, OK NV LVDC Tonkawa Bingo, OK NV NV LVDC Tonkawa Bingo, OK NV NV LVDC Tonkawa Bingo, OK NV NV NV NV NV NV NV NV NV NV NV NV NV		<del></del>
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Plainridge Race Course MA		
Pocono Downs PA	Plainridge Race Course	
	Pocono Downs	PA

Portland Meadows	OR
Prairie Meadows	IA
Raceway Park	ОН
Racing & Gaming Services, Inc.	WI
Remington Park	ОК
Retama Park	TX
River Downs	ОН
Rockingham Park	NH
Rosecroft Raceway	MD
Royal River Racing (Bettor Racing OTB)	ID
Ruidoso Downs	NM
Saginaw Harness Raceway	MI
Sam Houston Race Park, LTD	TX
Saratoga Raceway	NY
Scarborough Downs	ME
Scioto Downs	OH
Seabrook Greyhound	NH
Southland Greyhound Park	AR
Sports Creek Raceway	MI
State Fair Park	NE NE
	MD
Stevenson & Associates, Inc. (Common)	MD
Stevenson & Associates, Inc., Books	MA
Suffolk Downs	MA
Suffolk Downs OTB	
Sunland Park	NM NE
SunRay Park	FL
Tampa Bay Downs	
The Greyhound Park at Post Falls	IDPA
The Meadows	OR
The Racing Channel, Inc. (Domestic)	OR
The Racing Network International, Inc.	TX
The Texas Hub at Gulf Greyhound	OH
Thistledown Racing	WV
Tri-State Racetrack & Gaming Center	
Turf Paradise	AZ
Turfway Park	KY
TVG Network	CA
U.S. Off Track, LLC	FL
Valley Greyhound Park (Sam Houston site)	TX
Vernon Downs	NY
Western Regional OTB	NY
Wheeling Downs	WV
Wichita Greyhound Park	KS
Wonderland Greyhound Park	MA
Woodlands	· KS
Wyoming Downs Rodeo Events, LLC dba PONYUPBET	WY
Wyoming OTB	WY
XpressBet, Inc	CA
Yavapai	AZ
Yonkers Raceway	NY
Youbet	CA
Zia Park Racetrack	NM

- 1. Include a list of out-of-state sites with the percentage the fair is charging the site for the simulcasting activity.
- F. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": Attached

NOTICE TO APPLICANT: B&P Code Section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 23 imported thoroughbred races statewide. The limitation of 23 imported thoroughbred races per day statewide does not apply to those races specified in B&P Code Section 19596.2(a)(1), (2), (3) and (4).

### THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track

Race Dates

Full Card or Selected Feature and/or Stakes Races

G. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported: N/A

### OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track

Breed of Horse

Race Dates

Number of Races to be Imported

H. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Section 19596.2(d), attach a copy showing agreement by the appropriate racing association(s). N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of B&P Code Sections 19596, 19596.1, 19596.2, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5.)

### 9. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing officials nominated:

Association Veterinarian(s)

Donald Davies DVM Henry Cook DVM Fred Alexander

Clerk of Scales Clerk of the Course

Ann Daftary – Thoroughbreds Delores Collins – Emerging Breeds

### 2005 Northern California Racing Fairs

### 8. SIMULCAST WAGERING PROGRAM

F. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

### THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates Full Card	or Selected Feature and/or Stakes Races
Arlington Park	6/15 - 9/18/05	Full or Partial Cards
Assiniboia Downs	6/15 – 10/16/05	Full or Partial Cards
Australia	6/15 - 10/16/05	Full or Partial Cards
Calder	6/15 - 10/16/05	Full or Partial Cards
Canterbury Park	6/15 - 9/5/05	Full or Partial Cards
Churchill Downs	6/15 - 7/10/05	Full or Partial Cards
Delaware Park	6/15 - 10/16/05	Full or Partial Cards
Ellis Park	7/13 - 9/5/05	Full or Partial Cards
Fort Erie	6/15 -10/16/05	Full or Partial Cards
Hastings Park	6/15 - 10/16/05	Full or Partial Cards
Hawthorne	9/23 -10/16/05	Full or Partial Cards
Hoosier Park	6/15 -10/16/05	Full or Partial Card
Laurel Park	8/10 - 8/26/05	Full or Partial Cards
Lone Star	6/15 - 7/17/05	Full or Partial Cards
Louisiana Downs	6/15 -10/16/05	Full or Partial Cards
Meadowlands	9/30 - 10/16/05	Full or Partial Cards
Monmouth Park	6/15 -10/16/05	Full or Partial Cards
Northlands Park	6/15 - 10/16/05	Full or Partial Cards
NYRA	6/15 -10/16/05	Full or Partial Cards
Penn National	6/15 -10/16/05	Full or Partial Cards
Philadelphia Park	6/15 -10/16/05	Full or Partial Cards
Pimlico	9/8 -10/16/05	Full or Partial Cards
Prairie Meadows	6/15 -10/16/05	Full or Partial Cards
Remington Park	8/5 -10/16/05	Full or Partial Cards
`Suffolk Downs	6/15 -10/16/05	Full or Partial Cards
Thistledown	6/15 -10/16/05	Full or Partial Cards
Timonium	8/27 -10/16/05	Full or Partial Cards
Turfway Park	9/7 -10/16/05	Full or Partial Cards
Woodbine	6/15 -10/16/05	Full or Partial Cards

Film Specialist Horse Identifier Horseshoe Inspector Paddock Judge Patrol Judges

Jim Dryer Patrick Kealv Jack Hammonds Tina Walker Lisa Jones

Jim Dryer

Placing Judges

Ella Robinson Kenneth Sjordal

Starter **Chuck Burkes** 

Timer

**Melody Truitt** 

В. Management officials in the racing department:

> Director of Racing Racing Secretary

Jim Moore **Greg Brent** 

**Assistant Racing Secretary** 

Linda Anderson

Paymaster of Purses

Vicki Layne

Others (identify by name and title)

- Name, address and telephone number of the reporter employed to record and prepare transcripts , of hearings conducted by the stewards: Coastal Reporting Services, 131-A Stony Circle, Suite 500, Santa Rosa, CA 95401, (707) 573-9766
- Photographic device to be used for photographing the finish of all races, name of the person D. supplying the service, and expiration date of the service contract: Plusmic Corp. USA, Bill O'Brien, 12/7/2007
- Photopatrol video equipment to be used to record all races, name of the person supplying the Ε. service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. Pegasus Communications, Inc. (Jim Porep) - expires 4-30-2008 Dirt Track Locations: 7/8 Pole, 3/8 Pole, 1/4 Pole, Pan Turf Track: All locations used for dirt track plus installed cameras at head of lane for head-on of turf races.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: Pegasus Communications, Inc. (Jim Porep) – expires 4-30-2008

### **SECURITY CONTROLS**

- Name and title of the person responsible for security controls on the premises. Include an A. organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Attached
- Estimated number of security guards, gatemen, patrolmen or others to be engaged in security В. tasks on a regular full-time basis: 20
  - 1. Attach a written plan for enhanced security for graded/stakes races. No graded stakes.
  - 2. Attach a written plan for enhanced surveillance of barns. Two rovers under direction of **CHRB** investigators

### 10. SECURITY CONTROLS

A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

Corey Oakley, Fair Manager 707-545-4200 ext. 201

Lt. Ed Hemphill, Santa Rosa Police Department in overall charge of sworn officers at the Fair, Police are dispatched from 707-528-5222.

Each day a different Lt. will be on grounds for supervision of patrolmen. A list of these supervisors will be made available to CHRB Staff when supplied by SRPD. They are all dispatched from the same 707-528-5222 number.

### Private security supplied by V&G Security:

Robert Gray, Owner on duty days	707-570-0737
Rodney Valrey, Owner on duty nights	707-570-0737
Randy Malmgren, Stable Manager	707-544-4191

Stable Gate 707-544-4191

- B. Security personnel will be stationed at the receiving barn gate, at the door to the jockeys quarters, on all gates leading to the racetrack and all doors providing access to behind the mutual lines.
- C. Two security persons, instructed by CHRB Investigators, will roam the barn area.

### D. Total Carbon Dioxide Testing:

The following language included in the Fair's Horsemen's Agreement with the TOC describes the testing program and sanctions relating to total carbon dioxide testing which will be administered during the 2005 Sonoma County Fair Meet:

Track is entitled to perform physiological tests consisting of the taking of blood samples from owners' horses entered in races to be conducted at the 2005 Meet. It is the understanding of the parties that such samples will be tested for bicarbonate levels. Trainers of horses showing a total carbon dioxide (TCO2) of 37 millimoles per liter of plasma or more, and owners' horses within their control, will be subject to the following:

- A. 1st Offense For the first test that results in a total carbon dioxide (TCO2) of 37 millimoles per liter of plasma or more, the registered trainer of such horse may have his/her barn, and all owners' horses stabled therein, subject to "earned surveillance" for a period of 45 days from the date of the infraction. Such earned surveillance will be conducted at TRACK's sole discretion, and may include, but not be limited to, the placing of surveillance cameras with recording devices in any location within that barn that Track deems necessary and appropriate to ensure the integrity of racing. Additionally, owners' horses entered by said trainer anytime within a period of 30 days after notification of the first offense, may be subject to confinement in a detention barn beginning at noon on the day before said horse is scheduled to compete. Such detention barn will be established at management's sole discretion, with the costs of such detention/surveillance including, but not limited to, \$150.00 for security and \$25.00 for stall renovation per horse/entrant, being born by the trainer or owner of such horse.
- B. 2nd Offense For the second test that results in a total carbon dioxide (TCO2) of 37 millimoles per liter of plasma or more, the registered trainer of such horse shall be prohibited from entering any owners' horse within his/her care in a race for a period of 15 race days.
- C. 3rd Offense For the third test that results in a total carbon dioxide (TCO2) of 37 millimoles per liter of plasma or more, the registered trainer of such horse shall be expelled from TRACK's enclosure for a period of one year, and shall further be precluded from entering any owners' horses within his care for the balance of Track's 2005 Meet.

The parties further acknowledge and agree that the balance, or a portion thereof, of any penalties imposed by another licensed California Thoroughbred racing association, pursuant to contract provisions similar to those contained herein in Subsections A through C, shall be recognized and enforced by TRACK at its 2005 meet. For the purpose of this Section, said penalties are deemed to be cumulative, and shall be enforced as if any prior elevated TCO<sub>2</sub> test or tests had occurred at TRACK's 2005 meet. Accordingly, any subsequent offense penalty imposed by TRACK pursuant to Subsections B and/or C may, in its discretion, include and be based upon a violation of similar contractual provisions at any other meet conducted by a licensed California Thoroughbred racing association.

The following language, not included in the agreement, further describes the proposed procedures.

Blood samples will be taken and tested from every horse in every Thoroughbred race. Detention stalls will be located adjacent to the test barn and in close proximity to the CHRB Offices. Cameras and recording devices will be mounted so as to monitor activities in and around the detention stalls.

C. Describe the electronic security system. Money room doors and wagering windows are covered by cameras. Detention stalls associated with carbon dioxide testing program will be monitored by cameras and recording devices.

### 11. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: Radio contact between outriders, ambulance, clocker, stewards, vets.

Sonoma Life Support, 1415 No. Dutton, Suite C, Santa Rosa, CA 95401, (707) 579-9542

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites

Golden Gate Fields Turf rescue LLC 1961 Barclay Road Castro Valley, CA 94546 (510) 581-8470 Bay Meadows Bayshore Ambulance Services 1160A Bay Crest Drive Foster City, CA 94404 (650) 525-9700

C. Attach a fire clearance from the fire authority having jurisdiction over the premises.
Fire Department will issue clearance prior to Fair. Fire inspectors typically inspect week or two proceeding Fair when facilities are set up for the Fair i. e. temporary barns are

installed with required fire equipment, hoses and extinguishers are hung, remainder of Fair facilities are ready for inspection. During the Fair, inspectors are on site daily.

D. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation. **Attached** 

### 12. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:

Food, Beverage and Alcohol
Five Star Catering - Don Delahoyde
585 No. State Street
Ukiah, CA 95482

<u>Tip Sheets</u>
Jacks Blue Card - Lisa Wasserman
127 Sun Avenue
Hayward, Ca 94544

Portable Stalls
Silver Bar Leasing (Al Foote)
2445 S. Fruit
Fresno, CA 93706

Program Printing
Daily Racing Form - Jim Kostas, VP Circulation
100 Broadway
New York, NY 10005

Armored Car Services NOTWINC 11875 Dublin Blvd. Dublin, CA 94568

Starting Gate
United/Puett Start. Gate Co.
Michael Costello
1 Soundview Loop
South Salem, NY 10590

P.O. Box 15518, Sacramento, CA 95852

tel 916.921.2213 fax 916.263.6159

www.cfsa.org

March 16, 2005

To: California Horse Racing Board (CHRB)

Attn: Pat Noble

1010 Hurley Way, Suite 300 Sacramento, CA 95825

Re: Sonoma County Fair Association

1350 Bennett Valley Road Santa Rosa, CA 95404

Please be advised that the Sonoma County Fair Association is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

### II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY

A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority

Coverage continuous until cancelled

B. Excess Coverage (a) Workers' Compensation: \$50,000,000 in excess of \$500,000

(b) Employers' Liability: \$10,000,000 in excess of \$500,000

Coverage provided by California Public Entity Insurance Authority

Term: 07/01/2004 to 07/01/2005

CFSA represents to CHRB that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Sonoma County Fair Association as set forth in CHRB's "Insurance Requirements".

You will be given at least thirty (30) days notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Leweller

Sincerely,

Lianne Lewellen

Risk Analyst

Sound System

Pegasus Communications, Inc.

16633 Ventura Blvd, #1010

Encino, CA 91436

### 13. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Estimated advertising budget for meeting:
- B. Describe any promotional plans and estimated budget for each promotional activity for meeting:
- C. Number of hosts and hostesses employed for meeting: Twenty ushers in grandstand, six runners taking wagers for boxholders, five self serve attendants.
- D. Describe facilities set aside for new fans: A booth dedicated to educating the first-time and novice fan. The booth will feature wagering information, racing terms, and interactive items such as racing saddle, tack, bits, shoes, silks, etc. A TV/VCR will continuously run "how to play" videos from the NTRA and DRF.

The Fair has conducted a free racing seminar each day of the Fair for the past twenty years that will be continued in 2005.

- E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:
  - 1. Horsemen New turf track
  - 2. Fans New turf track and new larger and more comfortable chairs in the boxes
  - 3. Facilities in the restricted areas New turf track and chairs.

### 14. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from previous year:

Admission (general) Free with Fair admissions/Adults \$7; 7-12 \$2; 6 and under free

Admission (clubhouse) N/A
Reserved seating (general) \$3

Reserved seating (clubhouse) N/A

Parking (general) \$5

Parking (preferred) \$55 - Season

Parking (valet) N/A
Programs (on-track) \$1
(off-track) \$2

B. Describe any "Season Boxes" or other special accommodation fees:

Lower box seats \$150 Upper box seats \$110

C. Describe any "package" plans such as combined parking, admission and program: Several group sales packages including \$7 admission & upper reserved seat for groups of 10 or more.

### 15. JOCKEYS' QUARTERS

A.	Check the applicable amenities available in the jockeys' quarte	ers:	
	Corners (lockers and cubicles) How many	y 1	6
	Showers X Steam room, sauna or steam cabinets	х	Lounge area
	Masseur x Food/beverage service	х	Certified platform scale

B. Describe the quarters to be used for female jockeys: Same as male quarters.

### 16. BACKSTRETCH EMPLOYEE HOUSING

- A. Inspection of backstretch housing was completed by Chief Investigator Frank More on 5/12/05.
- B. Number of rooms used for housing on the backstretch of the racetrack: 100 rooms available to horsemen
- C. Number of restrooms available on the backstretch of the racetrack: Total of 14 urinals, 20 toilets, 20 sinks 27 shower heads
- D. Estimated ratio of restrooms to the number of backstretch personnel: 5 to 1

### 17. TRACK SAFETY

- A. Total distance of the racecourse measured from the finish line counterclockwise (3' from the inner railing) back to the finish line: 5143.9 feet. Turf track 7/8 mile
- B. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

Dirt track – Inner rail is aluminum wrap gooseneck posts covered by rubber and thermoplastic; Outer rail is steel posts and steel rail. Approximate height is 40 inches.

Turf Track – Inner rail is aluminum wrap, moveable rail on gooseneck posts. Outer rail is aluminum rail on gooseneck posts permanently mounted.

- C. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Jim Moore**
- D. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. On file with Board
- E. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. N/A

### 18. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):

  No exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. On file with CHRB
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):

  No exceptions
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):

  No exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

### 19. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Print Name

Signature

Signature

T/19/05

Print Title

Date

## STAFF ANALYSIS ADJUSTMENT OF NORTHERN FAIR AND THOROUGHBRED DATES

### REGULAR BOARD MEETING MAY 26, 2005

### **Background:**

The CHRB Race Dates Committee met on April 27, 2005, to discuss the re-allocation of race dates for Northern California. This meeting was the last of a series of meetings dealing with the change in the racing program at the California State Fair and Exposition (Cal Expo). Cal Expo had been approved to run a harness meet before, during, and after its traditional fair race meet. This left open the question as to the disposition of the fair dates allocated to Cal Expo. As it turns out, the northern fairs and Bay Meadows worked out an arrangement (see attached Plan C) whereby the fair dates at Cal Expo do not change venue but rather disappear for 2005. Bay Meadows will commence earlier on August 26 but go dark during the Fresno Fair on Oct 5, 6, 12, and 13 giving that fair some non-overlap days. Because of that give and take, the total number of races days for Bay Meadows remains unchanged. In addition, this will remove the annual discussion about overlap between Bay Meadows and Cal Expo since there will be none. However, the bottom line is that the twelve days of fair racing at Cal Expo are lost for 2005 for what is to be a one-year experiment.

### Recommendation:

Staff recommends that the Board hear from the Race Dates Committee regarding this matter.

7-Aug	Current Allocation								Propos	ed Rearran	gement			
Santa Rosa   Santa Rosa   SMCF   SM	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
SMCF   SMCF		1 - (	9-Aug	1 - 1	- 1	•				9-Aug				13-Aug SMCF
SMCF   SMCF			16-Aug		- I	-	1 - 1		15-Aug	16-Aug	1 - 1	-		20-Aug SMCF
A-Sep 5-Sep 6-Sep 7-Sep 8-Sep 9-Sep 10-Sep BMRA BMRA BMRA BMRA BMRA BMRA BMRA BMRA	1 -		23-Aug	24-Aug	25-Aug	26-Aug	27-Aug		Imports	23-Aug		_		27-Aug <b>SM/A</b>
2-Oct   3-Oct   4-Oct   5-Oct   6-Oct   7-Oct   8-Oct   8-Oc	28-Aug	29-Aug	30-Aug	31-Aug	1-Sep	2-Sep		And a series of the series with a series of the series of	Imports	30-Aug	Imports	Imports	at a contract of the later of	3-Sep BMRA
BARRA BARRA		I	6-Sep	the second control of the second con-	8-Sep		1	And the second s	1 1	6-Sep	Annual Control of the	8-Sep		10-Sep BMRA
9-Oct 10-Oct 11-Oct 12-Oct 13-Oct 15-Oct		3-Oct	4-Oct	BMRA	BMRA	BMRA	BMRA		3-Oct	4-Oct			BMRA	8-Oct BIMPA Fresno
GGF GGF GGF GGF GGF GGF GGF	BHRA	BMRA	11-Oct	12-Oct BMRA	13-Oct BMRA	14-Oct BMRA	15-Oct BIARA	BURA	SHA	11-Oct	12-Oct	13-Oct	14-Oct BMPA	15-Oct BMPA Fresno
Fresno Fresno Fresno Fresno Fresno Fresno Fresno Fresno Fresno Fresno Fresno Fresno Fresno Fresno Fresno Fresno		17-Oct	18-Oct	1		)		L	17-Oct	18-Oct	4	l .		22-Oct GGF

## **STAFF ANALYSIS**ADJUSTMENT OF RACE DATES AT THE LACF

### REGULAR BOARD MEETING MAY 26, 2005

### Background:

The Los Angeles County Fair has requested to rearrange their race dates for the upcoming 2005 fair meet (see attached letter). They wish to extend the meet one day by closing on Monday, September 26, 2005. However, they propose to be dark on Tuesdays - September 13 and September 20. This will create a total number of race days equal to 16 – one less than they are currently allocated.

### Recommendation:

Staff recommends that that the Board approve this request.

## FAIRPLEX

April 18, 2005

Ms. Ingrid Fermin – Executive Director Mr. John Harris – Board Chairman Mr. Richard Shapiro – Dates Committee Chairman California Horse Racing Board 1010 Hurley Way, Suite 300 Sacramento, Ca. 95825

Dear Ms. Fermin, Mr. Harris, and Mr. Shapiro:

The Los Angeles County Fair Association is hereby requesting an adjustment in its racing dates for the 2005 racing season. The Fair Association has previously received approval from the CHRB Dates Committee to run 17 consecutive days from September 9, 2005 through September 25, 2005. On an experimental basis, the Fair Association would like to adjust its dates to reflect a 16 day racemeet, beginning on Friday, September 9, 2005 and ending on Monday, September 26, 2005, with dark days on Tuesday, September 13, 2005 and Tuesday, September 20, 2005.

The Los Angeles County Fair event will run this year from September 9, 2005 through October 2, 2005, open Wednesdays through Sundays. Inasmuch as this schedule represents a departure from our historical 17 day Fair event, we believe that this would be an appropriate time to try a new schedule for the racemeet as well. We would like to retain the opportunity to return to our traditional racing schedule in future years if these changes do not prove beneficial for the Fair Association, racing industry, and our customers.

As of this time, we are unaware of any industry opposition to this change in our racing schedule. Please let us know as soon as possible regarding your support for these changes, as we would like to begin marketing of the Fair and the racemeet in the next few weeks.

Sincerely,

Michael D. Seder Vice President

Chief Financial Officer

Cc: Mr. Jim Henwood

# STAFF ANALYSIS ARTICLE 3. RACING ASSOCIATION RULE 1433 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING

Regular Board Meeting May 26, 2005

### **BACKGROUND**

Business and Professions (B&P) Code Section 19440 provides that the California Horse Racing Board (Board/CHRB) shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of the Horse Racing Law. Responsibilities of the Board include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. B&P Code Section 19480 states that the Board may issue licenses to conduct horse racing meetings. CHRB Rule 1433, Application for License to Conduct A Horse Racing Meeting, provides that every association or fair that intends to conduct a horse racing meeting must file a completed application with the Board at least 90 days prior to its proposed meeting. Forms CHRB-17, Application for License to Conduct a Horse Racing Meeting, and CHRB-18, Application for License to Conduct a Horse Racing Meeting of a California Fair, are incorporated by reference in Rule 1433; therefore, any revisions to the applications would necessitate an amendment to the rule. Proposed revisions to forms CHRB-17 and CHRB-18 were discussed at the January 2005 Regular Board Meeting. At that time, staff was instructed to publish a notice of proposal to amend Rule 1433 for a 45-day public comment period.

### **ANALYSIS**

The proposal to amend form CHRB-17 would require that applicants file an audited annual financial statement of the actual license holder with the application for license. An audited financial statement would provide more information regarding the applicant's financial status than has previously been available. CHRB-17 and CHRB-18 have been revised to collect information about the applicant's electronic security system and emergency lighting system in the case of the night racing industry. In addition, on both forms, applicants must identify steps they may be taking to increase on-track attendance and in the development of new horse racing fans. Questions include advertising budget; promotional plans; the number of hosts/hostesses employed by the association; and facilities set aside for new fans as well as any improvements to the physical facility. Other changes to the forms eliminate redundant words and phrases, and renumbering as needed. (See applications marked "Draft A" CHRB-17 and CHRB-18.)

During the 45-day public comment period staff received comments from the California Federation of Racing Associations (Federation) expressing support for the proposed amendment to Rule 1433 with the exception of disclosing advertising and promotional budget

information. The Federation contends such information might be considered proprietary to the respective associations, and suggested the submission of budgetary information be left to the discretion of the applicant. Similar objections to providing information regarding the percentage an association expected to charge out-of-state sites for simulcasting activity were made by Del Mar Thoroughbred Club. Because of the confidential nature of the requested information, associations are reluctant to disclose the data. Also, under the provisions of the Public Records Act it would be difficult for staff to maintain the confidentiality of such information. Staff suggests the requirement to disclose budgetary information and information regarding percentages charged to out-of-state simulcast sites be amended to reflect that this information be submitted upon request when the Board deems it necessary. (See applications marked "Draft B" section 14 of CHRB-17 and section 13 of CHRB-18.)

Recent applicants for license to conduct a horse racing meeting have used the application marked "Draft A." This has helped staff identify sections that need clarification. Based on the applicants' responses, staff has modified the Security Controls questions in section 11 of the CHRB-17 and section 10 of CHRB-18 (See "Draft B" of each form). The requirement that a written plan for security for graded stakes races was expanded to include races of \$100,000 or more. In addition, applicants must include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns. New subsections regarding detention barn plans, and plans for TCO2 testing has also been added.

### RECOMMENDATION

Staff recommends the Board instruct staff to initiate a 15-day public comment period and modify the proposed amendment to Rule 1433 to reflect the revisions that require promotional budgetary information and fees charged for out-of-state simulcasting activity to be available upon request of the Board, and include the clarification to the expanded Security Control section.

CALIFORNIA HORSE RACING BOARD TITLE 4. CALIFORNIA CODE OF REGULATIONS ARTICLE 3. RACING ASSOCIATION

> AMENDMENT OF RULE 1433

Application For License To Conduct A Horse Racing Meeting

Regular Board Meeting May 26, 2005

1433. Application for License to Conduct a Horse Racing Meeting.

Unless the Board requires an earlier filing, at least 90 days before the time allocated by the Board for a race meeting to start, the association shall file with the Board an Application for License to Conduct a Horse Racing Meeting, CHRB-17 (Rev. 01/05), which is hereby incorporated by reference. Note: CHRB-17 incorporates by reference, the Personal History Record, CHRB-25A (Rev. 7/93). A California fair shall file with the Board an Application for License to Conduct a Horse Racing Meeting of a California Fair, CHRB-18 (Rev. 01/05), which is hereby incorporated by reference. Copies of CHRB-17 and CHRB-18 may be obtained at the California Horse Racing Board headquarters office.

Authority: Sections 19420 and 19440,

Business and Professions Code.

Reference: Sections 19480 and <del>19568</del> 19562,

Business and Professions Code.

T-224 P.002/002 F-828

Federation of California Racing Associations, Inc.

April 4, 2005

Mr. Harold Coburn California Horse Racing Board 1010 Hurley Way, Suite 300 Secramento, CA 95825

Deer Mr. Coburn:

Regarding the proposal to amend Rule 1433 (License Application), the California Federation of Racetracks supports the proposed changes with the following exception: some member tracks may consider advertising and promotional budget information to be proprietary to their individual businesses. As a result, the Federation suggests that the requirement to submit such budget figures be deleted or left to the discretion of the applicant.

The Federation's member tracks do not object to the submission of advertising and promotional plans with the understanding that pre-season plans are subject to change.

Sincerely.

Rick Baedeker President

Cc: Federation Tracks Ingrid Fermin Ingrid Fermin
Executive Director
California Horse Racing Board
2260 Jimmy Durante Blvd.
Del Mar, CA 92014

Re: Del Mar Thoroughbred Club - License Application

Dear Ms. Fermin,

Pursuant to CHRB License Application item number 8E, attached is a list of out-of-state sites with the percentage that Del Mar expects to charge each site for simulcasting activity. As noted in the License Application, we are requesting that this information remain confidential as proprietary business information exempt from disclosure under the California Freedom of Information Act. Please notify Del Mar Thoroughbred Club if the CHRB is not inclined to grant our request to keep this document confidential.

Very truly yours,

Craig Fravel Executive Vice President Del Mar Thoroughbred Club STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD

APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING

CHRB-17 (Rev. 7/01 01/05)

Deposit received: Reviewed:

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions (B&P) Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1.	AP	PLICANT ASSOCIATION
	A.	Name, mailing address, telephone and fax numbers of association:
	В.	Breed of horse: TB QH H
	C.	Racetrack name:
	D.	Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to B&P Code Section 19490.
		APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant ule 1433.
2.	DA	TES OF MEETING
	A.	Inclusive dates for the entire meeting:
	В.	Actual dates racing will be held:
	C.	Total number of days or nights of racing:
	D.	Days or nights of the week races will be held:  Wed - Sun Tues - Sat Other (specify)
	E.	Number of days or nights of racing per week:
3.	RA	CING PROGRAM
	A.	Total number of races:
	В.	Number of races for each day or night:
	C.	Total number of stakes races:
	D.	Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
		CHRB CERTIFICATION
A	pplica	tion received: Hearing date:

Approved date:

License number:

CHK	•		/(1) //(1)
	E.	Will	provisions be made for owners and trainers to use their own registered colors?  Yes No If no, what racing colors are to be used:
			1 to what racing colors are to be used.
	F.	List	all post times for the daily racing program:
limite horse	ed to Ca meetin	alifornia igs, the	ANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race a-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be e total amount distributed for all stakes races pursuant to B&P Code Section 19568(b).
4.	RAC	CING	ASSOCIATION
	A.	Asso	ociation is a: Corporation (complete subsection C)
			LLC (complete subsection D)
			Other (specify, and complete subsection E)
	В.	Com	aplete the applicable subsection and attached Addendum, Background Information and Ownership.
	C.	COR	PORATION
		1.	Registered name of the corporation:
		2.	State where incorporated:
		3.	Registry or file number for the corporation:
		4.	Names of all officers and directors, titles, and the number of shares of the corporation held by each:
		5.	Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
		6.	Number of outstanding shares in the corporation:
		7.	Are the shares listed for public trading?  If yes, on what exchange and how is the stock listed:  Yes No
		8.	Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
		9.	If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:

10. Attach the most recent <u>audited</u> annual financial statement for the <u>licensee corporation</u>, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission

and/or the California Corporations Commission.

D.	LLC				
	1.	Registered name of the LLC:			
	2.	State where articles of organization are filed:			
	3.	Registry or file number for the LLC:			
	4.	Names of all officers and directors, titles, and the number of shares of the LLC held by each:			
	5.	Names (true names) of all members, other than the officers and directors listed above, that hole 5% or more of the outstanding shares in the LLC and the number of shares held by each:			
	6.	Are the shares listed for public trading?  If yes, on what exchange and how is the stock listed:  Yes No			
	7.	If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:			
	8.	Attach the most recent <u>audited</u> annual financial statement for the <u>licensee LLC</u> , including balances sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the LLC and/or the Securities and Exchange Commission and/or the California Corporations Commission.			
E.	. OTHER				
	1.	Name(s) of partners/sole proprietor:			
	2.	If a partnership, attach partnership agreement.			
F.	Man	agement and Staff			
	1.	Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 10B, who will be listed in the official program:			
	2.	Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing address of such person(s) if other than the mailing address of the association:			

- Estimated purse distribution: A.
  - 1. All races other than stakes:
  - Overnight stakes: 2.
  - Non-overnight stakes: 3.
- B. Stakes Races:

CHRB-17 (Rev. 01/05 7/01)

- 1. Estimated purse distribution for all stakes races:
- 2. Percentage of the estimated purse distribution for all stakes races that will be distributed for California-bred stakes races:
- C. Estimated funds to be generated for all California-bred incentive awards:
- D. Estimated amount of payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

**Estimated Payment** 

- E. Total estimated amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen:
- F. Estimated purse funds to be generated from on-track handle and intrastate off-track handle:
- G. Estimated purse funds to be generated from interstate handle:
- H. Bank or depository and account number for the Paymaster of Purses' purse account: and the account number:
- I. Name, address and telephone number of the pari-mutuel audit firm engaged for the meeting:

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Code Section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

### 6. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
- B. Minimum number of stalls believed necessary for the meeting:
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:
- D. Name and or location of each off-site auxiliary stabling area and the number of stalls to be maintained

CHRB-17 (Rev. <u>01/05</u> <del>7/01</del>) at each site:

E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that which cannot be provided stabling on-site.

Complete subsections F through I if the association will request reimbursement for off-site stabling as provided by B&P Code Sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, skip to Section 7.

- F. Total number of usable stalls made available on-site for the 1986 meeting:
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
- I. Estimated cost for off-site stabling and vanning for this meeting. Show cost per-day per-stall:

### 7. PARI-MUTUEL WAGERING PROGRAM

A. Pursuant to B&P Code Section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners. International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), LS for limited sweepstakes (pick 6), PK3 for pick three, PK4 for select four, PK6 for pick 6 one pool, PK7 for pick 7, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, SS1 for special sweepstakes (pick 6) alternate 1, SS2 for special sweepstakes (pick 6) alternate 2, SS3 for special sweepstakes (pick 6) alternate 3, SRUS for special reserved unlimited sweepstakes (pick 9), SUS for special unlimited sweepstakes (pick 9), and TRI for trifecta, and US for unlimited sweepstakes (pick 9).

TYPE OF WAGERS

APPLICABLE RULES

Example Race:

\$1 E; \$2 Twin Trifecta \$1 DD

CHRB #1959; RCI #VPE

Race #1

Race #2

Race #3

Race #4

Race #5

Race #6

Race #7

Race #8

Race #9

Race #10

Race #11

Race #12

Nace #12

Race #13

B. The Mmaximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s)

CHR	B-17 (F	Rev. <u>01/05</u> <del>7/01</del> ) designated for dis	stribution of the car	rryover pool:
	C.	List any options i	equested with rega	ard to exotic wagering:
	D.		r "early bird" wager such wagering begi	
	E.			or equipment to be used by the association and the simulcast supplying equipment, and expiration date of the service contract:
8.	SIM	ULCAST <del>ING</del> W	AGERING PROC	GRAM
	A.	Simulcast organization	zation engaged by t	the association to conduct simulcast wagering:
	В.	to use the associ	ation's live audiovi	ssociation and simulcast organization permitting the organization isual signal for wagering purposes and providing access to its ning on-track and off-track pari-mutuel pools.
	C.	California simulca	ast facilities the ass	ociation proposes to offer its live audiovisual signal:
	D.	Out-of-state wag	ering systems the a	association proposes to offer its live audiovisual signal:
	<b>E</b>	Out-of-state wag	ering systems that v	will combine their pari-mutuel pools with those of the association:
		1. Include a list the simulcast act		sites with the percentage the association is charging the site for
	F.	import out-of-sta be held, and whe	te and/or out-of-co	tions, list the host track from which the association proposes to buntry thoroughbred races. Include the dates imported races will ard will be accepted. If the full card will not be imported, state s":
the stair is	ate, the conduct ported	number of thoroughbre eting its racing meeting	ed races which may be in cannot exceed a combination	ipulates that on days when live thoroughbred or fair racing is being conducted in mported by an association or fair during the calendar period the association or ned daily total of 23 imported thoroughbred races statewide. The limitation of it apply to those races specified in B&P Code Section 19596.2(a)(1), (2), (3)
		THOR	ROUGHBRED SIN	MULCAST RACES TO BE IMPORTED
	Name	of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
	Exam	<del>ples:</del>		

G. For QUARTER HORSE racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

Full Card

Selected Feature and/or Stakes Races

Calder Race Course

Fair Grounds

1/1 & 1/2/99

3/14/99

### QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

Examples:

Retama Park 4/8 & 4/9/99 Full Card

Ruidoso Downs 9/6/99 Selected Feature and/or Stakes Races

H. For STANDARDBRED racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

### HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

Examples:
Saratoga Raceway 5/8/99 Full Card
Meadowlands 8/7/99 Selected Feature and/or Stakes Races

I. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

### OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Breed of Horse Race Dates Number of Races to be Imported

J. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Section 19596.2(d), attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of B&P Code Sections 19596, 19596.1, 19596.2, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5.)

### 9. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
- B. Names and addresses of the trustees or directors of the distributing agent:

C.	Dates the association will conduct races as charity racing days OR:
	Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of B&P Code Section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 20% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of B&P Code Section 19556(b).

### 10. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing Oofficials nominated:

Associate Judges
Association Veterinarian(s)
Clerk of Scales
Clerk of the Course
Film Specialist
Horse Identifier
Horseshoe Inspector
Paddock Judge
Patrol Judges
Placing Judges
Starter
Timer

B. Management officials in the racing department:

Director of Racing Racing Secretary Assistant Racing Secretary Paymaster of Purses Others (identify by name and title)

- C. Name, address and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract: Specify the number and location of cameras for dirt and turf tracks.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

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### 11. SECURITY CONTROLS AND EMERGENCY SERVICES

- A. Name and title of the person responsible for security controls on the premises: <u>Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.</u>
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis.: Describe the electronic security system:
  - 1. Attach a written plan for enhanced security for graded/stakes races.
  - 2. Attach a written plan for enhanced surveillance of barns.
- <u>C.</u> <u>Describe the electronic security system:</u>
  - 1. Location and number of video surveillance cameras for the detention barn and stable gate.
- <u>D.</u> For night racing associations: Describe emergency lighting system:

### 12. <u>EMERGENCY SERVICES</u>

- <u>AC</u>. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:
- BD. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
- <u>CE</u>. Attach a fire clearance from the fire authority having jurisdiction over the premises.
- <u>D</u>F. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
- <u>EG</u>. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that which secures the liability of the association for payment of workers' compensation.

### 1312. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:

### 14. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Estimated advertising budget for meeting:
- B. Describe any promotional plans and estimated budget for each promotional activity for meeting:
- C. Number of hosts and hostesses employed for meeting:
- D. Describe facilities set aside for new fans:

	<ul><li>2. Fans</li><li>3. Facilities in the restricted areas</li></ul>
<u>15</u> 13. SC	CHEDULE OF CHARGES
A.	Proposed charges, for admissions, seating or boxes, parking and programs. Note <u>note</u> any changes from the previous year:
	Admission (general) Admission (clubhouse) Reserved seating (general) Reserved seating (clubhouse) Parking (general) Parking (preferred) Parking (valet) Programs (on-track)
В	Describe any "Season Boxes" and "Turf Club Membership" fees:
C.	Describe any "package" plans such as combined parking, admission and program:
<u>16</u> 14. JO	CKEYS/DRIVERS' QUARTERS
Α.	Check the applicable amenities available in the jockeys/drivers' quarters:  Corners (lockers and cubicles)  How many  Showers  Steam room, sauna or steam cabinets  Lounge area  Masseur  Food/beverage service  Certified platform scale
В.	Describe the quarters to be used for female jockeys/drivers:
<u>17</u> 15. BA	ACKSTRETCH EMPLOYEE HOUSING
<b>A</b> .	Attach written certification that an inspection of the backstretch employee housing has been conducted by a lead agency designated by the CHRB and the applicant is not aware of any known violations of the local housing ordinances. Inspection of backstretch housing was completed by (name) on (date)
В.	Number of rooms used for housing on the backstretch of the racetrack:
C.	Number of restrooms available on the backstretch of the racetrack:
D.	Estimated ratio of restroom facilities to the number of backstretch personnel:
<u>18</u> 16. TF	RACK SAFETY

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

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1. Horsemen

CHRB-17 (1	(Rev. <u>01/05</u> <del>7/01</del> )	
A.		the finish line counterclockwise (3' from the inner feet.
В.	Describe the type(s) of materials used for the inner railing supports (i.e., metal gooseneck, woo	er and outer railings of the race course, the type of od 4" x 4" uprights, offset wood 4" x 4" supports, railing, and the approximate height of the top of the
C.	Name of the person responsible for supervision of pursuant to CHRB Rule 1474:	f the maintenance of the racetrack safety standards
D.	Attach a Track Safety Maintenance Program pur	suant to CHRB Rule 1474.
E.	Article 3.5, Track Safety Standards, pursuant to 6 for liability insurance which will be in force for the CHRB is to be named as a certificate holder and gi or termination of liability insurance. Additionally,	ment alternate methodologies to the provisions of CHRB Rule 1471, attach a Certificate of Insurance duration of the meeting specified in Section 2. The ven not less than 10 days' notice of any cancellation the CHRB must be listed as additionally insured on lion per incident. The liability insurance certificate prior to the conduct of any racing.
<u>19</u> 17. Di	ECLARATIONS	
<b>A</b> .	All labor and lease agreements and concession an meeting have been finalized except as follows (if	d service contracts necessary to conduct the entire no exceptions, so state):
В.	Attach each horsemen's agreement pursuant to C	HRB Rule 2044.
C.		on to occupy the racing facility during the entire term greement or a horsemen's agreement, a request for be made).
D.		ralid state, county or city licenses authorizing each to ave valid labor agreements, when applicable, which except as follows (if no exceptions, so state):
E.	concessionaires or horsemen participating at the	control of the association, its service contractors, meeting, no reasons are believed to exist that may e withholding of any vital service to the association
	APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHI horse racing meeting or the engagements or services of any licer	
<u>20</u> 18. CI	TERTIFICATION BY APPLICANT	
state	ereby certify under penalty of perjury that I have externents in this application are true and correct, and to application on its behalf.	
Pri	rint Name Si	gnature

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Print Title

Date

### **ADDENDUM**

### **Background and Ownership Information**

FULL DISCLOSURE: By authority of Sections 19440 and 19480 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in order to allow an evaluation of the competency, integrity, and character of potential racetrack operator, contractor, subcontractor and concessionaire licensees of the California Horse Racing Board (CHRB), any applicant for such a license shall comply with the provisions set forth below. Where applicable, supply the requested information and submit with your application documents. (If necessary, attach additional pages showing the corresponding numbers for the questions you are answering.) If a question does not apply to you, so state with "N/A".

NOTE: All information contained in this Addendum may be disclosed pursuant to the California Public Records Act.

### I. BACKGROUND INFORMATION

- A. PERSONAL INFORMATION Application documents must include for each individual who is a director, officer, or partner in the application, or an owner of an interest in the applicant of 5% or more:
  - 1. Full name and any previous names or aliases;
  - 2. date of birth;
  - 3. physical description;
  - 4. business address and telephone number; and
  - 5. disclosure of employment, education and military history for the past 20 years or since the age of 18.
- B. PERSONAL HISTORY Application documents must include a completed Personal History Record, CHRB-25A, for each individual named in Addendum Section I, A.
- C. RELATIONSHIP The application documents must state, for each individual providing information under Addendum Section I, A, whether the individual is related to a member or an employee of the CHRB. A half-relationship or step-relationship is considered to be a familial relationship.
- D. CORPORATIONS If the applicant is a corporation, the application documents must state:
  - 1. The state in which the applicant is incorporated; and
  - 2. name and address of the applicant's agent for service of process in California.
- E. INDICTMENTS OR CONVICTIONS If the applicant is a corporation, the application documents must include a statement disclosing whether the corporation is presently or has ever been indicted or convicted of a criminal offense, e.g., felony or misdemeanor.
- F. PENDING LEGAL PROCEEDINGS An applicant for a license to operate a racetrack must describe any pending legal proceedings of \$250,000 or more:
  - 1. To which the applicant, a director, officer, or partner of the applicant, or an individual who owns an interest in the applicant of 5% or more is a party; or
  - 2. that involves property owned by the applicant, a director, officer, or partner of the applicant, an individual who owns an interest in the applicant of 5% or more, or a related entity identified under Addendum Section I.
  - 3. Applicant must state the name of the court or agency before which the proceeding is or was pending, the case number, date the proceeding was instituted, and the names of the principal parties to the proceeding.

### II. OWNERSHIP

- A. IDENTIFICATION AND LOCATION The application documents must include:
  - 1. All names used by the applicant; and
  - 2. name of the agent and the address and telephone number of the office of the applicant for service of process in

CHRB-17 (Rev. <u>01/05</u> <del>7/01</del>) California.

- B. BUSINESS STRUCTURE The application documents must describe the applicant's business structure and include an organizational chart.
- C. ORGANIZERS If the applicant is not an individual and was organized less than five years before the date on which the application documents are submitted to the CHRB, the application documents must state:
  - 1. Name of each individual who was an organizer or promoter of the applicant;
  - 2. nature and amount of assets, services, or other consideration contributed to the applicant by an organizer or promoter of the applicant; and
  - 3. nature and amount of anything of value given by the applicant to an organizer or promoter of the applicant.

### D. ORGANIZATIONAL DOCUMENTS

- 1. If the applicant is a corporation, the application documents must include:
  - a. Statement of when and in what state the corporation was organized;
  - b. certified copy of the articles of incorporation and bylaws of the applicant;
  - c. statement and documentation of whether the corporation has been reorganized or reincorporated during the five-year period preceding the date on which the application documents are submitted to the CHRB; and
  - d. statement and documentation of whether the corporation has filed restated articles of incorporation.
- 2. If the applicant is an unincorporated business association, the application documents must include:
  - a. Certified copy of each organizational document for the applicant, including any partnership agreement; and
  - b. description of any oral agreements involving the organization of the partnership.

### E. CAPITOL STOCK

- 1. If the applicant is authorized to issue capital stock, the application documents must state the classes of stock authorized and the total shares of each class authorized.
- 2. For each class of stock, applicant must also state:
  - a. Par value, if any;
  - b. voting rights;
  - c. current rate of dividend; and
  - d. number of shares outstanding and the market value of each share.
- 3. Application documents must list the name and address of each person who owns, of record or beneficially, at least 5% of stock. For each person listed under this subsection, the application documents must describe the nature of the person's ownership interest and the person's percentage of the total ownership interest.
- 4. Application documents must include a certified copy of each voting trust or voting agreement in which at least 5% of the capital stock is held and must state:
  - a. Name and address of each stockholder participating in the trust or agreement;
  - b. class of stock involved; and
  - c. total number of shares held by the trust or agreement.

### F. DIRECTORS, OFFICERS, AND PARTNERS

1. If the applicant is not an individual, the application documents must include a list of the individuals who are serving or who are designated to serve, during the first year after the date the application documents are submitted

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to the CHRB, as a director, officer, or partner of the applicant. The list must state for each individual:

- a. Name and business address;
- b. each position or office of the applicant held by the individual;
- c. principal occupation during the five-year period preceding the date on which the application documents are submitted to the CHRB; and
- d. nature and extent of any ownership interest in the applicant.
- Application documents must include a completed Personal History Record, CHRB-25A, for each individual named under Addendum Section II, F, 1.

### G. CONTROLLING ENTITY

- Application documents must state whether another entity exercises or is in a position to exercise control in the
  management or financial affairs of the applicant. The documents must describe the nature of the relationship
  between the entity and the applicant and the extent of control exercised by the entity.
- 2. If a nonindividual entity owns an interest of 5% or more in the applicant, the application documents must include the information required by Addendum Section II, G, 1, as it relates to the nonindividual entity.
- 3. Application documents must include information required by Addendum Section II, G, 2, for each nonindividual entity identified in the application documents to the extent necessary to determine the identity of each individual who is an indirect holder of an ownership interest in the applicant.
- H. OUTSIDE INTERESTS AND LICENSE HISTORY Application documents must state whether the applicant or a director, officer, or partner of the applicant:
  - 1. Ever held an ownership interest in a licensee of the CHRB; or
  - 2. is currently engaged in the business of racing in another state.

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR
CHRB-18 (Rev. 740+ 01/05)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions (B&P) Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1	. APPLICANT FAIR ASSOCIATION				
	Α.	Name, mailing address, telephone and fax numbers of fair:			
	В.	Fair association is a:  District Fair  County Fair  Citrus Fruit Fair  California Exposition and State Fair  Other qualified fair			
	OTICE TO A	APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant le 1433.			
2	. DAT	TES OF <u>RACE</u> MEETING			
	A.	Inclusive dates for the entire of race meeting:			
	₽.	Actual dates racing will be held:			
	<u>€B</u> .	Dates racing will NOT be held:			
	Đ <u>C</u> .	Total number of racing days or nights of racing:			
	<del>E.</del>	Number of days or nights of racing per week:			
3	. RAC	CING PROGRAM			
	A.	Total number of races:			
	В.	Number of races by breed:			
		Thoroughbreds Quarter Horses Appaloosas			
		Arabians Paints Mules			
	C.	Number of races for each day or night daily:			
	Annlias+	CHRB CERTIFICATION  Heaving dates			
	Application received:  Reviewed:  Approved date:  License number:				

Tho	oroughbred	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	er Breeds							
Tot	al							
D.	Total nur	mber of sta	kes races by	breed:				
		Γhoroughb	reds	Quarte	er Horses	Appalo	osas	
		Arabians		Paints		Mules		
E.	Attach a l purse for	~	l stakes race	s and indica	ate the date to	be run and the a	dded money	or guaranteed
F.	Will prov					neir own registe are to be used:	ered colors?	
G.	List all po	ost times fo	or the daily r	acing prog	ram:			

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

#### 4. FAIR ASSOCIATION

- A. Names of the fair directors:
- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:
- C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 9B, who will be listed in the official program:

## 5. PURSE PROGRAM

- A. Estimated purse distribution:
  - 1. All races other than stakes:
  - 2. Overnight stakes:
  - 3. Non-overnight stakes:
- B. Estimated purse distribution for all stakes races:
- <u>CB</u>. Estimated funds to be generated for all California-bred incentive awards:
- <u>DC</u>. Estimated amount of payment to each recognized horsemen's organization contracting with the fair and the name(s) of the organization(s):

3

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Recognized Horsemen's Organization

**Estimated Payment** 

CTT

**TOC** 

**NTRA** 

**PCQHRA** 

**CWAR** 

**ARAC** 

**AMRA** 

**CHBPAPEN** 

<u>CTHF</u>

## **Total Estimated Payments:**

- <u>ED</u>. Total estimated amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen:
- FE. Estimated purse funds to be generated from on-track handle and intrastate off-track handle:
- GF. Estimated purse funds to be generated from interstate handle:
- HG. Bank or depository and account number for the Paymaster of Purses' purse account and the account number.
- <u>IH.</u> Name, address and telephone number of the pari-mutuel audit firm engaged for the meeting:

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the fair and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Code Section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting, provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

#### 6. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
- B. Minimum number of stalls believed necessary for the meeting:
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:

- Name and or location of each off-site auxiliary stabling area and the number of stalls to be D. maintained at each site:
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses which that cannot be provided stabling on-site.

Complete subsections F through I if the fair will request reimbursement for off-site stabling as provided by B&P Code Sections 19607, 19607, 1, 19607, 2, and 19607, 3; otherwise, skip to Section 7.

- $\mathbf{F}_{-}$ Total number of usable stalls made available on-site for the 1986 meeting:
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
- Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for H. vanning per-horse:
- I. Total estimated cost for off-site stabling and vanning for this meeting. Show cost per-day per-stall:

#### 7. PARI-MUTUEL WAGERING PROGRAM

Pursuant to B&P Code Section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), LS for limited sweepstakes (pick 6), PK3 for pick three, PK4 for select four, PK6 for pick 6 one pool, PK7 for pick seven, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, SS1 for special sweepstakes (pick 6) alternate 1, SS2 for special sweepstakes (pick 6) alternate 2, SS3 for special sweepstakes (pick 6) alternate 3, SRUS for special reserved unlimited sweepstakes (pick 9), SUS for special unlimited sweepstakes (pick 9), and TRI for trifecta, and US for unlimited sweepstakes (pick 9).

## TYPE OF WAGERS

#### **APPLICABLE RULES**

**Example Race** \$1 E; \$2 Twin Trifecta \$1 DD CHRB #1959; RCI #VPE

Race #1

Race #2

Race #3

Race #4

Race #5

Race #6

Race #7

Race #8

Race #9

Race #10

Race #11

Race #12

#### Race #13

В.	The Mmaximum carryover pool to be allowed to accumulate before its distribution <b>or</b> the date(s) designated for distribution of the carryover pool:
C.	List any options requested with regard to exotic wagering:
<b>D</b> . ,	Will "advance" or "early bird" wagering be offered?  If yes, when will such wagering begin:  Yes  No
E.	Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

## 8. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:
- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:
  - 1. Include a list of the out-of-state sites with the percentage the association is charging the site for the simulcast activity.
- F. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: B&P Code Section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 23 imported thoroughbred races statewide. The limitation of 23 imported thoroughbred races per day statewide does not apply to those races specified in B&P Code Section 19596.2(a)(1), (2), (3) and (4).

#### THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Examples:		
Calder Race Course	1/1 & 1/2/99	Full Card
Fair Grounds	3/14/99	Selected Feature and/or Stakes Races

G. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the

name of the host track, the dates imported races will be held, and how many races will be imported:

#### OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
Examples:			
Meadowlands	—— H	<del>8/7/99</del>	<del>1</del>
Ruidoso Downs	—— QH	9/6/99	3

H. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Section 19596.2(d), attach a copy showing agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of B&P Code Sections 19596, 19596.1, 19596.2, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5.)

## 9. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing Oofficials nominated:

**Associate Judges** 

Association Veterinarian(s)

Clerk of Scales

Clerk of the Course

Film Specialist

Horse Identifier

Horseshoe Inspector

Paddock Judge

**Patrol Judges** 

Placing Judges

Starter

Timer

B. Management officials in the racing department:

Director of Racing

Racing Secretary

**Assistant Racing Secretary** 

Paymaster of Purses

Others (identify by name and title)

C. Name, address and telephone number of the reporter employed to record and prepare transcripts of

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hearings conducted by the stewards:

D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract: Specify the number and location of cameras for dirt and turf tracks.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

#### 10. SECURITY CONTROLS AND EMERGENCY SERVICES

- A. Name and title of the person responsible for security controls on the premises: <u>Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.</u>
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis. Describe the electronic security system:
  - 1. Attach a written plan for enhanced security for graded/stakes races.
  - 2. Attach a written plan for enhanced surveillance of barns.
- C. Describe the electronic security system.
  - 1. Location and number of video surveillance cameras for the detention barn and stable gate.

## 11. EMERGENCY SERVICES

- <u>CA</u>. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:
- <u>DB</u>. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
- **EC**. Attach a fire clearance from the fire authority having jurisdiction over the premises.
- F. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):
- <u>GD</u>. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance which that secures the liability of the fair for payment of workers' compensation.

## **4112.** CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, other than

those already identified, and the goods and/or services to be provided by each:

<u>13.</u>	<u>o</u>	N-TRA	ACK ATTE	NDA	NCE/FAN DEVELOP	MENT		
	<u>A.</u>	Estima	ted advertisi	ng bu	adget for meeting:			
	<u>B.</u>	Describ	oe any promo	otion	al plans and estimated bud	dget for each pror	notic	onal activity for meeting:
	<u>C.</u>	Numbe	er of hosts ar	d ho	stesses employed for mee	ting:		
	<u>D.</u>	Describ	oe facilities s	et as	de for new fans:			
	<u>E.</u> ]	Describ	e any impro	veme	ents to the physical facility	in advance of the	e me	eting that directly benefits:
1 <u>214</u>					<del></del>			
	A.	_	osed charges ous year:	, <del>for</del>	admissions, seating or box	xes, parking and p	rogra	ams. Nnote any changes from
		Admi Reser Reser Parki Parki Parki	ission (generission (clubhrved seating rved seating ing (general) ing (preferreing (valet) rams (on-trams (off-trams)	ouse (gen (club d) ack)	eral)			
	В.	Desc	ribe any "Se	ason	Boxes" or other special a	ccommodation fe	es:	
	C.	Desc	ribe any "pao	ckage	e" plans such as combined	parking, admissi	on ar	nd program:
13 <u>15</u>	<u>.</u> Jo	OCKE	YS' QUAR	TER	s			
	A.	Chec			menities available in the j and cubicles) Steam room, sauna or ste Food/beverage service	How many		Lounge area  Certified platform scale

B. Describe the quarters to be used for female jockeys:

## **1416. BACKSTRETCH EMPLOYEE HOUSING**

	<b>A</b> .	Attach written certification that an inspection of the backstretch employee housing has been conducted by a lead agency designated by the CHRB and the applicant is not aware of any known violations of the local housing ordinances.
	<u>A.</u>	Inspection of backstretch housing was completed by (name) on (date)
	В.	Number of rooms used for housing on the backstretch of the racetrack:
	C.	Number of restrooms available on the backstretch of the racetrack:
	D.	Estimated ratio of restrooms to the number of backstretch personnel:
<u>17</u> 1	5. Tl	RACK SAFETY
	A.	Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.
	В.	Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of

- C. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:
- D. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.

the inner railing from the level of the race course.

E. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

## 1816. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so

state):

D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

# 1917. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Print Name	Signature	
Print Title	 Date	

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING
CHRB-17 (Rev. 7/01 01/05)

**Application received:** 

Deposit received:

Reviewed:

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions (B&P) Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1.	API	PLICANT ASSOCIATION
	A.	Name, mailing address, telephone and fax numbers of association:
	В.	Breed of horse: QH QH H
	C.	Racetrack name:
	D.	Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to B&P Code Section 19490.
		APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuanule 1433.
2.	DA	TES OF MEETING
	A.	Inclusive dates for the entire meeting:
	B.	Actual dates racing will be held:
	C.	Total number of days or nights of racing:
	D.	Days or nights of the week races will be held:  Wed - Sun Tues - Sat Other (specify)
	E.	Number of days or nights of racing per week:
3.	RA	CING PROGRAM
	A.	Total number of races:
	В.	Number of races for each day or night:
	C.	Total number of stakes races:
	D.	Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.
Г		CHRB CERTIFICATION

Hearing date: Approved date:

License number:

CHR	B-17 (	Rev. 0	<u>1/05</u> <del>7/01</del> )		
	E.	Will	provisions be made for owners and trainers to use their own registered colors?		
			Yes No If no, what racing colors are to be used:		
	F.	List	all post times for the daily racing program:		
limite horse	ed to Ca meetin	alifornia	ANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race a-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be e total amount distributed for all stakes races pursuant to B&P Code Section 19568(b).		
4.	RA	ACING ASSOCIATION			
	A.	Asso	ociation is a: Corporation (complete subsection C)		
			LLC (complete subsection D)		
			Other (specify, and complete subsection E)		
	В.	Con	aplete the applicable subsection and attached Addendum, Background Information and Ownership.		
	C.	CORPORATION			
	,	1.	Registered name of the corporation:		
		2.	State where incorporated:		
		3.	Registry or file number for the corporation:		
		4.	Names of all officers and directors, titles, and the number of shares of the corporation held by each:		
		5.	Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:		
		6.	Number of outstanding shares in the corporation:		
		7.	Are the shares listed for public trading?  If yes, on what exchange and how is the stock listed:  Yes No		
		8.	Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:		
		9.	If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:		

10. Attach the most recent <u>audited</u> annual financial statement for the <u>licensee</u> corporation, including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission

and/or the California Corporations Commission.

CHRB-17	(Rev. <u>0</u> 1	<u>1/05</u> <del>7/01</del> )
D.	LLC	
	1.	Registered name of the LLC:
	2.	State where articles of organization are filed:
	3.	Registry or file number for the LLC:
	4.	Names of all officers and directors, titles, and the number of shares of the LLC held by each:
	5.	Names (true names) of all members, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the LLC and the number of shares held by each:
	6.	Are the shares listed for public trading?  If yes, on what exchange and how is the stock listed:  Yes No
	7.	If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:
	8.	Attach the most recent <u>audited</u> annual financial statement for the <u>licensee LLC</u> , including balance sheet and profit and loss statement, and a copy of a report made during the preceding 12 months to shareholders in the LLC and/or the Securities and Exchange Commission and/or the California Corporations Commission.
E.	OTH	IER .
	1.	Name(s) of partners/sole proprietor:
	2.	If a partnership, attach partnership agreement.
<b>F</b> .	Man	agement and Staff
	1.	Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 10B, who will be listed in the official program:
	2.	Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing address of such person(s) if other than the mailing address of the association:

# 5. PURSE PROGRAM

- A. Estimated purse distribution:
  - 1. All races other than stakes:
  - 2. Overnight stakes:
  - 3. Non-overnight stakes:
- B. Stakes Races:

- 1. Estimated purse distribution for all stakes races:
- 2. Percentage of the estimated purse distribution for all stakes races that will be distributed for California-bred stakes races:
- C. Estimated funds to be generated for all California-bred incentive awards:
- D. Estimated amount of payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

**Estimated Payment** 

- E. Total estimated amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen:
- F. Estimated purse funds to be generated from on-track handle and intrastate off-track handle:
- G. Estimated purse funds to be generated from interstate handle:
- H. Bank or depository and account number for the Paymaster of Purses' purse account: and the account number:
- I. Name, address and telephone number of the pari-mutuel audit firm engaged for the meeting:

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Code Section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 6. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
- B. Minimum number of stalls believed necessary for the meeting:
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:
- D. Name and or location of each off-site auxiliary stabling area and the number of stalls to be maintained

CHRB-17 (Rev. <u>01/05</u> <del>7/01</del>) at each site:

E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that which cannot be provided stabling on-site.

Complete subsections F through I if the association will request reimbursement for off-site stabling as provided by B&P Code Sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, skip to Section 7.

- F. Total number of usable stalls made available on-site for the 1986 meeting:
- G. Estimated cost to provide off-site stalls for this meeting.: Show cost per day per stall:
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
- I. Estimated cost for off-site stabling and vanning for this meeting. Show cost per-day per-stall:

## 7. PARI-MUTUEL WAGERING PROGRAM

A. Pursuant to B&P Code Section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners
 International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), LS for limited sweepstakes (pick 6), PK3 for pick three, PK4 for select four, PK6 for pick 6 one pool, PK7 for pick 7, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, SS1 for special sweepstakes (pick 6) alternate 1, SS2 for special sweepstakes (pick 6) alternate 2, SS3 for special sweepstakes (pick 6) alternate 3, SRUS for special reserved unlimited sweepstakes (pick 9), SUS for special unlimited sweepstakes (pick 9), and TRI for trifecta, and US for unlimited sweepstakes (pick 9).

TYPE OF WAGERS

**APPLICABLE RULES** 

Example Race:

\$1 E: \$2 Twin Trifecta \$1 DD

CHRB #1959; RCI #VPE

Race #1

Race #2

Race #3

Race #4

Race #5

Race #6

Race #7

Race #8

Race #9

Race #10

7400 1110

Race #11

Race #12

Race #13

B. The Mmaximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s)

CHRB-17 (Rev.	. <u>01/05</u> <del>7/01</del> ) esignated for distribution of the carryover pool:						
C. Li	ist any options requested with regard to exotic wagering:						
	Vill "advance" or "early bird" wagering be offered? Yes No Yes, when will such wagering begin:						
-	ype(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast rganization, name of the person(s) supplying equipment, and expiration date of the service contract:						
8. SIMUI	SIMULCAST <del>ING</del> WAGERING PROGRAM						
A. Si	imulcast organization engaged by the association to conduct simulcast wagering:						
to	ttach the agreement between the association and simulcast organization permitting the organization use the association's live audiovisual signal for wagering purposes and providing access to its stalizator for the purpose of combining on-track and off-track pari-mutuel pools.						
C. C	alifornia simulcast facilities the association proposes to offer its live audiovisual signal:						
D. O	ut-of-state wagering systems the association proposes to offer its live audiovisual signal:						
E. <b>O</b>	ut-of-state wagering systems that will combine their pari-mutuel pools with those of the association:						
<u>1.</u>	Provide a list of the out-of-state sites with the percentage the association is charging the site for the simulcast activity ( <i>To be submitted upon request</i> ).						
in be	or <b>THOROUGHBRED</b> racing associations, list the host track from which the association proposes to aport out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state selected feature and/or stakes races":						
the state, the nun fair is conducting	LICANT: B&P Code Section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in nber of thoroughbred races which may be imported by an association or fair during the calendar period the association or g its racing meeting cannot exceed a combined daily total of 23 imported thoroughbred races statewide. The limitation of roughbred races per day statewide does not apply to those races specified in B&P Code Section 19596.2(a)(1), (2), (3)						
	THOROUGHBRED SIMULCAST RACES TO BE IMPORTED						

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

Examples:
Calder Race Course 1/1 & 1/2/99 Full Card
Fair Grounds 3/14/99 Selected Feature and/or Stakes Races

G. For QUARTER HORSE racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

Examples:

## QUARTER HORSE SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

Examples:

Description:

Retama Park 4/8 & 4/9/99 Full Card

Ruidoso Downs 9/6/99 Selected Feature and/or Stakes Races

H. For **STANDARDBRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

## HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track Race Dates Full Card or Selected Feature and/or Stakes Races

Saratoga Raceway 5/8/99 Full Card
Meadowlands 8/7/99 Selected Feature and/or Stakes Races

I. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

#### OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track Breed of Horse Race Dates Number of Races to be Imported

J. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Section 19596.2(d), attach a copy showing the agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of B&P Code Sections 19596, 19596.1, 19596.2, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5.)

#### 9. CHARITY RACING DAYS

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- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:
- B. Names and addresses of the trustees or directors of the distributing agent:
- C. Dates the association will conduct races as charity racing days OR:

D.	Will the association pay the dis-	ributing agent an amount equal to the maximum required under B&P
	Code Section 19550(b)?	Yes

NOTICE TO APPLICANT: Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of B&P Code Section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 20% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of B&P Code Section 19556(b).

## 10. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing Oofficials nominated:

**Associate Judges** 

Association Veterinarian(s)

Clerk of Scales

Clerk of the Course

Film Specialist

Horse Identifier

Horseshoe Inspector

Paddock Judge

Patrol Judges

**Placing Judges** 

Starter

Timer

B. Management officials in the racing department:

Director of Racing Racing Secretary Assistant Racing Secretary Paymaster of Purses Others (identify by name and title)

- C. Name, address and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract: Specify the number and location of cameras for dirt and turf tracks.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying

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the service, and expiration date of the service contract:

# 11. SECURITY CONTROLS AND EMERGENCY SERVICES

- A. Name and title of the person responsible for security controls on the premises: <u>Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.</u>
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: Describe the electronic security system:
  - 1. Attach a written plan for enhanced security for graded/stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns.
  - 2. Attach a written plan for enhanced surveillance of barns.
  - 2. Detention Barns:
    - A. Attach a plan for use of graded stakes or overnight races.
    - B. Number of security guards in the detention barn area during a 24-hour period.
      - C. Describe number and location of surveillance cameras in detention barn area.
  - 3. TCO2 Testing:
    - A. Number of races to be tested, and number of horses entered in each race to be tested.
    - B. Plan for enhanced surveillance for trainers with high-test results.
    - C. Plan for detention barns for repeat offenders.
    - D. Number of security personnel assigned to the TCO2 program.
- <u>C.</u> <u>Describe the electronic security system:</u>
  - 1. Location and number of video surveillance cameras for the detention barn and stable gate.
- D. For night racing associations: Describe emergency lighting system:

## 12. EMERGENCY SERVICES

- <u>AC</u>. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:
- BD. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
- <u>CE</u>. Attach a fire clearance from the fire authority having jurisdiction over the premises.

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  - <u>DF</u>. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details):
  - <u>EG</u>. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that which secures the liability of the association for payment of workers' compensation.

## 1312. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:

## 14. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Estimated advertising budget for meeting (To be submitted upon request):
- B. Describe any promotional plans and estimated budget for each promotional activity for meeting:
- C. Estimated budget for promotional plans (To be submitted upon request):
- DC. Number of hosts and hostesses employed for meeting:
- ED. Describe facilities set aside for new fans:
- FE. Describe any improvements to the physical facility in advance of the meeting that directly benefit:
  - 1. Horsemen
  - 2. Fans
  - 3. Facilities in the restricted areas

## 1513. SCHEDULE OF CHARGES

A. Proposed charges, for admissions, seating or boxes, parking and programs. Note <u>note</u> any changes from the previous year:

Admission (general)

Admission (clubhouse)

Reserved seating (general)

Reserved seating (clubhouse)

Parking (general)

Parking (preferred)

Parking (valet)

Programs (on-track)

(off-track)

- B. Describe any "Season Boxes" and "Turf Club Membership" fees:
- C. Describe any "package" plans such as combined parking, admission and program:

# 1614. JOCKEYS/DRIVERS' QUARTERS

CHRB-17 (Rev. 01/05 7/01) Check the applicable amenities available in the jockeys/drivers' quarters: Corners (lockers and cubicles) How many Steam room, sauna or steam cabinets Lounge area **Showers** Food/beverage service Certified platform scale Masseur Describe the quarters to be used for female jockeys/drivers: B. 1745. BACKSTRETCH EMPLOYEE HOUSING Attach written certification that an inspection of the backstretch employee housing has been conducted by a lead agency designated by the CHRB and the applicant is not aware of any known violations of the local housing ordinances. Inspection of backstretch housing was completed by (name) on (date) Number of rooms used for housing on the backstretch of the racetrack: Number of restrooms available on the backstretch of the racetrack: C. D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1816. TRACK SAFETY Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner Α. railing) back to the finish line: feet. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of В. inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. Name of the person responsible for supervision of the maintenance of the racetrack safety standards C. pursuant to CHRB Rule 1474:

- D. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
- E. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

## 1917. DECLARATIONS

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.

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- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made).
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state):

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

## **2018. CERTIFICATION BY APPLICANT**

statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.				
Print Name	Signature			
Print Title	Date			

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing

## **ADDENDUM**

# **Background and Ownership Information**

FULL DISCLOSURE: By authority of Sections 19440 and 19480 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in order to allow an evaluation of the competency, integrity, and character of potential racetrack operator, contractor, subcontractor and concessionaire licensees of the California Horse Racing Board (CHRB), any applicant for such a license shall comply with the provisions set forth below. Where applicable, supply the requested information and submit with your application documents. (If necessary, attach additional pages showing the corresponding numbers for the questions you are answering.) If a question does not apply to you, so state with "N/A".

NOTE: All information contained in this Addendum may be disclosed pursuant to the California Public Records Act.

#### I. BACKGROUND INFORMATION

- A. PERSONAL INFORMATION Application documents must include for each individual who is a director, officer, or partner in the application, or an owner of an interest in the applicant of 5% or more:
  - 1. Full name and any previous names or aliases;
  - 2. date of birth;
  - 3. physical description;
  - 4. business address and telephone number; and
  - 5. disclosure of employment, education and military history for the past 20 years or since the age of 18.
- B. PERSONAL HISTORY Application documents must include a completed Personal History Record, CHRB-25A, for each individual named in Addendum Section I, A.
- C. RELATIONSHIP The application documents must state, for each individual providing information under Addendum Section I, A, whether the individual is related to a member or an employee of the CHRB. A half-relationship or steprelationship is considered to be a familial relationship.
- D. CORPORATIONS If the applicant is a corporation, the application documents must state:
  - 1. The state in which the applicant is incorporated; and
  - 2. name and address of the applicant's agent for service of process in California.
- E. INDICTMENTS OR CONVICTIONS If the applicant is a corporation, the application documents must include a statement disclosing whether the corporation is presently or has ever been indicted or convicted of a criminal offense, e.g., felony or misdemeanor.
- F. PENDING LEGAL PROCEEDINGS An applicant for a license to operate a racetrack must describe any pending legal proceedings of \$250,000 or more:
  - 1. To which the applicant, a director, officer, or partner of the applicant, or an individual who owns an interest in the applicant of 5% or more is a party; or
  - 2. that involves property owned by the applicant, a director, officer, or partner of the applicant, an individual who owns an interest in the applicant of 5% or more, or a related entity identified under Addendum Section I.
  - 3. Applicant must state the name of the court or agency before which the proceeding is or was pending, the case number, date the proceeding was instituted, and the names of the principal parties to the proceeding.

## II. OWNERSHIP

- A. IDENTIFICATION AND LOCATION The application documents must include:
  - 1. All names used by the applicant; and
  - 2. name of the agent and the address and telephone number of the office of the applicant for service of process in

CHRB-17 (Rev. <u>01/05</u> <del>7/01</del>) California.

- B. BUSINESS STRUCTURE The application documents must describe the applicant's business structure and include an organizational chart.
- C. ORGANIZERS If the applicant is not an individual and was organized less than five years before the date on which the application documents are submitted to the CHRB, the application documents must state:
  - 1. Name of each individual who was an organizer or promoter of the applicant;
  - 2. nature and amount of assets, services, or other consideration contributed to the applicant by an organizer or promoter of the applicant; and
  - 3. nature and amount of anything of value given by the applicant to an organizer or promoter of the applicant.

#### D. ORGANIZATIONAL DOCUMENTS

- 1. If the applicant is a corporation, the application documents must include:
  - a. Statement of when and in what state the corporation was organized;
  - b. certified copy of the articles of incorporation and bylaws of the applicant;
  - c. statement and documentation of whether the corporation has been reorganized or reincorporated during the five-year period preceding the date on which the application documents are submitted to the CHRB; and
  - d. statement and documentation of whether the corporation has filed restated articles of incorporation.
- 2. If the applicant is an unincorporated business association, the application documents must include:
  - a. Certified copy of each organizational document for the applicant, including any partnership agreement; and
  - b. description of any oral agreements involving the organization of the partnership.

#### E. CAPITOL STOCK

- 1. If the applicant is authorized to issue capital stock, the application documents must state the classes of stock authorized and the total shares of each class authorized.
- 2. For each class of stock, applicant must also state:
  - a. Par value, if any;
  - b. voting rights;
  - c. current rate of dividend; and
  - d. number of shares outstanding and the market value of each share.
- 3. Application documents must list the name and address of each person who owns, of record or beneficially, at least 5% of stock. For each person listed under this subsection, the application documents must describe the nature of the person's ownership interest and the person's percentage of the total ownership interest.
- 4. Application documents must include a certified copy of each voting trust or voting agreement in which at least 5% of the capital stock is held and must state:
  - a. Name and address of each stockholder participating in the trust or agreement;
  - b. class of stock involved; and
  - c. total number of shares held by the trust or agreement.

## F. DIRECTORS, OFFICERS, AND PARTNERS

1. If the applicant is not an individual, the application documents must include a list of the individuals who are serving or who are designated to serve, during the first year after the date the application documents are submitted

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to the CHRB, as a director, officer, or partner of the applicant. The list must state for each individual:

- a. Name and business address;
- b. each position or office of the applicant held by the individual;
- c. principal occupation during the five-year period preceding the date on which the application documents are submitted to the CHRB; and
- d. nature and extent of any ownership interest in the applicant.
- 2. Application documents must include a completed Personal History Record, CHRB-25A, for each individual named under Addendum Section II, F, 1.

#### G. CONTROLLING ENTITY

- 1. Application documents must state whether another entity exercises or is in a position to exercise control in the management or financial affairs of the applicant. The documents must describe the nature of the relationship between the entity and the applicant and the extent of control exercised by the entity.
- 2. If a nonindividual entity owns an interest of 5% or more in the applicant, the application documents must include the information required by Addendum Section II, G, 1, as it relates to the nonindividual entity.
- 3. Application documents must include information required by Addendum Section II, G, 2, for each nonindividual entity identified in the application documents to the extent necessary to determine the identity of each individual who is an indirect holder of an ownership interest in the applicant.
- H. OUTSIDE INTERESTS AND LICENSE HISTORY Application documents must state whether the applicant or a director, officer, or partner of the applicant:
  - 1. Ever held an ownership interest in a licensee of the CHRB; or
  - 2. is currently engaged in the business of racing in another state.

STATE OF CALIFORNIA CALIFORNIA HORSE RACING BOARD

# APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR

CHRB-18 (Rev. 7/01 01/05)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions (B&P) Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1.	Al	PPLICANT FAIR ASSOCIATION					
	A.	Name, mailing address, telephone and fax numbers of fair:					
	В.	Fair association is a: District Fair County Fair Citrus Fruit Fair California Exposition and State Fair Other qualified fair					
		APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant ale 1433.					
2.	DAT	TES OF <u>RACE</u> MEETING					
	A.	Inclusive dates for the entire of race meeting:					
	₽.	Actual dates racing will be held:					
	<u>€B</u> .	Dates racing will NOT be held:					
	<u>₽C</u> .	Total number of racing days or nights of racing:					
	<del>E.</del>	Number of days or nights of racing per week:					
3.	RAC	ACING PROGRAM					
	A.	Total number of races:					
	B.	Number of races by breed:					
		Thoroughbreds Quarter Horses Appaloosas					
		Arabians Paints Mules					
	C.	Number of races for each day or night daily:					
Γ.	11	CHRB CERTIFICATION					
	pplicati eviewed	ion received: Hearing date: Approved date: License number:					

Thoroughbred	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Other Breeds							
Total							
. Total nur	nber of sta	kes races by	breed:				
	Γhoroughb Arabians	reds	Quarte Paints	er Horses	Appaloo Mules	osas	
Attach a purse for	•	l stakes race	s and indica	ate the date to	be run and the ac	dded money	or guarantee
. Will prov					their own registe s are to be used:	red colors?	
. List all p	ost times fo	or the daily 1	acing prog	ram:			

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

## 4. FAIR ASSOCIATION

- A. Names of the fair directors:
- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:
- C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 9B, who will be listed in the official program:

## 5. PURSE PROGRAM

- A. Estimated purse distribution:
  - 1. All races other than stakes:
  - 2. Overnight stakes:
  - 3. Non-overnight stakes:
- B. Estimated purse distribution for all stakes races:
- CB. Estimated funds to be generated for all California-bred incentive awards:
- <u>DC</u>. Estimated amount of payment to each recognized horsemen's organization contracting with the fair and the name(s) of the organization(s):

Recognized Horsemen's Organization

**Estimated Payment** 

<u>CTT</u>

**TOC** 

**NTRA** 

**PCQHRA** 

**CWAR** 

**ARAC** 

**AMRA** 

**CHBPAPEN** 

**CTHF** 

## **Total Estimated Payments:**

- <u>ED</u>. Total estimated amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen:
- FE. Estimated purse funds to be generated from on-track handle and intrastate off-track handle:
- GF. Estimated purse funds to be generated from interstate handle:
- HG. Bank or depository and account number for the Paymaster of Purses' purse account and the account number:
- 4H. Name, address and telephone number of the pari-mutuel audit firm engaged for the meeting:

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the fair and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by B&P Code Section 19615(c) or (d). In the event of underpayment of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting, provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 6. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
- B. Minimum number of stalls believed necessary for the meeting:
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:

- D. Name <u>and</u> or location of each off-site <u>auxiliary</u> stabling area and the number of stalls to be maintained at each site:
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses which that cannot be provided stabling on-site.

Complete subsections F through I if the fair will request reimbursement for off-site stabling as provided by B&P Code Sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, skip to Section 7.

- F. Total number of usable stalls made available on-site for the 1986 meeting:
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:
- I. Total estimated cost for off-site stabling and vanning for this meeting. Show cost per-day per-stall:

# 7. PARI-MUTUEL WAGERING PROGRAM

A. Pursuant to B&P Code Section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), LS for limited sweepstakes (pick 6), PK3 for pick three, PK4 for select four, PK6 for pick 6 one pool, PK7 for pick seven, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, SS1 for special sweepstakes (pick 6) alternate 1, SS2 for special sweepstakes (pick 6) alternate 2, SS3 for special sweepstakes (pick 6) alternate 3, SRUS for special reserved unlimited sweepstakes (pick 9), SUS for special unlimited sweepstakes (pick 9), and TRI for trifecta, and US for unlimited sweepstakes (pick 9).

#### **TYPE OF WAGERS**

\$1 E; \$2 Twin Trifecta \$1 DD

## APPLICABLE RULES

CHRB #1959; RCI #VPE

Example Race
Race #1
Race #2
Race #3
Race #4
Race #5
Race #6
Race #7
Race #8

Race #9 Race #10 Race #11 Race #12

#### Race #13

В.	The Mmaximum carryover pool to be allowed to accumulate before its distribution <b>or</b> the date(s) designated for distribution of the carryover pool:
C.	List any options requested with regard to exotic wagering:
D.	Will "advance" or "early bird" wagering be offered? Yes No If yes, when will such wagering begin:
E.	Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

## 8. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:
- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:
  - 1. Provide a list of the out-of-state sites with the percentage the association is charging the site for the simulcast activity (To be submitted upon request).
- F. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

NOTICE TO APPLICANT: B&P Code Section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 23 imported thoroughbred races statewide. The limitation of 23 imported thoroughbred races per day statewide does not apply to those races specified in B&P Code Section 19596.2(a)(1), (2), (3) and (4).

## THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Examples:		
Calder Race Course	1/1 & 1/2/99	Full Card
Fair Grounds	3/14/99	Selected Feature and/or Stakes Races

G. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the

name of the host track, the dates imported races will be held, and how many races will be imported:

## OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
Examples:			
Meadowlands	— H	8/7/99	<del>1</del>
Ruidoso Downs	— <del>QH</del> ———	9/6/99	3

H. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in B&P Code Section 19596.2(d), attach a copy showing agreement by the appropriate racing association(s).

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of B&P Code Sections 19596, 19596.1, 19596.2, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 5.)

# 9. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

A. Racing Officials nominated:

**Associate Judges** 

Association Veterinarian(s)

Clerk of Scales

Clerk of the Course

Film Specialist

Horse Identifier

Horseshoe Inspector

Paddock Judge

Patrol Judges

Placing Judges

Starter

Timer

B. Management officials in the racing department:

Director of Racing

Racing Secretary

**Assistant Racing Secretary** 

Paymaster of Purses

Others (identify by name and title)

C. Name, address and telephone number of the reporter employed to record and prepare transcripts of

hearings conducted by the stewards:

- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract: Specify the number and location of cameras for dirt and turf tracks.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

#### 10. SECURITY CONTROLS AND EMERGENCY SERVICES

- A. Name and title of the person responsible for security controls on the premises: <u>Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.</u>
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: Describe the electronic security system:
  - 1. Attach a written plan for enhanced security for graded/stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention barns.
  - 2. Attach a written plan for enhanced surveillance of barns.

#### 2. Detention Barns:

- A. Attach a plan for use of graded stakes or overnight races.
- B. Number of security guards in the detention barn area during a 24-hour period.
- C. Describe number and location of surveillance cameras in detention barn area.

## 3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.
- B. Plan for enhanced surveillance for trainers with high-test results.
- C. Plan for detention barns for repeat offenders.
- D. Number of security personnel assigned to the TCO2 program.
- C. Describe the electronic security system.
  - 1. Location and number of video surveillance cameras for the detention barn and stable gate.

## 11. EMERGENCY SERVICES

- <u>CA</u>. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:
- <u>DB</u>. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
- EC. Attach a fire clearance from the fire authority having jurisdiction over the premises.
- F. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):
- <u>GD</u>. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance which that secures the liability of the fair for payment of workers' compensation.

## **1112. CONCESSIONAIRES AND SERVICE CONTRACTORS**

Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:

## 13. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Estimated advertising budget for meeting (To be submitted upon request):
- B. Describe any promotional plans and estimated budget for each promotional activity for meeting:
- C. Estimated budget for promotional plans (To be submitted upon request):
- DC. Number of hosts and hostesses employed for meeting:
- ED. Describe facilities set aside for new fans:
- FE. Describe any improvements to the physical facility in advance of the meeting that directly benefits:
  - 1. Horsemen
  - 2. Fans
  - 3. Facilities in the restricted areas

## 1214. SCHEDULE OF CHARGES

A. Proposed charges, for admissions, seating or boxes, parking and programs. Nnote any changes from previous year:

Admission (general)
Admission (clubhouse)
Reserved seating (general)

	Reserved seating (clubhouse)
	Parking (general)
	Parking (preferred)
	Parking (valet) Programs (on-track)
	(off-track)
B.	Describe any "Season Boxes" or other special accommodation fees:
<b>C</b> .	Describe any "package" plans such as combined parking, admission and program:
<del>13</del> 15. JO	OCKEYS' QUARTERS
<b>A</b> .	Check the applicable amenities available in the jockeys' quarters:
	Corners (lockers and cubicles) How many
	Showers Steam room, sauna or steam cabinets Lounge area
	Masseur Food/beverage service Certified platform scale
	rood/beverage service Certified platform scale
В. '	Describe the quarters to be used for female jockeys:
14 <u>16</u> . B	ACKSTRETCH EMPLOYEE HOUSING
<del>A.</del>	Attach written certification that an inspection of the backstretch employee housing has been conducted by a load accept designated by the CURR and the applicant is not aware of any known violations of
	by a lead agency designated by the CHRB and the applicant is not aware of any known violations of the local housing ordinances.
Α.	Inspection of backstretch housing was completed by (name) on
_	(date)
В.	Number of rooms used for housing on the backstretch of the racetrack:
C.	Number of restrooms available on the backstretch of the racetrack:
D.	Estimated ratio of restrooms to the number of backstretch personnel:
<u>17</u> 45. T	RACK SAFETY
Α.	Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner
<b></b>	railing) back to the finish line: feet.
В.	Describe the type(s) of materials used for the inner and outer railings of the race course, the type of
	inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports,
	etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of
	the inner railing from the level of the race course.
C.	Name of the person responsible for supervision of the maintenance of the racetrack safety standards

pursuant to CHRB Rule 1474:

- D. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474.
- E. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

## 1816. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

## 1917. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

Print Name	Signature
Print Title	Date

# STAFF ANALYSIS PROPOSED AMENDMENT OF RULE 1887. TRAINER TO INSURE CONDITION OF HORSE

# Regular Board Meeting May 26, 2005

#### BACKGROUND

Business and Professions Code Section 19580 states that the Board shall adopt regulations to establish policies, guidelines, and penalties relating to equine medication in order to preserve and enhance the integrity of horse racing in California. Rule 1887, Trainer to Insure Condition of Horse, states if the Board or its agents fail to notify a trainer of a potential positive test within 18 calendar days from the date the sample was taken the trainer shall not be deemed responsible unless it is shown by the preponderance of the evidence that the trainer administered the prohibited drug substance or caused the administration or had knowledge of the administration.

The proposed amendment will extend the time to notify a trainer of a potential positive test finding from 18 calendar days to 21 calendar days.

#### **ANALYSIS**

It can take up to seven days, or more if a holiday falls within the shipping process, for the laboratory to receive the test sample. The Board is notified of a potential positive test finding after the laboratory completes their testing process. When unanticipated delays occur in the shipping or the laboratory testing the Board has, on occasion, received notification from the laboratory of a potential positive finding with only one day to locate and notify a trainer. If it is a dark day at the track and the trainer is not at the track nor can be located at their address of record it can be impossible to notify a trainer.

The proposed amendment of Rule 1887 takes into account the time required to ship samples to the laboratory, which in turn will allow adequate time for the laboratory to analyze the samples and gives the Board or its agents three additional days to locate and notify a trainer of a potential positive finding.

## RECOMMENDATION

The proposed amendment was heard February 16, 2005, at the Medication Committee Meeting and recommended for notice. No comments were received during the 45-day notice period.

Staff recommends the Board adopt the proposed amendment of Rule 1887.

### CALIFORNIA HORSE RACING BOARD TITLE 4. CALIFORNIA CODE OF REGULATIONS ARTICLE 16. GENERAL CONDUCT

### PROPOSED AMENDMENT OF RULE 1887. TRAINER TO INSURE CONDITION OF HORSE

Regular Board Meeting May 26, 2005

1887. Trainer to Insure Condition of Horse.

(a) The trainer is the absolute insurer of and responsible for the condition of the horses

entered in a race, regardless of the acts of third parties, except as otherwise provided in this

article. If the chemical or other analysis of urine or blood test samples or other tests, prove

positive showing the presence of any prohibited drug substance defined in Rule 1843.1 of this

division, the trainer of the horse may be fined, his/her license suspended or revoked, or be ruled

off. In addition, the owner of the horse, foreman in charge of the horse, groom, and any other

person shown to have had the care or attendance of the horse, may be fined, his/her license

suspended, revoked, or be ruled off.

(b) Notwithstanding the above, if the Board or its agents fail to notify a trainer of a

potential positive test within 18 21 calendar days from the date the sample was taken, the trainer

shall not be deemed responsible under this rule unless it is shown by the preponderance of the

evidence that the trainer administered the drug or other prohibited substance defined in Rule

1843.1 of this division, caused the administration or had knowledge of the administration.

Authority:

Sections 19440, 19580 and 19581,

Business and Professions Code.

Reference:

Sections 19440, 19577, 19580 and 19581,

Business and Professions Code.

### STAFF ANALYSIS PROPOSED AMENDMENT OF RULE 1976.9. PICK (N) POOL

Regular Board Meeting May 26, 2005

### **BACKGROUND**

Business and Professions (B&P) Code Section 19440 provides that the Board shall have all powers necessary to enable it to carry out fully the purposes of this chapter. B&P Code Section 19590 states that the Board shall adopt rules governing, permitting, and regulating pari-mutuel wagering on horse races under the system known as the pari-mutuel method of wagering. B&P Code Section 19593 states no method of betting, pool making, or wagering other than by the pari-mutuel method shall be permitted or used by any person licensed under this chapter to conduct a horse racing meeting. Board Rule 1976.9, Pick (n) Pool requires the selection of the first-place finisher in each of a number of races designated by the association. The Pick (n) must be comprised of more than three, but no greater than ten races. The National Thoroughbred Racing Association (NTRA) Players Panel recommendations regarding the Pick (n) wager were heard by the Pari-Mutuel Operations Committee (Committee) in February 2005. At the February 2005 Regular Board Meeting, the Committee recommended the Board adopt the NTRA recommended amendments to the Pick (n). (See "Attachment A" subsection (n).)

### **ANALYSIS**

The proposed amendment to Rule 1976.9 provides that if the condition of the turf course warrants a change of racing surface in a race included in a Pick (n) wager, and the public is not informed of the change prior to the close of wagering on the Pick (n), the race will be declared "no contest" by the stewards for the purposes of the Pick (n) pool. The proposed change is meant to protect the wagering public who might not have been fully informed when they placed their wagers. Horses that perform well on a turf course might not perform as expected on a dirt course. By declaring the race "no contest" all tickets are winners in that particular leg of the Pick (n) wager. The proposal to amend Rule 1976.9 also permits the totalizator to provide information regarding possible Pick (n) payouts for each of the runners when the last race comprising the Pick (n) is the only race remaining. Such information may help build excitement over the Pick (n) wager, and indicate if a carry-over will occur. The association offering the Pick (n) wager would be able to promote the carry-over and perhaps generate more wagers for the next performance.

During the 45-day public comment period it was noted the term "no contest" – as used in subsection 1976.9(n) – could be confused with the provisions of Board Rule 1544, Calling Off Race, which provides circumstances where the stewards may declare the race as "no contest." Staff agreed with the comment and changed the language of subsection (n) to clarify the intent

of the proposed amendment. Rather than declare the race "<u>no contest</u>" all wagers on the race "<u>shall be considered winning wagers for the purposes of the Pick (n) pool</u>." (See "Attachment B" subsection (n).)

### RECOMMENDATION

Staff recommends the Board instruct staff to notice the amended text ("Attachment B") for an additional 15-day public comment period.

#### ATTACHMENT A

### CALIFORNIA HORSE RACING BOARD TITLE 4. CALIFORNIA CODE OF REGULATIONS ARTICLE 18. PARI-MUTUEL WAGERING

## PROPOSED AMENDMENT OF RULE 1976.9. PICK (N) POOL

Regular Board Meeting May 26, 2005

1976.9. Pick (n) Pool.

- (a) The Pick (n) requires selection of the first-place finisher in each of a number of races designated by the association. The association shall designate the percentage of the net pool considered the major share, and the percentage of the net pool considered the minor share, if any. The number of races comprising a Pick (n) must be more than three, at least four but no greater more than ten. Subsequent changes to the Pick (n) shall be requested in writing by the association. The Board or its designated representative shall respond in writing to requests within five working days of their receipt at Board headquarters.
- (b) The major share of the net Pick (n) pool, along with the Pick (n) carryover, shall be distributed to winning wagers selecting ticket holders that selected the first-place finisher in each of the Pick (n) races, based upon the official order of finish, and the minor share of the net Pick (n) pool shall be distributed as a single price win pool to those ticket holders whose selection finished first in the second greatest number of Pick (n) races; if there are no wagers selecting the first place finisher in each of the Pick (n) races, then:
- (1) the <u>The</u> minor share of the net pool shall be distributed as a <u>single price</u> win pool to those ticket holders whose selection finished first in the greatest number of Pick (n) races, and

- (2) the <u>The</u> major share of the net Pick (n) pool shall be retained by the association and added to the corresponding Pick (n) pool of the next performance. The additional Pick (n) pool resulting from such a carryover shall be termed the "Pick (n) carryover."
  - (c) If there is In a dead heat for first in any of the Pick (n) races involving:
- (1) <u>eoupled Coupled</u> horses or horses coupled to constitute the field, the Pick (n) pool shall be distributed as if a dead heat had not occurred, or
- (2) horses Horses representing two or more betting wagering interests, all horses in the dead heat for win shall be considered winning horses in the race for the purpose of calculating to calculate the pool.
- (d) Should If a betting wagering interest in any of the Pick (n) races be is scratched, the racing association may designate the actual favorite, as evidenced determined by total amounts wagered in the Win win pool at the close of wagering on that race, or may allow patrons the option of selecting an alternate betting wagering interest. The actual favorite or the selected alternate betting wagering interest shall be substituted for the scratched betting wagering interest for all purposes, including pool calculations. When If the racing association elects to designate has designated the actual favorite as the substitute selection, and if the Win win pool total is identical for two or more horses, favorites is identical, the horse substitute selection shall be the betting interest with the lowest program number is used. The totalizator shall produce written reports showing each of the wagering combinations with substituted betting wagering interests which that became winners as a result of the substitution, in addition to the normal winning combination, at the end of each race where substitutions occur.
- (e) The Pick (n) pool shall be canceled and all Pick (n) wagers for the individual performance shall be refunded if:

- (1) three Three or more races included as part of a Pick 4, Pick 5 or Pick 6 are canceled or declared "no contest"; or
- (2) four Four or more races included as part of a Pick 7, Pick 8 or Pick 9 are canceled or declared "no contest"; or
- (3) five Five or more races included as part of a Pick 10 are canceled or declared "no contest."
- (f) If at least one race included as part of a Pick (n) is canceled or declared "no contest," but fewer than the number specified in subsection (e) of this rule, the net pool shall be distributed as a single-price win pool to those ticket holders whose selection finished first in the greatest number of Pick (n) races for that performance. Such distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances.
- (g) The Pick (n) carryover may be capped at an amount designated by the association, with Board approval. If, at the close of any performance, the amount in the Pick (n) carryover equals or exceeds the designated cap, the Pick (n) carryover it will be frozen until it is won or distributed under other provisions of this rule. After the Pick (n) carryover is frozen, 100 percent (100%) of the net pool shall be distributed to those ticket holders whose selection finished first in the greatest number of Pick (n) races for that performance.
- (h) Permission to distribute the Pick (n) carryover on a specific date and performance shall be obtained from the Board. The mandatory payout request must contain the intended date and performance for the distribution.
- (i) Should If the Pick (n) carryover be is designated for distribution on a specified date and performance in which there are no wagers selecting selects the first-place finisher in each of the Pick (n) races, the entire pool including the carryover shall be distributed as a single price win

pool to those ticket holders whose selection finished first in the greatest number of Pick (n) races. The Pick (n) carryover shall be designated for distribution on a specified date and performance only under the following circumstances:

- (1) upon With written approval from the Board as provided in subsection (h) of this rule; or
- (2) upon With written approval from the Board when there is a change in the carryover cap, a change from one type of Pick (n) wagering to another, or when the Pick (n) is discontinued; or
  - (3) on On the closing performance of the meet or split meet.
- (j) If the Pick (n) carryover must be carried over to the corresponding Pick (n) pool of a subsequent meet, the carryover it shall be deposited in an interest-bearing account approved by the Board. The Pick (n) carryover plus accrued interest shall then be added to the net Pick (n) pool of the following meet on a date and performance designated by the association, with the Board approval of the Board.
- (k) With the Board approval, of the Board, the association may contribute to the Pick (n) carryover a sum of money up to the amount of any designated cap.
- (1) No pari-mutuel ticket for the Pick (n) pool shall be sold, exchanged or canceled after the time of the closing close of wagering in the first race of the races comprising the Pick (n), except for refunds on Pick (n) tickets required by this regulation rule.
- (m) With the exception of the total amount of the net pool at the close of Pick (n) wagering, the totalizator shall be programmed to suppress all information related to Pick (n) wagering activity until the conclusion of the final race comprising the Pick (n) pool. Providing information to any person regarding covered combinations, amounts wagered on specific combinations, number of tickets sold, or number of live tickets remaining is strictly prohibited.

The totalizator will be programmed to suppress all information related to Pick (n) wagering

activity until the conclusion of the final race except for the following:

(1) Total amount of the net pool at the close of Pick (n) wagering.

(2) Information regarding possible Pick (n) payouts for each of the runners when the last race

of the Pick (n) pool is the only race remaining to be run.

(n) If the condition of the turf course warrants a change of racing surface in any race of a

Pick (n) pool, and such change was not announced to the public before the close of wagering on

the Pick (n) pool, the stewards shall declare the race as no contest for the purposes of the Pick (n)

pool. Notwithstanding subsection (f), the calculation of the Pick (n) pool with such a surface

change shall be pursuant to subsection (b).

Authority:

Sections 19440 and 19590,

Business and Professions Code.

Reference:

Sections 19440, 19590 and 19593,

Business and Professions Code.

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#### ATTACHMENT B

### CALIFORNIA HORSE RACING BOARD TITLE 4. CALIFORNIA CODE OF REGULATIONS ARTICLE 18. PARI-MUTUEL WAGERING

PROPOSED AMENDMENT OF RULE 1976.9. PICK (N) POOL

Regular Board Meeting May 26, 2005

Revised Text
Subsection (n) modifications
New language is double underlined
Deleted language is italic with strikeouts

1976.9. Pick (n) Pool.

- '(a) The Pick (n) requires selection of the first-place finisher in each of a number of races designated by the association. The association shall designate the percentage of the net pool considered the major share, and the percentage of the net pool considered the minor share, if any. The number of races comprising a Pick (n) must be more than three, at least four but no greater more than ten. Subsequent changes to the Pick (n) shall be requested in writing by the association. The Board or its designated representative shall respond in writing to requests within five working days of their receipt at Board headquarters.
- (b) The major share of the net Pick (n) pool, along with the Pick (n) carryover, shall be distributed to winning wagers selecting ticket holders that selected the first-place finisher in each of the Pick (n) races, based upon the official order of finish, and the minor share of the net Pick (n) pool shall be distributed as a single price win pool to those ticket holders whose selection finished first in the second greatest number of Pick (n) races; if there are no wagers selecting the first place finisher in each of the Pick (n) races, then:
  - (1) the The minor share of the net pool shall be distributed as a single price win pool to those

ticket holders whose selection finished first in the greatest number of Pick (n) races, and

- (2) the <u>The</u> major share of the net Pick (n) pool shall be retained by the association and added to the corresponding Pick (n) pool of the next performance. The additional Pick (n) pool resulting from such a carryover shall be termed the "Pick (n) carryover."
  - (c) If there is In a dead heat for first in any of the Pick (n) races involving:
- (1) <u>eoupled Coupled</u> horses or horses coupled to constitute the field, the Pick (n) pool shall be distributed as if a dead heat had not occurred, or
- (2) horses Horses representing two or more betting wagering interests, all horses in the dead heat for win shall be considered winning horses in the race for the purpose of calculating to calculate the pool.
- (d) Should If a betting wagering interest in any of the Pick (n) races be is scratched, the racing association may designate the actual favorite, as evidenced determined by total amounts wagered in the Win win pool at the close of wagering on that race, or may allow patrons the option of selecting an alternate betting wagering interest. The actual favorite or the selected alternate betting wagering interest shall be substituted for the scratched betting wagering interest for all purposes, including pool calculations. When If the racing association elects to designate has designated the actual favorite as the substitute selection, and if the Win win pool total is identical for two or more horses, favorites is identical, the horse substitute selection shall be the betting interest with the lowest program number is used. The totalizator shall produce written reports showing each of the wagering combinations with substituted betting wagering interests which that became winners as a result of the substitution, in addition to the normal winning combination, at the end of each race where substitutions occur.

- (e) The Pick (n) pool shall be canceled and all Pick (n) wagers for the individual performance shall be refunded if:
- (1) three Three or more races included as part of a Pick 4, Pick 5 or Pick 6 are canceled or declared "no contest"; or
- (2) four Four or more races included as part of a Pick 7, Pick 8 or Pick 9 are canceled or declared "no contest"; or
- (3) five Five or more races included as part of a Pick 10 are canceled or declared "no contest."
- (f) If at least one race included as part of a Pick (n) is canceled or declared "no contest," but fewer than the number specified in subsection (e) of this rule, the net pool shall be distributed as a single price win pool to those ticket holders whose selection finished first in the greatest number of Pick (n) races for that performance. Such distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances.
- (g) The Pick (n) carryover may be capped at an amount designated by the association, with Board approval. If, at the close of any performance, the amount in the Pick (n) carryover equals or exceeds the designated cap, the Pick (n) carryover it will be frozen until it is won or distributed under other provisions of this rule. After the Pick (n) carryover is frozen, 100 percent (100%) of the net pool shall be distributed to those ticket holders whose selection finished first in the greatest number of Pick (n) races for that performance.
- (h) Permission to distribute the Pick (n) carryover on a specific date and performance shall be obtained from the Board. The mandatory payout request must contain the intended date and performance for the distribution.

- (i) Should If the Pick (n) carryover be is designated for distribution on a specified date and performance in which there are no wagers selecting selects the first-place finisher in each of the Pick (n) races, the entire pool including the carryover shall be distributed as a single price win pool to those ticket holders whose selection finished first in the greatest number of Pick (n) races. The Pick (n) carryover shall be designated for distribution on a specified date and performance only under the following circumstances:
  - (1) upon With written approval from the Board as provided in subsection (h) of this rule; or
- (2) upon With written approval from the Board when there is a change in the carryover cap, a change from one type of Pick (n) wagering to another, or when the Pick (n) is discontinued; or
  - (3) on  $\underline{On}$  the closing performance of the meet or split meet.
- (j) If the Pick (n) carryover must be carried over to the corresponding Pick (n) pool of a subsequent meet, the carryover it shall be deposited in an interest-bearing account approved by the Board. The Pick (n) carryover plus accrued interest shall then be added to the net Pick (n) pool of the following meet on a date and performance designated by the association, with the Board approval of the Board.
- (k) With the Board approval, of the Board, the association may contribute to the Pick (n) carryover a sum of money up to the amount of any designated cap.
- (l) No pari-mutuel ticket for the Pick (n) pool shall be sold, exchanged or canceled after the time of the closing close of wagering in the first race of the races comprising the Pick (n), except for refunds on Pick (n) tickets required by this regulation rule.
- (m) With the exception of the total amount of the net pool at the close of Pick (n) wagering, the totalizator shall be programmed to suppress all information related to Pick (n) wagering activity until the conclusion of the final race comprising the Pick (n) pool. Providing

information to any person regarding covered combinations, amounts wagered on specific

combinations, number of tickets sold, or number of live tickets remaining is strictly prohibited.

The totalizator will be programmed to suppress all information related to Pick (n) wagering

activity until the conclusion of the final race except for the following:

(1) Total amount of the net pool at the close of Pick (n) wagering.

(2) Information regarding possible Pick (n) payouts for each of the runners when the last race

of the Pick (n) pool is the only race remaining to be run.

(n) If the condition of the turf course warrants a change of racing surface in any race of a

Pick (n) pool, and such change was not announced to the public before the close of wagering on

the Pick (n) pool, the stewards shall declare the race as no contest all wagers on such race shall

be considered winning wagers for the purposes of the Pick (n) pool. Notwithstanding subsection

(f), the calculation of the Pick (n) pool with such a surface change shall be pursuant to subsection

(b).

Authority:

Sections 19440 and 19590,

Business and Professions Code.

Reference:

Sections 19440, 19590 and 19593,

Business and Professions Code.

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# STAFF ANALYSIS APPROVAL OF SERVICE, OFFICIAL VETERINARIAN AND STEWARDS CONTRACTS FOR 2005/06

Regular Board Meeting May 26, 2005

### **BACKGROUND**

Pursuant to Section 2.06 of the State Contracting Manual, state Boards and Commissions with contracts in excess of \$5,000, must provide a motion approving and authorizing execution of the agreement/contract.

### **ANALYSIS**

The attached list of service, Official Veterinarian and Steward contracts is proposed for fiscal year 2005/06, with estimated annual costs. As noted on the attached list, some contracts are multi-year agreements and Board approval is requested for those with dollar amounts for single and multi-year terms. There are no significant deletions or modifications to the list of contractors. Steward and Official Veterinarian contracts are estimates based on assignments for the 2005 racing calendar. No guarantee of assignments are assumed, based on the attached list.

### RECOMMENDATION

Staff recommends the Board approve the attached list of steward, official veterinarian and service contracts for fiscal year 2005/06.

### PROPOSED 2005/06 CONTRACTS – ESTIMATED COSTS

SERVICE CONTRACTS	STEWARD SERVICES
Regents, UC Davis (Drug Testing) 1 year cost \$1,300,000.00  California Expo & State Fair (Rental Space – walk-in freezer and data recovery) 3 year cost \$15,800.00  Peters' Shorthand Reporting 3 year cost \$70,400.00  Fisher Scientific (Centrifuge Maintenance) 3 year cost \$7,500.00	Grant Baker Pamela Berg Albert Christiansen James Dreyer Martin Hamilton John Herbuveaux Robert Latzo Darrel McHargue William Meyers Dennis Nevin Peder Pedersen David Samuel George Slender Peter Tommila Merlin Volzke Thomas Ward John Williams  TOTAL COSTS \$ 1,598,000.00
TOTAL COSTS \$1,393,700.00	OFFICIAL VETERINARIAN SERVICES  B. William Bell Donald Dooley Robert Goodbary Robert Guillen Joan Hurley  TOTAL COSTS \$ 494,000.00

### CALIFORNIA HORSE RACING BOARD



### Memorandum

**Date** : May 16, 2005

To : California Horse Racing Board

John C. Harris, Chairman

William A. Bianco, Vice Chairman Sheryl L. Granzella, Member Marie G. Moretti, Member Jerry Moss, Member

Richard B. Shapiro, Member John C. Sperry, Member

From: Martin J. Snezek II

Senior Special Investigator Administrative Hearings Unit

Subject: PROPOSED DECISIONS SCHEDULED FOR ACTION-EXECUTIVE SESSION

The following Proposed Decisions and Administrative Proceedings may be reviewed for action:

WHITLEY, RONALD D. SAC05-002

Lifting exclusion from pari-mutual wagering and being present within any racing enclosure in the State of California.

CALIFORNIA HORSE RACING BOARD 1010 HURLEY WAY, SUITE 300 SACRAMENTO, CA 95825 (916) 263-6000 FAX (916) 263-6042



### PENDING LITIGATION MAY 2005

### **CASE**

### **CASE NUMBER**

MARTIN, JOHN v.

California Horse Racing Board

Sacramento County Superior Court

No. 98CS00952

CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION and CAPITOL RACING,

LLC, v.

California Horse Racing Board

Sacramento County Superior Court

No. 03CS01033

BERG, PAMELA A. v.

California Horse Racing Board

Sacramento County Superior Court

No. 04AS03127

### **CALIFORNIA HORSE RACING BOARD**

### MAY 26, 2005 REGULAR BOARD MEETING

There is no board package material for item 10.

# **STAFF ANALYSIS**YEAR TO DATE HANDLE AND ATTENDANCE

### REGULAR BOARD MEETING MAY 26, 2005

### Background:

This item contains a summary of handle and attendance for California meets thus far in the 2005 racing year. Staff is prepared to answer questions regarding the information presented.

### Recommendation:

This item is for information and discussion.

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			2004 \/-:	rsus 2005				
					A44 1 000 4	A44	Difference	O/ Change
N.Thoroughbreds	Handle 2004	Handle 2005	Difference	% Change	Attendance 2004	Attendance 2005		% Change
Live	245,824,220	234,180,901	(11,643,319)	-4.74%	648,264	604,585	(43,679)	-6.74%
Interstate	64,538,536	61,734,116	(2,804,420)	-4.35%		<u> </u>		
Out of Zone	71,967,353	66,044,130	(5,923,223)	-8.23%				
International/AB509	27,654	1,894,608	1,866,954	6751.12%	•			
ADW	24,306,111	35,579,089	11,272,978	<u>46.38%</u>				
N. Thoroughbreds	406,663,874	399,432,844	(7,231,030)	-1.78%				
S. Thoroughbreds		:						
Live	725,506,852	680,307,772	(45,199,080)	-6.23%	1,868,818	1,675,779	(193,039)	-10.33%
Interstate	124,465,223	118,027,949	(6,437,275)	-5.17%				
Out of Zone	93,003,460	85,824,251	(7,179,209)	-7.72%				
International/AB509	142,447	2,858,025	2,715,578	1906.38%				
ADW	74,627,074	91,834,098	17,207,024	23.06%				
S. Thoroughbreds	1,017,745,056	978,852,095	(38,892,962)	-3.82%				
Overden Henre								
Quarter Horses	60 600 007	64 247 140	(7,254,888)	-10.58%	347,351	304,644	(42,707)	-12.30%
Live	68,602,007	61,347,119 15,721,767	(63,365)	-0.40%	047,001	307,077	(42,101)	12.0070
Interstate	15,785,132 0	15,721,767	(63,363)	0.00%				
Out of Zone	0	1,269,141	1,269,141	0.0070	·			
International ADW		22,558,863	5,245,280	30.30%				
Quarter Horses	<u>17,313,583</u>	100,896,890	(803,832)	-0.79%				
Quarter Horses	101,700,722	100,890,890	(003,032)	-0.7376				<b> </b>
Harness Horses								
Live	54,703,484	38,604,183	(16,099,301)	-29.43%	290,345	254,229	(36,116)	-12.44%
Interstate	17,877,546	15,031,110	(2,846,436)	-15.92%				
Out of Zone	0	0	0	0.00%				<u> </u>
Out 0. 20110	350,537	389,391	38,854	11.08%				
International	0.700.700	9,632,102	<u>868,316</u>	<u>9.91%</u>				
International ADW	<u>8,763,786</u>		(18,038,567)	-22.08%				<u> </u>
International	81,695,353	63,656,786	(10,030,307)		I			1

# **STAFF ANALYSIS**STAFF REPORT ON END-OF-MEET RESULTS

### REGULAR BOARD MEETING MAY 26, 2005

### Background:

This item contains end-of-meet reports for recently concluded race meets. Staff is prepared to answer questions regarding the information presented.

### Recommendation:

This item is for information and discussion.

### **END-OF-MEET OUTLINE SUMMARY**

For the California Horse Racing Board meeting, May 26, 2005. This report includes a summary for the following racing meetings: LOS ANGELES TURF CLUB.

### Los Angeles Turf Club

December 26, 2004 - April 18, 2005

Race days: 85

### **AVERAGE DAILY STATISTICS**

### PERCENTAGE CHANGE

Ave. Daily handle	-4.24%
Ave. On-track	-9.51%
Ave. Off-track	-8.22%
Ave. Interstate-exported	-3.14%
Ave. ADW	22.54%
Ave. Daily attendance-Calif.	-10.58%
Ave. On-track	-10.49%
Ave. Off-track	-10.65%

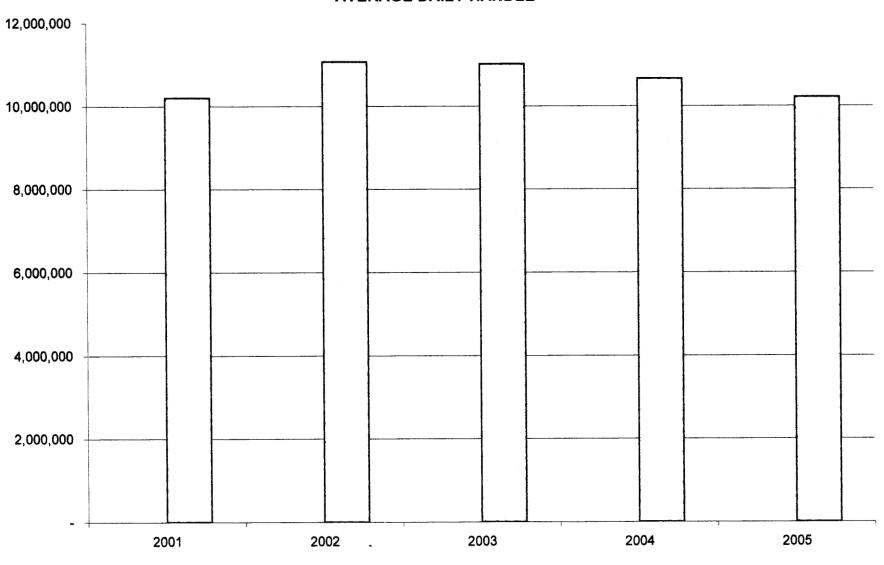
### LOS ANGELES TURF CLUB

YEAR	2001	2002	2003	2004	2005
TOTAL RACE DAYS	83	85	85	84	85
TOTAL HANDLE	846,343,089	940,731,128	935,694,456	895,242,895	867,487,541
ON-TRACK	197,752,634	206,707,145	190,737,639	188,559,327	172,655,503
OFF-TRACK	277,819,443	298,361,575	277,104,148	268,511,134	249,376,558
INTERSTATE	370,771,012	427,066,993	418,816,075	376,693,400	369,224,008
ADW	0	8,595,414	49,036,595	61,479,034	76,231,473
LIVE	658,125,746	746,778,046	747,759,687	708,752,574	684,787,354
INTRASTATE IMPORTED	95,910,012	101,840,506	92,793,809	82,811,142	76,685,140
INTERSTATE IMPORTED	92,152,914	91,982,360	95,049,285	103,536,732	105,022,640
INTERNATIONAL IMPORTED	154,418	130,215	91,675	142,447	992,407
AVERAGE DAILY HANDLE	10,196,905	11,067,425	11,008,170	10,657, <b>654</b>	10,205,736
AVERAGE ON - TRACK	2,382,562	2,431,849	2,243,972	2,244,754	2,031,241
AVERAGE OFF - TRACK	3,347,222	3,510,136	3,260,049	3,196,561	2,933,842
AVERAGE INTERSTATE	4,467,121	5,024,318	4,927,248	4,484,445	4,343,812
AVERAGE ADW HANDLE		101,123	576,901	731,893	896,841
AVE. CALIFORNIA HANDLE	5,729,784	5,941,985	5,504,021	5,441,315	4,965,083
AVERAGE LIVE	7,929,226	8,785,624	8,797,173	8,437,531	8,056,322
AVERAGE INTRASTATE IMPORTED	1,155,542	1,198,124	1,091,692	985,847	902,178
AVERAGE INTERSTATE IMPORTED	1,110,276	1,082,145	1,118,227	1,232,580	1,235,560
AVERAGE INTERNATIONAL IMPORTED	1,860	1,532	1,079	1,696	11,675
TOTAL TAKEOUT	163,213,291	179,035,172	179,356,245	172,761,312	169,864,005
EFFECTIVE TAKEOUT	19.28%	19.03%	19.17%	19.30%	19.58%
STATE LICENSE FEES	10,697,456	11,483,026	10,725,021	10,370,659	9,655,225
STATE %	1.26%	1.22%	1.15%	1.16%	1.11%
TRACK COMMISSIONS	35,281,191	37,469,303	34,661,671	33,189,808	31,030,784
ADW COMMISSIONS	· · ·	1,494,243	2,374,797	2,898,044	3,675,720
TOTAL COMMISSIONS	35,281,191	38,963,546	37,036,468	36,087,852	34,706,504
TRACK %	4.17%	3.98%	3.70%	3.71%	3.58%
HORSEMEN'S PURSES	34,594,232	36,647,704	33,965,565	32,615,419	30,460,279
ADW PURSES	•	1,464,348	2,318,159	2,835,652	3,606,636
TOTAL PURSES	34,594,232	38,112,052	36,283,724	35,451,071	34,066,915
HORSEMEN'S %	4.09%	3.90%	3.63%	3.64%	3.51%

### LOS ANGELES TURF CLUB

YEAR	2001	2002	2003	2004	2005
CAIIFORNIA ATTENDANCE	1,830,989	1,845,941	1,668,123	1,641,055	1,484,947
ON-TRACK	856,303	828,916	736,873	747,640	677,193
OFF-TRACK	974,686	1,017,025	931,250	893,415	807,754
AVE. DAILY ATTENDANCE	22,060	21,717	19,625	19,536	17,470
AVERAGE DAILY ON - TRACK	10,317	9,752	8,669	8,900	7,967
AVERAGE DAILY OFF - TRACK	11,743	11,965	10,956	10,636	9,503
TOTAL RACE EVENTS	713	733	733	726	730
STARTS	5,738	6,189	5,935	5,842	5,738
AVERAGE STARTS PER EVENT	8.0	8.4	8.1	8.0	7.9
AVE.HANDLE PER START	114,696	120,662	125,992	121,320	119,343

### LOS ANGELES TURF CLUB AVERAGE DAILY HANDLE



#### SANTA ANITA

YEAR	LATC YEAR DIFFERENCE	CHANGE
TOTAL RACE DAYS		
TOTAL HANDLE	(27,755,353)	-3.10%
ON-TRACK	(15,903,823)	-8.43%
OFF-TRACK	(19,134,577)	-7.13%
INTERSTATE	(7,469,392)	-1.98%
ADW	14,752,439	24.00%
LIVE	(23,965,220)	-3.38%
INTRASTATE IMPORTED	(6,126,002)	-7.40%
INTERSTATE IMPORTED	1,485,908	1.44%
INTERNATIONAL IMPORTED	849,960	596.69%
AVERAGE DAILY HANDLE	(451,918)	-4.24%
AVERAGE ON - TRACK	(213,513)	-9.51%
AVERAGE OFF - TRACK	(262,719)	-8.22%
AVERAGE INTERSTATE	(140,633)	-3.14%
AVERAGE ADW HANDLE	164,948	22.54%
AVE. CALIFORNIA HANDLE	(476,232)	-8.75%
AVERAGE LIVE	(381,209)	-4.52%
AVERAGE INTRASTATE IMPORTED	(83,669)	0.00%
AVERAGE INTERSTATE IMPORTED	2,980	0.24%
AVERAGE INTERNATIONAL IMPORTED	9,980	588.49%
TOTAL TAKEOUT	(2,897,307)	-1.68%
EFFECTIVE TAKEOUT	(745.404)	0.000/
STATE LICENSE FEES STATE %	(715,434)	-6.90%
TRACK COMMISSIONS	(2,159,024)	-6.51%
ADW COMMISSIONS	777,676	26.83%
TOTAL COMMISSIONS	(1,381,348)	-3.83%
TRACK %		
HORSEMEN'S PURSES	(2,155,140)	-6.61%
ADW PURSES	770,984	27.19%
TOTAL PURSES HORSEMEN'S %	(1,384,156)	-3.90%
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### SANTA ANITA

YEAR	LATC YEAR DIFFERENCE	CHANGE
CAIIFORNIA ATTENDANCE	(156,108)	-9.51%
ON-TRACK	(70,447)	-9.42%
OFF-TRACK	(85,661)	-9.59%
AVE. DAILY ATTENDANCE	(2,066)	-10.58%
AVERAGE DAILY ON - TRACK	(933)	-10.49%
AVERAGE DAILY OFF - TRACK	(1,133)	-10.65%
TOTAL RACE EVENTS	4	0.55%
STARTS	(104)	-1.78%
AVERAGE STARTS PER EVENT	(0)	-2.32%
AVE.HANDLE PER START	(1,978)	-1.63%